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FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE
COMMUNICATIONS SECTION

JUL 24 1939

TELETYPE

67-101010-17

JUL 25 1939

Mr. Tolson
Mr. Nathan
Mr. E. A. Tamm
Mr. Clegg
Mr. Coffey
Mr. Egan
Mr. Glavin
Mr. Crowl
Mr. Harbo
Mr. Lester
Mr. Lawler
Mr. Nichols
Mr. Rosen
Mr. Sears
Mr. Quinn Tamm
Mr. Tracy
Miss Gandy

FBI BOSTON 7-24-39 8-07PM FN

DIRECTOR

RECORDED JUL 25 1939

RICHARD DEXTER AUERBACH, ALSO KNOWN AS DEXTER AUERBACH, SA APPLICANT. BORN BROOKLINE MASSACHUSETTS AUGUST EIGHTEEN NINETEEN ELEVEN, FATHER JUNIUS THEODORE AUERBACH, WELL TO DO LAWYER, BOSTON, DIED ABOUT NINETEEN TWENTY ONE, MOTHER MARGARET AGNES, NEE MC SHERRY, RESIDES THIRTY SIX ROBBINS ROAD, ARLINGTON, MASSACHUSETTS. APPLICANT ATTENDED MELROSE MASSACHUSETTS HIGH SCHOOL TWENTY FIVE TO TWENTY SIX, ARLINGTON, MASSACHUSETTS, HIGH SCHOOL TWENTY SIX TO JANUARY TWENTY EIGHT. GRADES FAIR, DEAN ACADEMY, FRANKLIN, MASSACHUSETTS JANUARY NINETEEN TWENTY EIGHT TO JUNE TWENTY NINE, GRADUATED THIRD QUARTER OF CLASS, GRADES GOOD, CONDUCT EXCELLENT. ATTENDED UNIVERSITY OF NEW HAMPSHIRE NINETEEN TWENTY NINE TO THIRTY THREE, BEING GRADUATED FIRST QUARTER OF CLASS, RECEIVING AB DEGREE IN POLITICAL SCIENCE, AVERAGE GRADES SEVENTY NINE ON BASIS OF SIXTY PER CENT FOR PASSING. ATTENDED HARVARD LAW SCHOOL, CAMBRIDGE, MASSACHUSETTS, NINETEEN THIRTY THRE TO THIRTY THREE TO THIRTY FOUR. FAILED FOUR OUT OF FIVE COURSES, POSSIBLY DUE TO ILLNESS. ATTENDED BOSTON UNIVERSITY LAW SCHOOL, BOSTON, NINETEEN THIRTY FOUR TO THIRTY SEVEN, BEING GRADUATED WITH LLB DEGREE, TWENTY SEVENTH IN CLASS OF ONE FIFTY TWO, THREE YEAR AVERAGE GRADES ABOUT EIGHTY TWO. WORKED SUMMERS STARTING THIRTY ONE AND ALSO DID N Y A WORK AFTER SCHOOL THIRTY FIVE TO THIRTY SEVEN.

WORKED FOR GLOBE INDEMNITY COMPANY, BOSTON, JULY THIRTY SEVEN TO JANUARY THIRTY FIRST, NINETEEN THIRTY EIGHT, AS INVESTIGATOR AND ADJUSTOR, ALSO TRYING SEVERAL SMALL CASES AS ATTORNEY. PRACTICED LAW FEW MONTHS NINETEEN THIRTY EIGHT, BUT EXTENT OF PRACTICE REPUTED SMALL. EMPLOYED AS SECRETARY BY SENATOR BRIDGES OF NEW HAMPSHIRE NINETEEN THIRTY EIGHT TO DATE. NOT ACTIVE POLITICALLY, EXCEPT LATELY IN SUPPORTING BRIDGES FOR PRESIDENT MOVEMENT. PROMINENT IN R O T C AT COLLEGE, AND ACTIVE IN U S ARMY RESERVE FROM GRADUATION TO DATE, [redacted] HOLDING RANK OF FIRST LIEUTENANT INFANTRY WITH SPECIAL TRAINING IN MACHINE GUNS AND LIGHT TANKS EMPLOYERS RECOMMEND AS INTELLIGENT, INDUSTRIOUS AND ABOVE AVERAGE IN ABILITY. GLOBE INDEMNITY COMPANY SAYS RESOURCEFUL AND AGGRESSIVE AS INVESTIGATOR, SUCCESSFUL AS ATTORNEY. REFERENCES AND NEIGHBORS RECOMMEND HIGHLY FOR INTELLIGENCE, AGGRESSIVENESS, STRENGTH OF CHARACTER, ALERTNESS, HEALTH, APPEARANCE AND PERSONALITY. ABILITY LARGELY UNTRIED. REPUTATION IMMEDIATE FAMILY EXCELLENT, ONLY BROTHER, EUGENE, IS DIRECTOR OF ADMISSIONS, UNIVERSITY OF NEW HAMPSHIRE. UNCLE, FREDERICK S. [redacted] AUERBACH, FORMERLY PROMINENT ATTORNEY BOSTON, COMMITTED SUICIDE NOVEMBER NINETEEN THIRTY SEVEN, AFTER SUSTAINING HEAVY FINANCIAL LOSSES AND INCURRING LARGE DEBTS, WAS TRUSTEE OF ESTATE OF APPLICANTS

LATE FATHER AND REPUTED TO HAVE LOST BULK OF ASSETS. APPLICANT NEVER ASSOCIATED WITH UNCLES OFFICE AND NO INDICATION HE WAS INVOLVED IN LATTERS LOSSES, EXCEPT AS VICTIM. APPLICANT REPUTED NOW PARTIAL SUPPORT OF MOTHER. APPLICANT SINGLE, HAS NO CRIMINAL RECORD IN MASSACHUSETTS, HAS GOOD CREDIT STANDING BOSTON AND DURHAM, NEW HAMPSHIRE, RECORDS HARVARD AND BOSTON UNIVERSITY LAW SCHOOLS SHOW BIRTH DATE AS AUGUST EIGHTEEN NINETEEN TEN, VERIFIED FROM BIRTH RECORDS AS AUGUST EIGHTEEN NINETEEN ELEVEN. APPLICANT USUALLY KNOWN AS DEXTER AUERBACH.

PETERSON

HOLD PLS

OK FBI WASHINGTON DC OEC

Richard D. Auerbach

PHYSICAL EXAM.

67-101010-19

FEDERAL BUREAU OF INVESTIGATION

JUL 26 1939

FILE

JUL 27 1939

Searched Indexed
Searched Serialized
Numbered Filed
Serialized

O.K. 7-27-39

Form 2413
May 1938

UNITED STATES CIVIL SERVICE COMMISSION

CERTIFICATE OF MEDICAL EXAMINATION UNDER EXECUTIVE ORDER, SEPT. 4, 1924

(APPLICANT MUST FILL IN DOTTED LINES BELOW TO HEAVY LINE)

Richard D. Auerbach
(Name)
1650 Park Rd., N.W., Washington, D.C.
(Post-office address)
Male — August 18, 1911
(Sex) (Date of birth)

What examination did you take? Special Agt.-Federal Bureau of Investigation

In what Department and Bureau are you to be employed? Federal Bureau of Investigation

In what City or Town are you to be employed?

ROLLED PRINT, right forefinger

(Print must be taken to identify person examined. Indelible or stamp pad should be used)

(PHYSICIAN SHOULD FILL IN THE FOLLOWING)

71½ inches. * ____ pounds. 152½ pounds.
(Height, without shoes) (Weight, in clothing) (Weight, without clothing)

Males, without clothing; females, clothed but without wrap or hat.

Items checked (V) were examined and found normal. Deviations from normal are noted. (*See instructions on back of sheet*)

1. Eyes: Distant vision: Without glasses: Right: 20/20 Left: 20/20 With glasses if worn: Right: 20/ Left: 20/
(Near vision must be reported; use space provided on back of this form.)
Evidence of disease or injury: Right ✓ Left ✓
Color vision ✓ Method of testing color vision Ishihara
2. Ears: (Consider denominators indicated here as normal. Record as numerators the actual distance heard.) Ordinary conversation: Right ear— 20/20 ft. Left ear— 20/20 ft.
Evidence of disease or injury: Right ear ✓ Left ear ✓
3. Nose ✓
4. Mouth ✓
5. Throat ✓
6. Thyroid (especially in women) ✓
7. Heart ✓ If organic heart disease is present, is it fully compensated?
8. Lungs: Right ✓ History of tuberculosis no
Left ✓ Has it been arrested for 1 year?
9. Hernia ✓ If present, is it supported by a well-fitting truss?
(Name variety: Inguinal, ventral, femoral, etc.)
10. Varicose veins ✓ Varicocele slight
(If "Yes," state location and degree) (None, slight, moderate, severe)
11. Feet: Is flat foot present? ✓ Degree of impairment of function
(None, slight, moderate, severe)
12. Deformities, atrophies, and other abnormalities, diseases, or defects not included above ✓
13. Scars of serious injury or disease
14. Nervous system (give symptoms and history) no
15. Urinalysis (see over) Venereal disease ✓
16. Has applicant ever received pension, compensation, allowance, retired pay, or training because of disability received while in military or naval service? no If "Yes," describe disability and state whether present now
17. In my opinion, applicant is capable of performing duties involving arduous physical exertion.
(Arduous, moderate, or light)

U. S. PUBLIC HEALTH SERVICE DISPENSARY,
AUDITOR'S BLDG., 14TH ST. & INDEPENDENCE AVE.
(Place of examination)
WASHINGTON, D. C.
(Date of examination)
7/21/39

The examining physician must be in the Federal service

J. John Robbins, M. D.
(Name of examining physician)
Asst Surgeon USPH (2)
(Title, and branch of Federal medical service)

*For males, to be taken only upon special written request of the official ordering examination.

This report is to be returned to the official of the U. S. Civil Service Commission requesting the examination

16—1066 Refd q. [illegible] Supr [illegible] atten 7-24-39

[OVER]

The aim of the Executive order [illegible]ept. 4, 1924, and of this examination thereun[illegible]s to obtain information as to the physical condition of appointees to [illegible] classified civil service with a view to promoti[illegible]fficiency and minimizing accidents and claims under United States employees' compensation laws.

NOTES FOR EXAMINING PHYSICIAN

WEIGHT.—Males, without clothing, and also in ordinary clothing without overcoat or hat (weigh twice); females, clothed, but without wrap or hat. If overweight, state whether due to bone and muscle or to fat.

HEIGHT.—Without boots or shoes; observe that no appliances are used to increase.

The examination should include the following observations, as to—

1. EYES.—Ptosis; discharge; corneal scar; pterygium. In recording distant vision consider 20 feet as normal and report all vision as a fraction with 20 feet as numerator and the smallest type read at 20 feet as denominator. If glasses are used, record for each eye the finding with and without glasses.*

2. EARS.—Evidence of middle ear or mastoid disease; condition of drums; discharge. In recording hearing, record 20 feet as normal distance for conversational voice and record deviation from normal as fraction with 20 as denominator and actual distance as numerator.

3. NOSE.—Ability to blow through each nostril. If free, a speculum examination would not be indicated.

4. MOUTH.—Missing teeth, pyorrhea.

5. THROAT.—Tonsils, hypertrophy or disease.

6. THYROID.—Presence of tumor in neck and tremor, exophthalmos; nervous high-strung disposition, especially in women.

7. HEART.—Murmurs. State whether functional or organic. If valvular disease exists, state whether or not it is fully compensated.

8. LUNGS.—It is necessary that the auscultatory cough be used. Tuberculosis; if present, state whether active or arrested, and if arrested your opinion as to how long it has been quiescent. Sputum to be examined for tubercle bacilli in all suspected cases.

9. HERNIA.—Give details as to size, location, etc., and whether well-fitting truss is worn. An inguinal hernia exists when ring is enlarged and impulse is felt on coughing.

11. Flat foot of such a nature as to incapacitate or become aggravated by work or be alleged later to have been caused by accident or occupation. By "flat foot," as used in this form, is meant a weak foot with impaired function, the term being equivalent to "fallen or misplaced arch," an abnormal condition. Impairment of function is the point to be noted. An anatomically flat foot, but strong, is not disqualifying.

12 and 13. Scars, deformities, atrophies, and paralyses should be noted, but it is not important that small, insignificant scars or blemishes which might be referred to as marks of identification be recorded.

14. This entry should include symptoms and full history of any mental or nervous abnormality.

15. Urinalysis to be made and blood pressure to be taken in the cases of persons over 40, and in all cases where arteriosclerosis, nephritis, or diabetes is suspected.

Record, if taken—Urinalysis—sp. gr. ..... Albumen ..... Sugar ..... Casts .....

Blood pressure: Mm. Hg. systolic 124 Mm. Hg. diastolic 80

If tachycardia is present, give pulse rate: Sitting ..... Immediately after exercise ..... Two minutes after exercise ..... Cardiac reserve .....
(Good, fair, or poor)

I have found this applicant abnormal under the following headings: .....

REMARKS: .....

(*Signature of applicant*) Richard D. Auerbach
(This space to be filled in (as a matter of identification) by the applicant in own handwriting, and in ink, in the presence of the physician)

J. John Robbins, M. D.
(Signature of examining physician)

IMPORTANT
Asst Surgeon U.S.P.H. (R)
(Title, and branch of Federal medical service)
Full time? ✓ Part time? ..... Fee paid? .....

*Near vision.
What is the longest and the shortest distance at which the paragraph below can be read by applicant: Test each eye separately.

Without glasses R. 6 in. to 24 in. With glasses, if used R. ..... in. to ..... in.
L. 6 in. to 24 in. L. ..... in. to ..... in.

With the view of promoting health and efficiency and of minimizing accidents among Federal employees, the heads of the several executive departments and independent establishments having a medical personnel are directed to make such physical examinations of applicants for and employees in the Federal classified service as may be requested by the Civil Service Commission or its authorized representative.
This order will supplement the Executive orders of May 29 and June 18, 1923 (Executive order, September 4, 1924).
Jaeger 1; Snellen .50; Dioptic 37 D.

To be appointed in—

Department .....

Bureau .....

Title of position .....

Number of certificate upon which applicant's name appears .....

U. S. GOVERNMENT PRINTING OFFICE 16—1066

FEDERAL BUREAU OF INVESTIGATION

Form No. 1
THIS CASE ORIGINATED AT WASHINGTON, D. C. N.Y. FILE NO. 67-3209 NB

REPORT MADE AT	DATE WHEN MADE	PERIOD FOR WHICH MADE	REPORT MADE BY
NEW YORK CITY	7/25/39	7/24/39	D. J. KENNEDY

TITLE	CHARACTER OF CASE
RICHARD DEXTER AUERBACH	APPLICANT - SPECIAL AGENT

SYNOPSIS OF FACTS: Records of U. S. Maritime Commission reflect Applicant signed articles of S.S. MINNEQUA, July 2, 1935 as waiter, salary $40.00 per month. Released by mutual consent August 27, 1935. AMERICAN SCANTIC LINE has no information concerning Auerbach's employment.

-RUC-

REFERENCE: Bureau telegram dated July 20, 1939.

DETAILS: Miss G. E. VODOLA, personnel manager, MOORE-McCORMICK LINES, INC., 5 Broadway, New York City, stated that the Moore-McCormick Lines operate the AMERICAN SCANTIC LINE. She stated that during the summers a large number of persons are employed temporarily inasmuch as the summer is the busy season in the passenger shipping industry. However, she advised that no records are kept concerning these temporary employes, and that therefore she would be unable to state whether RICHARD AUERBACH had worked on the S.S. MINNEQUA as stated.

In order to verify Applicant's employment, Agent contacted Mr. J. V. GAFFNEY, acting deputy, U. S. Maritime Commission, 7th floor, 45 Broadway, New York City. Mr. Gaffney advised that the records reflect that Richard Auerbach signed the articles of the

APPROVED AND FORWARDED: R. E. Foxworth SPECIAL AGENT IN CHARGE

DO NOT WRITE IN THESE SPACES

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3 Bureau
2 Washington Field
2 New York

S.S. MINNEQUA of the AMERICAN SCANTIC LINE on July 2, 1935 in the capacity of waiter, the articles being numbered 1626. The records further reflect that Auerbach received the salary of $40.00 per month; that he was released by mutual consent on August 27, 1935 at the end of the cruise, and that the total wages due him were $74.67; that he had drawn $25.00, and therefore received the balance of $49.67 at the time of his withdrawal.

The records of the Maritime Commission indicate that Auerbach was born in Massachusetts and was twenty-four years old in 1935; that he is six feet tall, has brown hair and a fair complexion.

REFERRED UPON COMPLETION TO OFFICE OF ORIGIN.

FEDERAL BUREAU OF INVESTIGATION

Form No. 1
THIS CASE ORIGINATED AT WASHINGTON FIELD

FILE NO. 67-1098 MFA

REPORT MADE AT	DATE WHEN MADE	PERIOD FOR WHICH MADE	REPORT MADE BY
BOSTON, MASSACHUSETTS	7-27-1939	7-21,22,24,25 1939	N. S. HARZENSTEIN

TITLE	CHARACTER OF CASE
CHANGED: RICHARD DEXTER AUERBACH also known as DEXTER AUERBACH.	APPLICANT SPECIAL AGENT

SYNOPSIS OF FACTS:

Applicant born August 18, 1911; attended Melrose, Mass. High School 1925-6, Arlington High School 1926-January, 1928, grades fair; Dean Academy January, 1928 to June, 1929, graduated third-quarter of class, grades good. Conduct excellent. Attended University of New Hampshire 1929 to 1933, graduated in first quarter of class, receiving AB degree in Political Science. Average grade 79. Attended Harvard Law School 1933 to 1934, failed four out of five courses; Boston University Law School 1934 to 1937 graduated 27th in class of 152, average grades about 82; received L.L.B. degree. Worked summer of 1931 and also did NYA work after school 1935 to 1937. After graduation worked until January 31, 1938 for GLOBE INDEMNITY COMPANY as investigator, adjuster, and attorney. Practiced law several months in 1938. Employed as secretary to Senator BRIDGES of New Hampshire 1938 to date. Holds rank of First Lieutenant Reserve Infantry, United States Army. Employers recommend for intelligence, industry and ability. References and neighbors recommend highly for intelligence, aggressiveness, alertness, temperance, health, appearance and personality. Reputation of immediate family excellent. Uncle FREDERICK S. AUERBACH, formerly Boston attorney, suicide November, 1937 after heavy financial losses and large debts. Reputed to have lost bulk of estate of applicant's Father. Applicant apparently not involved except as victim. Reputed to be partial support of Mother at present. No criminal record Massachusetts. Good credit rating Boston and Durham, New Hampshire.

APPROVED AND FORWARDED: V W Peterson SPECIAL AGENT IN CHARGE

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2 Washington Field
2 Boston

References: Bureau teletype July 20, 1939;
teletype from Boston to Bureau July 24, 1939;
wire to New York from Boston dated July 25, 1939.

Details: The title herein is being changed to add the name DEXTER AUERBACH since it appears that most of the applicant's references and neighbors know him familiarly as DEXTER rather than RICHARD.

BACKGROUND AND EDUCATION

Due to certain discrepancies appearing in the records as to the applicant's birth date, which will be discussed in detail below, the birth records at the State House, Boston, Massachusetts, were examined. These indicated that RICHARD DEXTER AUERBACH was born August 18, 1911, the son of JUNIUS T. AUERBACH and MARGARET AGNES McSHERRY at Brookline, Massachusetts. The applicant's Father, JUNIUS THEODORE AUERBACH was reputed to have been a prominent and reputable attorney in Boston during his life time. He was admitted to the Massachusetts Bar in 1894. He died about 1921. Thereafter in 1926 the applicant's Mother, together with the applicant and his older brother EUGENE A., moved to 36 Robbins Road, Arlington, Massachusetts. This address has been seen by the writer. It is located in a good residential section and the neighbors appear to be persons of substantial means and good reputation.

The records of the Arlington High School, Arlington, Massachusetts were exhibited by Miss E. A. TESTA, Secretary to the Principal. These records show the applicant's name as DEXTER AUERBACH, rather than RICHARD. This appears to be the name by which he is generally known.

The high school record shows that the applicant was born August 18, 1911; that he attended the Melrose High School at Melrose , Massachusetts from September 25 to May 31, 1926. He attended Arlington High School from September 1926 to January 6, 1928. Inasmuch as the record card reflects all grades received at the Arlington High School month by month and course by course for such dates, the records of the Melrose High School have not been checked farther. AUERBACH left the high school January 6, 1928, this being the middle of his Junior year. The grades he received indicated that he was about an average student. He received a grade of"C" which is the lowest passing grade in most of his courses and failed his Latin course in the second year and an algebra course in the third. His attendance record was good. His record for latenesses

was also good, and there was no adverse criticism regarding his conduct.

Miss TESTA advised that although she herself was employed at the school during the period of the applicant's attendance, she had no recollection of him, and that none of the teachers who might have instructed him were presently available.

The records of Dean Academy at Franklin, Massachusetts were checked by Special Agent ROBERT TONIS with the assistance of Miss A. E. WALSH, Secretary to the Head Master. This record reflects that RICHARD DEXTER AUERBACH, born on August 18, 1911 entered in January, 1928 from the Arlington High School where he had already completed two and one-half years work. He continued in attendance at the Dean Academy until June, 1929 completing two years work. He graduated 91 in a class of 141. His average grades were considered good. His conduct record was marked "A", which is a grade of excellence.

Miss WALSH advised that she recalled the applicant well. She considered him a clean cut young man of good character, very polite and apparently ambitious and a hard-working boy. She stated that on the basis of her knowledge, she would recommend him without reservation. She further advised that there was no one available in the school at the present time who would recall applicant; that the present Head Master was not at the school at the time of the applicant's attendance.

AT DURHAM, NEW HAMPSHIRE

The following investigation was conducted by Special Agent H. A. MARTIN:

At the office of the Registrar of the University of New Hampshire, the Agent was permitted to inspect the record of the applicant's attendance there. His birth date is reflected in the records as August 18, 1911. He entered the school on September 16, 1929 and received his degree of A.B. June 12, 1933 graduating in the upper quarter of his class with an average of 79 (60 being the lowest passing grade). He majored in Political Science making an average of approximately 82 in this subject through his four-year course. His lowest grades were in the sciences, it being noted that in one course in Biology he received a barely passing grade of 60. He was outstanding in Military Science receiving excellent marks in that course. There were no failures and his tuition payments were satisfactorily made. His record of attendance was also satisfactory. It was noted that a transcript of his record was sent to Brown University, Providence, Rhode Island, and Harvard University, Cambridge, Massachusetts on June 22, 1931 and a second transcript to Harvard on January 16, 1933.

AT CAMBRIDGE, MASSACHUSETTS

At the Harvard Law School, [redacted] Secretary, exhibited the record of the applicant. This reflected that he was born August 18, 1910, the son of JUNIUS THEODORE AUERBACH. He entered the law school b6 b7C

September 25, 1933 and left on June 21, 1934. The record reflected that he failed four out of five courses taken, passing only the course in contracts with a grade of 56, on the basis of a passing grade of 55. Because of the discrepancy in the date of birth, his original application in his own handwriting was checked and this indicated the year of birth as 1910.

AT BOSTON, MASSACHUSETTS

At Boston University Law School, Miss ANN C. FOSTER, Secretary, examined the record and advised that the applicant, showing the date of birth as August 18, 1910, entered the Law School on September 17, 1934. He was graduated on June 14, 1937 with a degree of L.L.B. He passed all courses at the Law School. His average for the three years was not quite a "B" or honor grade. Miss FOSTER estimated that the average grade was 80-83. In his final year, his average grade was a "B", which is 84-86%. This is considered an honor grade. He was graduated 27 in a class of 152.

The applicant received no credit for his work at Harvard Law School. There were no derogatory marks of any nature on the record card.

Miss FOSTER advised that she recalled the applicant particularly since she was in charge of the National Youth Administration work for the period during the last two years of his attendance at the school. She stated that she considered him intelligent, able, and a neat and clean appearing young man. The details regarding the National Youth Administration work will be set forth in the section of this report entitled Work Record.

WORK RECORD

The records of the GREAT AMERICAN PACIFIC TEA COMPANY are presently located at the Auckland Street Warehouse in Dorchester. They were examined by Mr. F. S. SMITH, who is in charge of personnel. These records reflect that R. DEXTER AUERBACH was first employed by the company on June 25, 1931 in the Produce Department. The date of applicant's birth appears on the record as August 18, 1910. The final zero has been scratched over and appears as though it may have been changed from 1911 to 1910. The applicant's age was shown at that time as twenty. There was no apparent necessity for falsifying either the age or the birthdate, and this may have been a lapse. The record fails to reflect the duration of that employment but indicates that he was rehired for general work about December 21, 1931; that he was again rehired on July 3, 1933 as an elevator operator; that he held this position until August 5, 1933 when he left to return to college. Mr. SMITH advised that the employment record was incomplete, as it should have shown all dates of employment, and he stated that because of the lapse of time, a part of the record apparently had gone astray.

Mr. SMITH stated that his file indicated that the applicant was graded "good" for ability, attitude, personality and attendance. There was no adverse criticism.

Mr. SMITH further advised that although he does not know the applicant personally, he does know the applicant's brother, EUGENE A.; that the brother was a supervisor at the warehouse during the years of the applicant's employment. EUGENE left the GREAT AMERICAN PACIFIC TEA COMPANY about 1935 to take his present position with the University of New Hampshire. Mr. SMITH further advised that EUGENE AUERBACH was to the best of his knowledge a person of excellent reputation and a very able young man.

As will be pointed out below, the applicant in his application for admission to the Massachusetts Bar stated that he had worked for the AMERICAN SCANTIC LINE at New York City during the summer of 1935 and that he had worked for J. WARREN SPEAKER of the DUTCHLAND FARMS, INC. at Brockton, Massachusetts during the summer of 1936. A wire has been sent to the New York Division to check the employment record at that place, inasmuch as no reference has been made to it in the reference teletype.

An effort was made by Special Agent ROBERT TONIS to verify the employment at DUTCHLAND FARMS, INC. at Brockton, Massachusetts. Agent TONIS was advised by GEORGE REYNOLDS, the Office Manager, that J. WARREN SPEAKER was formerly employed by the DUTCHLAND FARMS as a District Supervisor checking various stands. He is no longer employed by DUTCHLAND FARMS and his exact location is unknown. The employment records were checked but no record of applicant's employment was located.

There was a record of an application that had been made by EUGENE AUERBACH of 36 Robbins Road, Arlington, Massachusetts in May, 1936. This did not result in employment, however. Agent TONIS were advised that the employment records kept by DUTCHLAND FARMS, INC. related only to direct employees of the company at the home office in Brockton, Massachusetts. The company leases a number of roadside restaurants to various individuals throughout New England. These persons maintain their own individual records, and there is no way to determine which of them might have employed the applicant.

At the Boston University Law School, Miss M. C. FOSTER, Secretary, is in charge of the National Youth Administration work. She advised that the applicant was employed under the auspices of the National Youth Administration during the school years 1935-6 and 1936-7. During the first of those years he worked at the State Law Library. During the second year he worked as a proctor and examination marker in a commercial law course at the undergraduate school. The record indicated that the work done was satisfactory; that the applicant was paid an average of $15 per month for this work.

At the Massachusetts State Law Library, State House, Boston, Mass. Miss J. L. KNOWLTON, Head Librarian, advised that she recalled the applicant. Several law students attending Boston University were employed at the library during the same period. Miss KNOWLTON advised that she considered the applicant a step ahead of the others. She believed that he had intelligence and assurance and consequently had given him the most difficult jobs to do. She stated that he was able to handle these jobs which would normally be confusing to a novice, in an intelligent accurate fashion without assistance;

that from time to time she has come across results of his work and has found that it has been done correctly.

Inquiry made at the College of Business Administration of Boston University reflected that no records are presently maintained regarding students who did National Youth Administration work as proctors and examination markers. At the present time, the persons under whom the applicant would have worked--commercial law courses--are away from the city on protracted vacations and will not be available for the balance of the summer. Under the circumstances, no lead will be set forth for further check in this direction.

At the GLOBE INDEMNITY COMPANY, which is presently located at 148 State Street, Mr. JAMES F. BRADY, Claims Manager, checked the records which reflected that the applicant was employed from July 21, 1937 until January 31, 1938. During this time he received a salary of $90 per month.

Mr. BRADY advised that he recalled the applicant well and stated that each year it has been the custom of the GLOBE INDEMNITY COMPANY to employ as investigators and adjustors new law school graduates. These men are usually chosen from the graduating class of Harvard Law School. In 1937 Mr. BRADY decided to employ men from other schools as well as Harvard and consequently invited the Dean of Boston University Law School to submit names of graduates who would be interested in the work. The applicant's name was submitted among others. Mr. BRADY recalled that applicant was highly recommended by the Dean who stated that although his grades were not as high as some of the others, that he had a lot of "push" that the other men did not have. Upon being hired, he was first put through a short course of training and was then put to work investigating cases. Later he was given small matters to adjust and after he was admitted to the Bar, he was permitted to try small cases in the district court.

On the basis of this work, applicant was well above the average employee in Mr. BRADY'S opinion. He considered him resourceful as an investigator and possessed of plenty of nerve so that he went to places where other men of his class would not go. He believed him to be level-headed, alert, intelligent and possessed of good judgment considering his youth and lack of experience.

Mr. BRADY stated that after applicant passed the Bar Examination he believed he asked for more money. The company, however, was unwilling to pay a substantially higher salary because of the short time he had been employed. Consequently, the applicant quit advising that he expected to obtain a position with Senator BRIDGES. It was BRADY'S understanding that he did not obtain this position until some several months later; that one of his reasons for making the change was the fact that the position with Senator BRIDGES would pay more, at least twice as much money, and that he needed this money in order to help support his Mother.

It will be noted below in connection with the interview with EDWARD Y. BLEWETT, a reference of applicant, that the applicant quit the GLOBE INDEMNITY COMPANY because he refused to do some unethical work which they had requested of him. Mr. BLEWETT made no reference to any altercation but indicated that the parting was on good terms.

The records of the Supreme Judicial Court reflected that RICHARD DEXTER AUERBACH was admitted to the Massachusetts Bar on November 9, 1937. The papers filed reflect that his petition for admission was filed on June 14, 1937, and that his admission was moved by FREDERICK S. AUERBACH. The petition was supported by letters of recommendation from GEORGE R. FARNUM and S. LANG MAKRAUER. A certificate of Boston University Law School was also on file. The applicant was examined on June 1, 1937 by the Board of Bar Examiners and passed his examination as of November 1, 1937.

The applicant's own statement reflected that he was born at Brookline, Massachusetts August 18, 1911; that he resided at 36 Robbins Road, Arlington, Massachusetts. His previous residences were given as Brookline, Massachusetts 1911-1922; Melrose, Massachusetts 1922-1926. His previous education was shown as it appears earlier in this report. He further furnished the information that he failed his examinations at Harvard Law School due to illness and lack of preparation. It is also indicated that he attended the HELEN WEST BRADLEE Bar Review Course. There is a certificate on file from HELEN WEST BRADLEE, Attorney, 141 Milk Street, to the effect that the applicant attended her Bar Review Course from February 17 to June 28, 1937.

The application also reflected that the applicant had previously been employed by MOORE, MacCORMICK AMERICAN SCANTIC LINE, 5 Broadway, New York City, as a steward on voyage to Brazil during the summer of 1935 and by J. WARREN SPEAKER, DUTCHLAND FARMS, INC., Brockton, Massachusetts as a clerk in the summer of 1936. He indicated that he intended to enter the law firm of his uncle, McPHERSON, AUERBACH AND FARNUM.

Efforts were made to contact HELEN WEST BRADLEE to determine whether she gave grades on the Bar Review Course. These efforts have been unsuccessful due to Miss BRADLEE'S absence from the city. Inasmuch as she has filed a certificate under oath showing that the applicant actually attended the course, no undeveloped lead is being set forth to check this farther.

At the Army Base, Boston, Massachusetts, the A.G. 201 file on the applicant was made available to the Agent through a confidential contact whose name will be furnished the Bureau in a separate letter. This reflected that the applicant was certified on January 6, 1933 by E. W. PUTNEY, Lieutenant Colonel G.A.C. Professor of Military Science and Tactics at the University of New Hampshire, and that on June 3, 1933 he received an appointment as Second Lieutenant in the Reserves, his number being 0-305,580.

The applicant took training at Fort Devens from June 17, 1932 to July 28, 1932 and at Fort McKinley, Maine, June 15 to June 30, 1933, and at the same time he applied for active duty with C.C.C. but was refused as no appointments were being made at that time. A report was submitted on the applicant by LAWRENCE BABCOCK, Second Lieutenant, regarding his service in 1933. He was graded "Fair" in all qualifications and received a score of 163 in Machine Gun Course which placed him very high in the group of officers. His mediaal examination July, 1933, showed

that he had been vaccinated for typhoid and smallpox; that there were no physical defects except his eyes--where the following comment was made: "Astigmatism myopic, right, mild, not properly corrected". For distant vision he was shown as 20/30 in the right eye and 20/20 in the left eye. For near vision he was shown as J-1-13 in both eyes. He received training in a Machine Gun School at Fort Ethan Allan, Vermont, from August 26, 1934 to September 8, 1934.

Applicant's 1934 medical examination showed everything normal with the exception of his eyes which received the following grading: "Distant vision 20/15 right eye, 20/10 left eye; near vision J-1-13 in both eyes." He was graded that year by W. S. O'DONOGHUE, Captain, and was given a grade of "satisfactory" in all qualifications. The comment was made that he was not yet qualified for duty with civilian components because of inexperience; that he talked quite a bit and still retained some collegiate youthfulness in his attitude but that he should be quite valuable to the service as his experience and training increased.

During 1934 and 1935 applicant attended the Army Extension School and Troop School meetings. At his request he was given a certificate of capacity for promotion to First Lieutenant Reserve on March 1, 1935. On June 25, 1935 he officially advised the Army that he intended to leave the country for four months to goto Paraboca, Brazil, on business.

Applicant received further training atCamp Devens, Massachusetts from December 1 to December 15, 1935. He was graded on this training by Captain FRANK J. SPETTEL. He rated him "excellent" inmost qualifications and "satisfactory" in the others. He recommended him for promotion. Captain SPETTEL made the following comment: "He is a law student discerning and interrogative. He is conscientious in his work. He handles men well". Captain SPETTEL considered him(the applicant) qualified to handle a company and recommended him for further active duty with the C.M.T.C. unit or other active duty for longer periods.

On July 15, 1936, the applicant was promoted to First Lieutenant, Infantry Reserves, and he took his oath on July 27, 1936. The record does not reflect that active duty training was taken in the year 1936 or 1937. He did have active duty at Fort Devens from April 3, 1938 to April 17, 1938. The most recent physical examination in the file is dated April 13, 1938.

The physical examination of April 13, 1938 reflected that the applicant was twenty-seven years old on his nearest birthday; that his height at the time was 71½ inches; weight 152 pounds. No defects of any nature were noted and the report reflected that no defects in eyes were noted, the applicant being rated 20/20 in both eyes for distant vision and J-1 in both eyes for near vision. The grading for that training was given by Captain A. C. BLAIN, who rated him either "satisfactory" "very satisfactory" or "excellent"in all qualifications and made the following comment: "modest, capable, and loyal officer. Eager to learn and in time of war would be an asset to any company to which assigned".

The report further reflected that the applicant had ability qualifications of the next higher grade, and that with proper training would be an excellent officer in time of war.

It is noted that the last period of training was taken in a light tank company, the transfer having been made at the applicant's request.

It is further noted that the applicant is presently taking an Army Extension Course known as Sub-Course 30-9. He is tentatively scheduled to take training from August 13, 1939 for a period of fourteen days provided he finishes in the meantime twenty-five hours of this extension course. The file contains two recent wires from STYLES BRIDGES, United States Senator, requesting information as to the period of the applicant's attendance and his qualifications therefor.

REFERENCES:

GEORGE R. FARNUM, Esquire, 6 Beacon Street, is a former Assistant United States Attorney and former Assistant Attorney General, and has advised that he is a personal friend of the Director. Prior to the suicide of applicant's uncle, FREDERICK S. AUERBACH, he and FARNUM were associated together with another attorney under the name of McPHERSON AND FARNUM. At the present time, Mr. FARNUM occupies this office alone. Mr. FARNUM advised that he has known the AUERBACH family for many years; that he knew the applicant's Father before his death, and that he was a reputable member of the Bar.

Regarding FREDERICK AUERBACH, Mr. FARNUM stated that McPHERSON and AUERBACH were not partners but merely associated together in the same office; that consequently he was not personally familiar with the nature of AUERBACH'S business. [redacted] b7D

FREDERICK AUERBACH controlled the estate of his brother, JUNIUS AUERBACH, and it was found that he had lost most of the assets of this estate in his own ventures. The applicant himself was not in any way involved in his uncle's affairs except as a victim, being one of the beneficiaries of his Father's estate.

According to Mr. FARNUM, the applicant was never very close with his uncle and did not enter the office as a clerk or student during his law school years or after hisgraduation. He visited the office occasionally but these visits had nothing to do with business so far as FARNUM knew.

Mr. FARNUM commented favorably upon the manner in which the applicant and the members of his family acted upon learning of their loss. He stated that the applicant took hold right away and obtained a job for himself so that he could help support his Mother. After his

admission to the Bar, the applicant entered the law office on the same corridor as FARNUM with an attorney named JOHN J. O'NEIL. He was in this office only a very short time and so far as is known, had no practice before going with Senator BRIDGES. He first told FARNUM of the possibility of an opening with BRIDGES and requested and received a letter of recommendation.

To FARNUM'S knowledge, the applicant has never been active politically. He does not know how the job with BRIDGES was obtained. As to the applicant's qualifications, FARNUM stated that he has had occasion to observe him closely; that he appears to be intelligent, able and aggressive; that he has a good personality. He considered the applicant a "live wire" but by no means obnoxious in his manner.

Mr. FARNUM stated that he has had no actual opportunity to observe him as a lawyer or as a worker. However, from what he knows of applicant, he felt no hesitation in recommending him for a position with the Bureau and believed that the Bureau would be acquiring a valuable man.

TIMOTHY G. RYAN, Jr. Esquire, 73 Tremont Street, advised that he has known the applicant for about four years; that he last saw him about one year ago. During the period that the applicant was in Boston, RYAN had occasion to see him frequently, particularly since O'NEIL , in whose office the applicant practiced, is a brother-in-law of RYAN.

Regarding the applicant's uncle, FREDERICK AUERBACH, RYAN stated that the latter committed suicide because of heavy debts; that he was in a bad hole financially and according to his own code of honor, committed suicide rather than go into bankruptcy. No particular scandal resulted from the suicide and so far as RYAN knew, there was nothing of a criminal nature involved, nor any misuse of clients' funds. What had apparently happened was that FREDERICK AUERBACH had become accustomed to an expensive scale of living and was unable to adjust himself to a smaller income.

To Mr. RYAN'S knowledge, FREDERICK AUERBACH was never especially close with the applicant, nor memb ers of his immediate family.

Mr. RYAN was aware that the applicant was presently working as Secretary to Senator BRIDGES of New Hampshire and stated that Senator BRIDGES investigated him rather thoroughly before taking him on. This appointment was based entirely on merit, as the applicant had never been politically known, nor had he ever contributed to BRIDGES' campaign fund, nor had he ever "stumped" for BRIDGES.

Mr. RYAN stated that he was frequently in the applicant's company when other members of the group were drinking but that the applicant abstained entirely from liquor during business hours and as far as he had heard, was never known to drink to excess. Also, to the best of his knowledge, the applicant had never gambled. He stated that both applicant and the members of his immediate family were considered above reproach and of excellent reputation.

Mr. RYAN recommended applicant highly for intelligence, character,

grasp of detail, aggressiveness, persistence, personality and appearance.

S. LANG MAKRAUER, Esquire, of the law firm of BARNES, SHERDON AND MAKRAUER, 75 Federal Street, was one of the applicant's references at the time of his application for admission to the Bar. MAKRAUER advised the writer that he has known the applicant for about eight years. He was also acquainted with the applicant's late uncle FREDERICK AUERBACH. Regarding the suicide of FREDERICK AUERBACH, he stated that this did not cause any particular scandal or excitement in Boston, even in legal circles. The local papers carried only a very small item regarding the death and AUERBACH himself was not sufficiently prominent as a lawyer to create any particular furore.

MAKRAUER stated that he has known the applicant well, both in a business way and socially; that the applicant has called upon a young lady in MAKRAUER'S family and has visited MAKRAUER'S home on various occasions. He stated that he could recommend the applicant highly for a position with the Bureau and believed that the Bureau would be receiving a valuable adjunct. There was some question in MAKRAUER'S mind as to whether the applicant was not capable of attaining a higher position than the Bureau might be able to offer him.

Mr. MAKRAUER expressed himself as having great respect and admiration for the Bureau and its work and felt that experience with the Bureau would be of great value to the applicant's development. In his opinion, the applicant had already gone much farther than most young men of his age. He recommended the applicant highly for intelligence, ability, personality, aggressiveness and appearance. He stated that from his own observation the applicant was always striving to better his circle of acquaintances; that he was, however, by no means a snob and was able to mix with all types of people.

G. ARTHUR HASTINGS of the AUSTIN HASTINGS STEEL CORPORATION, Binney Street at Sixth Street, Cambridge, Massachusetts, advised that he has known the applicant for the past thirteen years--or in other words--ever since the applicant moved to Arlington, Massachusetts. HASTINGS resides at 37 Robbins Road, which is across the street from the applicant's home. The applicant has been a frequent visitor at HASTINGS' home, and Mr. HASTINGS believes him to be the highest type of boy in the neighborhood. He considers him exceptionally fine and of excellent character and reputation. He stated that he has never observed the applicant drinking but has every reason to believe that he will take a social drink. However, he has never heard of him drinking to excess.

Mr. HASTINGS stated that the applicant's Mother had a nervous breakdown after the suicide of FREDERICK AUERBACH. The family never spoke of any loss that they might have sustained through this suicide, but just before FREDERICK AUERBACH'S death, they were contemplating the purchase of a new automobile. After the death, they failed to purchase the car. Consequently, it was general talk in the neighborhood that they probably sustained considerable losses. Moreover, the family appeared to be very much depressed for a long time after FREDERICK AUERBACH'S death.

Mr. HASTINGS has seen the applicant within the past few months and knows that the applicant is presently boosting Senator BRIDGES for president and that whenever he returns to Arlington, he tries hard to obtain backers for BRIDGES candidacy. He considers the applicant intelligent and able, very thorough in everything that he undertook, polite, thoughtful, attentive, and commented particularly that the applicant was good to his Mother. He stated that he himself would have no hesitation hiring the applicant in his own plant; that he would not employ him as an attorney, however, because of the applicant's lack of experience.

LOUIS SCHWAMB operates the SCHWAMB PIANO FACTORY in Arlington and resides at 34 Robbins Road, which is adjacent to the applicant's home. Both Mr. and Mrs. SCHWAMB informed that they have known the applicant since his family moved to the neighborhood thirteen years ago; that the family has an excellent reputation; that the Mother and both sons are considered the very finest people.

The applicant has been a frequent visitor at the SCHWAMB home, and they have observed him as he was growing up and they are both very fond of him. They considered him a very fine boy, intelligent and of good appearance and personality. Neither he nor his immediate family have ever been mixed up in any scandal, and so far as they knew, the applicant has always mixed with a good group of acquaintances.

Mr. SCHWAMB stated that he had no opportunity to judge the applicant's ability as a lawyer because of the very short time that he had attempted to practice law; that he understood the applicant held a good job with Senator BRIDGES and that he was doing well in that position.

JAMES W. REARDON resides at 14 Allen Street, Arlington, Mass. Inquiry at this place resulted in the information that REARDON is presently on his vacation and will not be available for interview until his return which is expected on the evening of July 31, after which he will remain in the city for two or three days.

<u>AT DURHAM, NEW HAMPSHIRE</u>

The following investigation was conducted by Special Agent H. A. MARTIN:

EDWARD Y. BLEWETT, reference, was interviewed. Mr. BLEWETT is a Dean of the school and is acting president for the present summer session at the University of New Hampshire. He was well acquainted with the applicant since the applicant's brother, EUGENE, is Director of Appointments of the school and a close personal friend of BLEWETT'S. BLEWETT first became acquainted with the applicant when he visited the school while his brother was still an undergraduate. The applicant's father was formerly a prominent and successful Boston attorney but died of influenza during the epidemic of that disease in 1921. The family was left in very comfortable circumstances and the applicant's uncle, FREDERICK AUERBACH, was appointed trustee of the Father's estate. He described the applicant's family as being a very closely knit unit, as the Mother made frequent trips to the school to see both of her sons.

When the applicant first entered, he seemed a little "cocky" as most freshmen do who are acquainted with the school as was the applicant, but he soon settled down and became a good student. BLEWETTdescribed the applicant as a leader of student opinion and a good student, very prominent in R.O.T.C. activities. In his senior year, he was Cadet Captain of Company A of the R.O.T.C. Infantry which would make him the fifth ranking student officer. Following his graduation he entered Harvard Law School but found the going too tough for him as the long hours of study required there did not permit him any exercise and his kidneys went back on him. As a result, he withdrew from Harvard and entered Boston University where the requirements were less rigid.

Following his graduation, the applicant went to work for some Mutual Insurance Company, the name not recalled by BLEWETT but resigned there because of some unethical matter which they asked him to do. He then became associated with the law firm of which his Father was formerly a member. While there, a request was received at the University of New Hampshire from Senator STYLES BRIDGES of New Hampshire for a recommendation of a young man with legal training to assist in BRIDGES' office. This request was, as a matter of course, directed to the desk of the applicant's brother as Director of Appointments. The brother discussed the matter with BLEWETT and suggested that the applicant be recommended, and as a result, BLEWETT wrote Senator BRIDGES making the recommendation.

With regard to the uncle's suicide, BLEWETT advised that EUGENE AUERBACH had told him that as trustee of their Father's estate, the uncle had used funds of the estate as well as their Mother's jewelry and other property kept in their safe deposit box in an endeavor to recoup his losses on the stock market, and that it was believed that this was the cause of his suicide.

Mr. BLEWETT described the applicant as an honest and very industrious fellow who has always been a hard worker and has "a keen sense of ethical proportions". His habits are of the best. Dean BLEWETT recommended him most highly as an applicant to the Bureau.

NEIGHBORHOOD INVESTIGATION

AT ARLINGTON, MASSACHUSETTS

The writer contacted separately Mrs. MULLOON of 29 Robbins Road and Mrs. O'CONNELL of 42 Robbins Road. Both advised that they have known the applicant and his family since they have moved to the neighborhood thirteen or fourteen years ago, and that they have considered the family very nice people and stated that they have an excellent reputation in the community. The applicant himself was considered a very fine boy and has the reputation of being a smart boy and holding a good position. They stated that there never has been any scandal connected with either the applicant nor the members of his family in the community. As far as they knew, the applicant mixed with a good group of young people.

LOUIS DOCTOROFF operates a drug store at the corner of Massachusetts Avenue and School Street, which is about two blocks from the

applicant's home. He advised that he knows the AUERBACH family; that they are considered a good family in the community and that there never has been any scandal connected with either the applicant or his family. The applicant has the reputation of being a good, clean living boy. During the last two years or so, the applicant has not been seen very much in the neighborhood.

Mr. DOCTOROFF stated that he knows of no credit difficulties in which the applicant or his family have ever been involved.

CRIMINAL AND CREDIT HISTORY

At the Massachusetts Board of Probation, [redacted] searched the records and advised that there was no criminal record for the applicant. b6 b7C

At the Merchants Credit Bureau, 52 Chauncy Street, [redacted] produced a credit report on the applicant dated 1937. This reflected that the residence at 36 Robbins Road was listed in the name of applicant's Mother and that it had the assessed valuation of $6,300. The report in all respects was favorable to the applicant and his family.

The applicant does not have any particular credit accounts around Boston so far as is known at the present time. His past credit history has been favorable.

AT DURHAM, NEW HAMPSHIRE

Special Agent H. A. MARTIN interviewed Mr. LOUIS BOURGOUIN, Town Constable of Durham, who advised that he knew the applicant personally; that he had never been in any trouble in Durham, and that he knew that the applicant's credit standing was excellent since Durham, being a small town, poor credit risks are known to every one, and he has never heard anything detrimental to the applicant in this regard.

UNDEVELOPED LEADS

THE WASHINGTON FIELD DIVISION

AT WASHINGTON

Will conduct appropriate interview with Senator STYLES BRIDGES of New Hampshire, the applicant's employer, regarding the applicant's work for him as Secretary.

THE NEW YORK FIELD DIVISION

AT NEW YORK CITY

As requested in reference telegram, will interview MOORE MacCORMICK DIVISION of the AMERICAN SCANTIC LINE, 5 Broadway, New York City, regarding applicant's employment as steward on a voyage to Brazil the summer of 1935.

THE BOSTON FIELD DIVISION

AT ARLINGTON, MASS.

On or after July 31, 1939, will interview JAMES W. REARDON, reference, 14 Allan Street.

P E N D I N G

FEDERAL BUREAU OF INVESTIGATION

Form No. 1
THIS CASE ORIGINATED AT WASHINGTON FIELD

FILE NO. 67-1098 IMC

REPORT MADE AT	DATE WHEN MADE	PERIOD FOR WHICH MADE	REPORT MADE BY
BOSTON, MASS.	8/2/39	8/2/39	N. S. HARZENSTEIN

TITLE	CHARACTER OF CASE
RICHARD DEXTER AUERBACH, also known as DEXTER AUERBACH	APPLICANT - SPECIAL AGENT

SYNOPSIS OF FACTS: JAMES W. REARDON, reference, recommends Applicant highly for honesty, intelligence, and appearance. Believes Applicant possibly too forward in manner.

- RUC -

REFERENCE: Report of Special Agent N. S. Harzenstein, Boston, 7-27-39.

DETAILS: AT BOSTON, MASSACHUSETTS.

JAMES W. REARDON, reference, 14 Allen St., Arlington, Mass., was finally reached by telephone. He advised that he has known the Auerbach family for the past thirty five years or more; that the Applicant's father, during his lifetime, was counsel for the Boston American, of which Reardon was then Editor. The father was considered a very able, reliable attorney of excellent reputation. Mr. Reardon has known the Applicant all his life, as well as the other members of the Applicant's immediate family. He has not seen the Applicant very often since the latter's sojourn in Washington.

Three or four months ago Auerbach visited Mr. Reardon, but Reardon was seriously ill at the time and was in no condition to talk much with Applicant. He stated that he believes the Applicant is entirely honest, very intelligent, and considers him a bright boy. He stated that he has no personal knowledge of the Applicant's ability beyond the fact that he understood he had gone through school with good grades. He said that he has never had occasion to discuss the Applicant or the other members of his family with other people so that he is in no position to speak regarding their reputation. From his personal

APPROVED AND FORWARDED: [illegible] SPECIAL AGENT IN CHARGE

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2 - Boston

contact with them he believes them to be a very fine family and thinks that they probably enjoy an excellent reputation.

He spoke highly of the Applicant's manner, appearance, and conduct. The only fault that he could find with the Applicant was the fact that he may be a little too forward in his approach. Mr. Reardon said that he himself is rather old fashioned in his ideas and that he considers the Applicant possibly a bit "brash". He said that it is entirely likely that the Applicant has "toned down" in this regard during the last few years, but it may be possible that he may need still further "toning down".

In conclusion, Mr. Reardon said that he would recommend the Applicant highly as a Special Agent of the Bureau; that he believes him to be at least a "95% man", the other 5% being represented by the Applicant's forwardness.

REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN

FEDERAL BUREAU OF INVESTIGATION

Form No. 1
THIS CASE ORIGINATED AT WASHINGTON, D. C. FILE NO. 67-8969

REPORT MADE AT	DATE WHEN MADE	PERIOD FOR WHICH MADE	REPORT MADE BY
WASHINGTON, D. C.	8/7/39	7/22,24/39	C. RAY DAVIDSON CRD:IJ

TITLE	CHARACTER OF CASE
RICHARD DEXTER AUERBACH, also known as DEXTER AUERBACH	APPLICANT - SPECIAL AGENT

SYNOPSIS OF FACTS: Applicant has been on the staff of Senator H. STYLES BRIDGES of New Hampshire since June 1, 1938, as Research Assistant, Legal Assistant, Clerk, etc. Senator BRIDGES advised that he has rendered exceptionally efficient services in every detail and that he possesses the necessary intelligence, diplomacy, and other qualities for development into an outstanding Special Agent. Neighborhood investigation favorable. Applicant presently First Lieutenant in the Officers Reserve Corps, U. S. Army Infantry, and has maintained creditable service record; however, this date of birth was reflected as August 18, 1910, whereas the reference letter indicates his date of birth as August 18, 1911. Applicant is a member in good standing of the Reserve Officers Association. He has not been admitted to practice law in the District of Columbia. Satisfactory credit rating; no criminal record in Washington, D. C.

C.

REFERENCE: Bureau letter dated July 20, 1939 (67-101010).

DETAILS: AT WASHINGTON, D. C.

APPROVED AND FORWARDED: SPECIAL AGENT IN CHARGE

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AUG 10 A.M.

U. S. GOVERNMENT PRINTING OFFICE 7—2034

Senator H. STYLES BRIDGES informed that the applicant has been in his employ since June 1, 1938, at a salary varying from $1800 to $2400 per annum, and that he has been employed as Research Assistant, Legal Assistant, Clerk, and in other odd capacities. The Senator predicated his statements concerning the applicant with the information that his services were obtained through the New Hampshire University, from which University the applicant graduated, and that he made a special request of the University for the services of a young man who had achieved a creditable scholastic record and who was a Law School graduate in order that he might assist the Senator in various legal problems inasmuch as he, the Senator, is not a Law School graduate. He added that the applicant was employed for the purpose of performing detailed research work in connection with the drafting of speeches and articles to be written over the Senator's signature. Senator BRIDGES declared that the applicant has fulfilled this position in an admirable fashion and that he has displayed resourcefulness, intellect, and mental alertness far above the average. He called particular attention to the exhaustive research work performed by the applicant in preparing his article entitled "The Necessity of Universal Fingerprinting", which appeared in a recent True Detective Magazine, adding that practically the entire substance of this article was prepared by the applicant through the assistance of the FBI. The Senator has also written numerous articles on his interpretation of the pardon system, which articles demanded exhaustive research work on the part of the applicant. The Senator has had occasion to discuss the applicant's aspirations of becoming a Special Agent with him and advised that he has expressed a zealous desire of becoming an Agent, and in the Senator's opinion he is definitely cut out for that particular type of work inasmuch as he possesses the enthusiasm, which in his mind would be a necessary characteristic to becoming a successful Special Agent. The Senator further informed that the applicant has always displayed diplomacy and tact in receiving constituents who appear at the office, in addition to numerous contacts and inquiries by other members of the Senate, and that the applicant has always conducted himself in a highly acceptable manner. He called particular attention to the fact that numerous letters have been received by him concerning the courteous and enthusiastic manner in which the applicant has received the Senator's constituents. The Senator declared that the only possible fault which he could find with the applicant is the fact that he might be considered impulsive, but in the Senator's mind, this is definitely

attributable to his eagerness, and in no way can it be attributed to lack of tact or diplomacy. The Senator frankly considered this an attribute rather than a detriment inasmuch as it is quite indicative of the applicant's enthusiasm and the fact that he thinks for himself. The Senator went into lengthy detail in relating all of the sterling qualities possessed by the applicant, adding that he is neat in his personal appearance and his work; that he is thorough in every undertaking, and has always been amenable to discipline and has accepted all criticism in a commendable manner.

The Senator summarized his statements by stating that there is no one he could recommend more highly for a position with the FBI, and that he believed the applicant possesses all the outstanding and sterling qualities demanded of Bureau employees. The Senator desired that it be understood that the applicant has not become a political minded young man since being in his employ, but to the contrary, he looks upon things from the laymen's point of view and not from the point of view of a politician.

Through [redacted] Adjutant General's Office of the War Department, the service record file, No. 0-305,580, of the applicant was reviewed from which it was ascertained that the applicant was appointed a Second Lieutenant in the Officers Reserve Corps of the 66th Infantry, U. S. Army, on June 3, 1933, and that on July 15, 1936, he was promoted to the rank of a First Lieutenant. There was nothing in this file to indicate that the applicant's service record has been other than satisfactory. However, the following was noted under the heading of "Medical History" in the physical examination afforded him upon his application for a commission in the Reserve Officers Corps: "Fractured lower left tibia, 1922; closed reduction with bone plates; recovery complete. Mild deformatory of left costal arch, upper left ankle." It was further noted that the applicant listed his date of birth as being August 18, 1910, at Brookline, Massachusetts. It is noted that the reference letter reports the applicant's date of birth as being August 18, 1911. In the applicant's application for a commission in the Reserve Corps, he informed that his father was born at Montgomery, Alabama, and that his mother was born in Ireland. It was also ascertained from these records that applicant has received active duty training from June 15, 1933, to June 28, 1933, and that this training was received at Fort McKinley, Maine; that from August 26, 1934, until September 8,

b6
b7C

1934, he received training at Fort Ethen Allen, Vermont.

[redacted] clerk in the office of the Reserve Officers Association at 1726 Pennsylvania Avenue, N. W., informed that the applicant is a member in good standing of that Association and that his membership is to expire on March 31, 1940. b6 b7C

In conducting the neighborhood investigation at 1650 Park Road, [redacted] colored maid, informed that applicant has resided there for approximately six months and that in her opinion he is a very desirable young man in every respect.

MRS. EDITH PAUL, owner of the residence at 1650 Park Road, informed that the applicant has roomed in her house since January 1, 1939, and that he is an outstanding young man in every detail; that his conduct and personal habits are above reproach, and he possesses culture and intelligence above average, adding that his rental obligations have always been met promptly and that he is neat in his personal appearance as well as the manner in which he keeps his room. She further informed that the applicant has been closely pressed financially; that for this reason he has cut his pattern of living according to his income and that he lives well within his means. MRS. PAUL went into great detail in acquainting the Agent with the sterling qualities possessed by the applicant stating that in her opinion he would definitely be an asset to any organization. She informed that the applicant is a moderate drinker; that he partakes of an occasional highball but she has never observed him under the influence of liquor and she is positive he has never over-indulged in the same.

[redacted] Clerk's Office, United States District Court for the District of Columbia, telephonically informed that the applicant has not been admitted to the District of Columbia Bar.

[redacted] Stone's Mercantile Agency, 1419 H Street, N. W., and [redacted] The Credit Bureau, 1221 G Street, N. W., informed that the applicant has maintained a satisfactory credit rating in Washington, D. C.

SERGEANT [redacted] Record Clerk, Metropolitan Police Department, informed that the applicant does not have a criminal record in Washington, D. C.

- C L O S E D -

JOHN EDGAR HOOVER
DIRECTOR
AHC:GD
67-101010

Federal Bureau of Investigation

United States Department of Justice

Washington, D. C.

August 19, 1939

BRIEF OF INVESTIGATION

RE: RICHARD DEXTER AUERBACH
or DEXTER AUERBACH
Special Agent Applicant

no action 8/22/39

	1st	2nd
Written rating:	63%	76%
Oral " :	17%	76%
Composite " :	40%	76%

Age: 28
Single

A.B. - Univ. of New Hampshire
LL.B. - Boston Univ. Law School
Member Massachusetts Bar

EDUCATION

High School, Melrose, Mass., 1925-26; High School, Arlington, Mass., 1926 - Jan., 1928

Inasmuch as records at the Arlington High School reflected grades for both the Melrose and Arlington High Schools, records at the Melrose High School were not checked. Applicant left Arlington in the middle of his Junior Year. The grades received indicated he was about an average student, receiving a grade of C, which is the lowest passing grade, in most of his courses. He failed Latin and Algebra.

Dean Academy, Franklin, Mass., Jan. 1928 - June 1929

Applicant completed two years work and graduated 91st in a class of 141. His average grades were considered good. Miss Walsh, Secretary, recommends applicant without reservation.

University of New Hampshire, Durham, N. H. 1929 - 1933

Records show applicant received his A. B. degree, graduating in the upper quarter of his class with an average of 79 (60 being the lowest passing grade). He majored in Political Science, making an average of approximately 82 in this subject the entire course. His lowest grades were in the sciences, receiving in one course of Biology a barely passing grade of 60. He was outstanding in Military Science, receiving excellent marks in that course. Dean Blewett, a reference, stated applicant seemed a little "cocky" when he first entered the University but soon settled down and became a good student, being Cadet Captain of Company A of the ROTC in his Senior Year, making him fifth ranking student officer.

Harvard Law School, Cambridge, Mass., 1933 - 1934

Applicant failed four courses out of five taken, passing one course in contracts with a grade of 56, on a basis of a passing grade of 55. On applicant's Bar application he stated he failed these examinations due to illness and lack of preparation. Mr. Blewett, a reference, stated applicant found the going at Harvard too tough for him as the long hours of study required there did not permit him any exercise and his kidneys went back on him. As a result, he withdrew from Harvard and entered Boston University (see below) where the requirements were less rigid. In his personal interview of April 10, 1937, applicant stated he was "busted out" of Harvard. In his personal interview of July 14, 1939 he stated he flunked for several reasons, which he stated were having too much money; being too much impressed with the girls; and that he was thrust into the University environment with which he was entirely unfamiliar and for which he was unprepared.

Do not write in this space

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Number 67-101010-27
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EDUCATION: (Continued)

Helen West Bradlee, Bar Review Course, Feb.-June 1937 (not on appl. taken from Bar records) — Bar records reflect a certificate under oath from Attorney Helen West Bradlee to the effect applicant attended her school. She was not available for interview.

Boston University, Law School, Boston, Mass., 1934 - 1937 — Applicant graduated with an LL.B. degree, with an average of not quite B or honor grade. In his final year applicant's average grade was a B or 84-86, which is considered an honor grade. He was graduated 27 in a class of 152. Miss Foster, Secretary, stated he was intelligent and clean appearing.

Member of Massachusetts Bar — Admitted in November 1937.

EXPERIENCE:

Great Atlantic & Pacific Tea Co., Boston, Mass., Warehouse Employee, Sumers 1931 - 1934 — Applicant was graded Good for ability, attitude, personality and attendance.

American Scantic Line, NYC, Steward, summer 1935 — Records of U. S. Maritime Commission show applicant signed the articles of the S. S. Minequa, on July 2, 1935, in the capacity of waiter and was released by mutual consent at the end of the cruise on August 27, 1935.

NYA, State Law Library, Boston, Mass., no position stated, 1935-36; Boston, Univ., Proctor, examination marker in Commercial Law, 1936-37 — Miss Knowlton, Head Librarian, advised she considered applicant a step ahead of the others and believed he had intelligence and assurance and so had given him the most difficult jobs to do. She stated he was able to handle these jobs which would normally be confusing to a novice, in an intelligent, accurate fashion without assistance; and she has come across his work occasionally and found that it has been done correctly. Miss Foster, in charge of NYA work at Boston University, stated applicant's work as proctor and examination marker was satisfactory.

Dutchland Farms, Inc., Brockton, Mass., Clerk, Summer 1936 — No record of applicant's employment could be found, however, the company leases a number of roadside restaurants to various individuals and these persons maintain their own individual records, and there is no way of determining which of them might have employed applicant.

Globe Indemnity Co., Boston, Adjuster-Attorney, July '37-Feb. '38 — Mr. Brady, Claims Manager, stated applicant was recommended by the Dean of Boston University as one whose grades were not as high as some of the others, but he had a lot of "push" the others did not have. After a short training course, he was put to work investigating cases, later did adjustment work and after his admittance to the Bar was permitted to try small cases in the district court. On the basis of this work applicant was considered well above the average employee; being a resourceful investigator; possessed of plenty of nerve to go places where other men of his class would not go; he was level-headed, alert, intelligent and possessed good judgment considering his youth and lack of experience. After passing the Bar applicant asked for more money, and since the company did not think it justified because of the short time he had been employed, applicant quit, obtaining a position several months later which paid at least twice as much. It is noted Mr. Blewett, a reference, stated applicant quit because he refused to do some unethical work which they had requested of him. Mr. Blewett made no reference to any altercation but indicated that the parting was on good terms. In personal interview of July 14, 1939, applicant stated he resigned because his work was not satisfactory and that he also wished to handle the closing out of his uncle's affairs. In connection with the former reason for resignation, he stated that in an

b7D

EXPERIENCE: (Continued)
adjustment claim for $20 he settled the claim for $10. Subsequently, an uncle of the claimant who had a large policy with the same company withdrew his policy and the local office received a reprimand from the home office. He did not see that the situation could have been foreseen as it was a very unusual claim but he was held at fault.

Law Practice, Boston, Mass.
Handled estate of uncle, Fred S. Auerbach, 4 months, 1938.

During the personal interview applicant stated that his uncle, Fred S. Auerbach, committed suicide in November 1937; that his uncle was a prominent attorney in Boston and trustee for applicant, his mother and brother under the terms of his father's will; that his uncle misappropriated all the funds of the estate totaling about $60,000, leaving the family penniless and that he and his brother are supporting his mother. He also stated that his uncle embezzled about $100,000 of funds belonging to his clients in four months. After his uncle's death, applicant took over the office and made such settlements as possible. He stated there was no great publicity or scandal, and that he, applicant, personally had no connection with the matter, except as victim of financial loss. Mr. Farnum, former Assistant Attorney General and former Assistant U. S. Attorney, and a personal friend of the Director (also a reference), advised that he was associated with applicant's uncle prior to his suicide; that they were not partners, but were merely associated in the same office. [redacted] The uncle controlled the estate of applicant's father, and he lost most of the assets of this estate in his own ventures. Applicant was not in any way involved in his uncle's affairs except as a victim, being one of the beneficiaries of his father's estate. Mr. Farnum commented favorably upon the manner in which applicant and members of his family acted upon learning of their loss, stating that applicant took hold right away and obtained a job so that he could help support his mother. Mr. Farnum stated that after applicant was admitted to the Bar, he entered the law office of Attorney John J. O'Neil (not interviewed), but was in this office only a very short time and so far as is known had no practice before going with Senator Bridges (below). Mr. Farnum stated applicant has never been active politically; that he is a "live wire" but by no means obnoxious in his manner. Attorney Ryan and Attorney Makrauer, references, substantiate the facts of the suicide as given above. Mr. Makrauer stated that the suicide did not cause any particular scandal or excitement in Boston, even in legal circles; that the local papers carried only a very small item regarding the death and the uncle, himself, was not sufficiently prominent as a lawyer to creat any particular furore. He stated there was some question in his mind as to whether applicant was not capable of attaining a higher position than the Bureau might be able to offer him. Mr. Hastings, a reference, stated applicant's mother had a nervous breakdown after the uncle's suicide; that the family never spoke of any loss and appeared to be very much depressed for a long time. Mr. Hastings stated he would have no hesitation in hiring applicant in his own plant, but would not employ him as an attorney, because of his lack of experience.

Senator Style Bridges, D. C.
Staff, since June 1938

Senator Bridges stated applicant was employed by him as Research and Legal Assistant, Clerk and in other odd capacities. Applicant was employed to do detailed research work in connection with the drafting of speeches and articles to be written over the Senator's signature and he declared applicant has fulfilled his position admirably, displaying resourcefulness, intellect, and mental alertness far above the average. He called attention to applicant's exhaustive research in preparing his article entitled "The Necessity of Universal Fingerprinting", which was published in True Detective Magazine, stating that practically the entire article was prepared by applicant with the assistance of the FBI. The Senator has also written numerous articles on his interpretation of the pardon system, which articles

EXPERIENCE: (Continued)
demanded exhaustive research work on the part of applicant. The Senator stated applicant possessed a zealous desire to be an Agent and believes he is definitely cut out for that particular type of work, possessing the enthusiasm which would be a necessary characteristic to becoming a successful Agent. Applicant has always displayed diplomacy and tact and the only possible fault he might have is that he might be considered impulsive, but in the Senator's mind, this is definitely attributable to his eagerness and in no way attributed to lack of tact or diplomacy, and he also considered it as indicative of applicant's enthusiasm and the fact that he thinks for himself. The Senator stated there is no one he could recommend higher than applicant as he possesses all the outstanding and sterling qualities demand of Bureau employees. He stated applicant has not become political minded since being in his employ but looks on things from the laymen's point of view and not from the politician's point of view. Mr. Ryan, a reference, stated applicant's appointment was based entirely on merit, as the applicant had never been politically known, had not contributed to the Senator's campaign fund, nor had he ever "stumped" for him. Mr. Hastings, a reference, stated he knows applicant is presently boosting Senator Bridges for president and that whenever he returns to Arlington he tries hard to obtain backers for Bridges candidacy. Mr. Blewett, Dean of the University of New Hampshire where applicant's brother is Director of Appointments, and also a reference, stated that when a request was received from Senator Bridges for a recommendation of a young man with legal training, the request was, as a matter of course, directed to the desk of applicant's brother, and he suggested that applicant be recommended and so Mr. Blewett wrote to the Senator recommending applicant for the position.

REFERENCES:

George R. Farnum, Boston, (See Exp.) G. Arthur Hastings, Austin (" ") Hastings Steel Corp., Arlington, Edward Y. Blewett, Dean, (See Exp.), Louis Schwamb, opr. piano factory, James M. Reardon, former Editor of Boston American, Timothy G. Ryan, Jr. (See Exp.) all Arlington, all Massachusetts	References highly recommend applicant. Mr. Reardon stated that applicant's father, deceased, was Counsel for the Boston American when he was Editor. He stated the only fault he could find with applicant was the fact that he may be a little too forward in his approach; that he, himself, is rather old fashioned in his ideas and he considers applicant possibly a bit "brash"; however, it is likely that applicant has "toned down" during the last few years, but it may be possible that he may need still further "toning down". Mr. Reardon believes applicant to be at least a "95% man", however, the other 5% being represented by applicant's forwardness.

RELATIVES IN GOVERNMENT SERVICE: None.

MISCELLANEOUS:

Neighborhood investigation.	Very favorable.
Born August 18, 1911 at Brookline, Massachusetts	Verified by Birth Records, however, records of Harvard Law School, Boston University, Great American Pacific Tea Co. and War Department show August 18, 1910.
1st Lieutenant, Infantry Reserve, 66th Infantry	Applicant was commissioned as 1st Lieutenant in July 1936. It was noted under the heading of "Medical History" in the physical examination afforded him upon his application for a commission in the Reserve Officers Corps: "Fractured lower left tibia, 1922, closed reduction with bone plates; recovery complete. Mild deformatory of left costal arch, upper left ankle." During applicant's active service in 1933, he was graded "Fair" in all qualifications and received a score of 163 in a Machine Gun Course. During active service in 1934, he was graded "Satisfactory" and the comment was made that he was not yet qualified for duty with

MISCELLANEOUS: (Continued)
civilian components because he was inexperienced; that he talked quite a bit and still retained some collegiate youthfulness in his attitude but should be quite valuable to the service as his experience and training increased. He did not take active service for 1936 and 1937, and there were no derogatory remarks concerning applicant's service for the years 1935 and 1938. He was tentatively scheduled to take training for 14 days in August 1939, provided he finished the 25 hours of an Army Extension Course which he was taking. Applicant is a member of the Reserve Officers Association.

Memorandum by Mr. Nichols dated June 14, 1939 — Advised he has had several contacts with applicant and found him to be a very pleasing individual and intensely interested in the Bureau's work. On occasion he has gone out of his way to be of assistance and frankly, he cannot subscribe to the views of Special Agent in Charge Soucy who interviewed applicant is Massachusetts in April, 1937. (Interview given below). While Applicant does have self-assurance and confidence it is not believed that he is "cocky".

Personal Interview with Interviewing Official L. A. Hince — Reports that applicant is good in personal appearance, approach and personality; neat in dress; has ordinary features; is steady in poise; average in speech; self-confident; tactful; answers general questions definitely; has studied Federal Procedure; has had nine months investigative experience as an insurance company adjuster; appears to be resourceful and is likely to develop; however, he was slightly nervous and his executive ability is questioned. Mr. Hince stated he was tremendously impressed with the maturity, good judgment, fluency, poise and all around capability of applicant and believes that he is one of the best prospects he has seen in some time. Recommendation - favorable.

Special Interview Test with Special Agent H. T. O'Connor — Advised applicant made a fine approach. He was very neatly dressed and is rated good in his personal appearance and personality; was courteous and tactful; at ease at all times; above average in poise and judging from his manner, has had previous experience in contacting and interviewing people. He was judged above average in intelligence since his interrogation was conducted in a very logical and direct manner and he wasted no time in extraneous matters although he did deviate at times to discuss current topics on which he was well informed. Applicant's interview was criticized because of a lack of thoroughness but which can unquestionably be corrected with the training afforded new Agents. Applicant is rated good on his interview and on his memorandum but it is noted he failed to include unfavorable information concerning fictitious applicant's brother, which would be material to an investigation of this nature.

Applicant was interviewed and examined in April 1937, at which time Interviewing Official Soucy reported that applicant was talkative; over-confident; did not appear resourceful and was not likely to develop. Mr. Soucy stated applicant was entirely too cocky, over-zealous in his desire to sell himself and to do it rapidly, and conceited to a degree that was harmful. After some degree of hesitancy, applicant stated he was desirous of "rounding himself out" in the Bureau for a year or two in order that he would make a better practicing attorney after this training. Recommendation - unfavorable.

OUTSTANDING ENDORSERS: Senator Style Bridges is applicant's employer.

Applicant's physical report shows eyes without glasses as right 20/20, left, 20/20 plus; slight varicocele; and he is recommended for duties involving arduous physical exertion.

A. H. Crowl

JOHN EDGAR HOOVER
DIRECTOR

DNM
67-101010

Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

July 12, 1940

BRIEF OF SUPPLEMENTAL INVESTIGATION

RE: RICHARD DEXTER AUERBACH
Special Agent Applicant

	1st	2nd
Written rating:	63%	76%
Oral "	17%	76%
Composite "	40%	76%

Age: 28
Single

A.B. - Univ. of New Hampshire
LL.B. - Boston Univ. Law School
Member Massachusetts Bar

Applicant was interviewed and examined in April 1937, at which time Interviewing Official Soucy reported that applicant was talkative; over-confident; did not appear resourceful and was not likely to develop. Mr. Soucy stated applicant was entirely too cocky, over-zealous in his desire to sell himself and to do it rapidly, and conceited to a degree that was harmful. After some degree of hesitancy, applicant stated he was desirous of "rounding himself out" in the Bureau for a year or two in order that he would make a better practicing attorney after this training. Recommendation - Unfavorable. Applicant was interviewed and examined in July 1939 at which time Interviewing Official L. A. Hince favorably recommended him. He stated applicant was slightly nervous. A character and fitness investigation was conducted in this case in July 1939 at which time the following unfavorable information was developed: Applicant failed Latin and Algebra at the Arlington High School. Records of the Dean Academy reflect applicant graduated 91st in a class of 141. Records of Harvard Law School reflect applicant failed four courses out of five taken, passing one course in contracts with a grade of 56, on a basis of a passing grade of 55. On applicant's Bar application he stated he failed these examinations due to illness and lack of preparation. Mr. Blewett, a reference, stated applicant found the going at Harvard too tough for him as the long hours of study required there did not permit him any exercise and his kidneys went back on him. As a result, he withdrew from Harvard and entered Boston University where the requirements were less rigid. In his personal interview of April 10, 1937, applicant stated he was "busted out" of Harvard. In his personal interview of July 14, 1939 he stated he flunked for several reasons, which he stated were having too much money; being too much impressed with the girls; and that he was thrust into the University environment with which he was entirely unfamiliar and for which he was unprepared. Records of Boston University reflect applicant graduated with an LL.B. degree, with an average of not quite B or honor grade. In his final year applicant's grade was a B or 84-86, which is considered an honor grade. During the personal interview applicant stated that his uncle, Fred S. Auerbach, committed suicide in November 1937; that his uncle was a prominent attorney in Boston and trustee for applicant, his mother and brother under the terms of his father's will; that his uncle misappropriated all the funds of the estate totaling about $60,000., leaving the family penniless and that he and his brother are supporting his mother. He also stated that his uncle embezzled about $100,000 of funds belonging to his clients in four months. After his uncle's death, applicant took over the office and made such settlements as possible. Senator Bridges, applicant's present employer, stated applicant has always displayed diplomacy and tact and the only possible fault he might have is that he might be considered impulsive. Mr. Reardon, a reference, stated the only fault he could find with applicant was the fact that he may be a little too forward in his approach; that he, himself,

.....Mr. Alley .....Mrs. Atkinson .....Miss Guigon .....Mrs. Jacobs .....Mrs. Wackerman	(action desired)	RECORDED Routed..... Searched..... Serialized..... Checked..... Filed.....

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(date stamp)

CHF. PERSONNEL
(routing stamp)

is rather old fashioned in his ideas and he considers applicant possibly a bit "brash"; however, it is likely that applicant has "toned down" during the last few years, but it may be possible that he may need still further "toning down". The following is a summary of the recent supplemental investigation conducted in his case:

EXPERIENCE

Senator Style Bridges, D. C., Staff, since June 1938	Senator Bridges stated he can presently recommend applicant even more highly than he did at the time he was previously contacted. He advised that applicant has developed intellectually and has developed more diplomacy and poise during the past year. He stated that in his opinion applicant is more mature and handles himself better as time goes on. He stated he believes that applicant would fit into the Bureau's work.

MISCELLANEOUS

Neighborhood investigation	Favorable.
OUTSTANDING ENDORSERS	Senator Style Bridges is applicant's employer.

Applicant's physical report shows his eyes as normal without glasses; and he is recommended for arduous physical exertion.

W. R. Glavin

Richard D. Auerbach

PHYSICAL EXAM.

RECORDED

67-101010-32

JUL 1

CHF. CLK.

Form 2413
(March 1940)

UNITED STATES CIVIL SERVICE COMMISSION

CERTIFICATE OF MEDICAL EXAMINATION

(Authorized by Executive Order of September 4, 1924)

APPLICANT MUST FILL IN DOTTED LINES BELOW TO HEAVY LINE

Richard D. Auerbach
(Name)
1650 Park Rd., N.W. Washington D.C.
(Post-office address)
M (Sex) August 11, ~~1942~~ 1911 (Date of birth)
Special Agent
(Title of examination taken)
Federal Bureau of Investigation
(Department and bureau in which you are to be employed)
(City or town in which you are to be employed)

ROLLED PRINT, right forefinger

(Print must be taken to identify person examined. Indelible or stamp pad should be used)

1. Have you any physical defect or disease or disability whatsoever? No
2. If answer is "yes" give details

PHYSICIAN SHOULD FILL IN THE FOLLOWING

71½ inches. (Height, without shoes) * pounds. (Weight, in clothing) 152½ pounds. (Weight, without clothing) {Males, with and without clothing; females, clothed, but without wrap or hat.

*To be taken for males only upon special written request of the official ordering examination.

Items checked (√) were examined and found normal. Deviations from normal are noted. (See instructions on reverse side, numbered to correspond with items below.)

1. Eyes: Distant vision: Without glasses: Right: 20/20 Left: 20/20 With glasses if worn: Right: 20/— Left: 20/—

Near vision:

What is the longest and the shortest distance at which the following specimens of Jaeger No. 1 and Jaeger No. 2 can be read by the applicant? If No. 1 is read with ease, No. 2 need not be given. Test each eye separately.

With the view of promoting health and efficiency and of minimizing accidents among Federal employees, the heads of the several executive departments and independent establishments having a medical personnel are directed to make such physical examination of applicants for (Jaeger No. 1) and employees in the Federal classified service as may be requested by the Civil Service Commission or its authorized representative.

This order will supplement the Executive orders of May 29 and June 18, 1923 (Executive order, September 4, 1924). (Jaeger No. 2)

	Without glasses:	With glasses, if used:
(Jaeger No. 1)	R. 20 in. to 8 in.	R. ✓ in. to ✓ in.
	L. 20 in. to 8 in.	L. ✓ in. to ✓ in.
(Jaeger No. 2)	R. ✓ in. to ✓ in.	R. ✓ in. to ✓ in.
	L. ✓ in. to ✓ in.	L. ✓ in. to ✓ in.

Evidence of disease or injury: Right ✓ Left ✓

Color vision ✓ Method of testing color vision Ishihara

2. Ears: (Consider denominators indicated here as normal. Record as numerators the actual distance heard.) Ordinary conversation: Right ear— 20/20 ft. Left ear— 20/20 ft. Evidence of disease or injury: Right ear ✓ Left ear ✓
3. Nose, sinus disease, etc ✓
4. Mouth and throat ✓
5. Gastro-intestinal ✓
6. Thyroid (especially in women) ✓
7. Heart and blood vessels ✓

   Is organic heart disease present? No If organic heart disease is present, is it fully compensated? ✓
8. Lungs: Right ✓ Left ✓

   History of tuberculosis? No If so, has the disease been arrested for at least 1 year? ✓
9. Hernia ✓

   (If present, name variety: Inguinal, ventral, femoral, etc.; read definition on reverse before answering)

   If present, is it supported by a well-fitting truss? ✓
10. Varicose veins ✓

    (If present, state location and degree)

    Varicocele (*see note 10 on reverse side*) ✓
11. Feet: Is flat foot present? ✓ Degree of impairment of function ✓

    (None, slight, moderate, severe)
12. Deformities, atrophies, and other abnormalities, diseases, or defects not included above ✓
13. Scars of serious injury or disease Rt. rectus scar
14. Nervous system (*see note 14 on reverse side*) ✓
15. (*a*) Urinalysis (*see reverse side*) ✓ (*b*) Venereal disease No
16. Obtain from applicant statement of disabilities, past and present, give diagnosis and your comments under appropriate heading above or under "Remarks" on back of this sheet.
17. Does Veterans Administration recognize service-connected disability in this case? No (Yes or no) If "yes," cover in your comments.

This certificate is to be returned to the official of the U. S. Civil Service Commission requesting the examination

16—1066

[OVER]

The aim of the Executive order of September 4, 1924, under which this examination is made, is to obtain information as to the physical condition of appointees to the classified civil service with a view to promoting efficiency and minimizing accidents and claims under United States employees' compensation laws.

Notes for Examining Physician

WEIGHT.—Males, without clothing, and also in ordinary clothing without overcoat or hat (weigh twice); females, clothed, but without wrap or hat. If overweight, state whether due to bone and muscle or to fat.

HEIGHT.—Without boots or shoes; observe that no appliances are used to increase.

The examination should include the following observations:

1. **Eyes.**—Ptosis; discharge; corneal scar; pterygium. In recording distant vision consider 20 feet as normal and report all vision as a fraction with 20 feet as numerator and the smallest type read at 20 feet as denominator. If glasses are used, record for each eye the finding with and without glasses. Near vision must be reported. In testing vision without glasses the applicant or appointee should be instructed to *remove the glasses at least one-half hour before testing uncorrected vision.*

2. **Ears.**—Evidence of middle ear or mastoid disease; condition of drums; discharge. In recording hearing, record 20 feet as normal distance for conversational voice and record deviation from normal as fraction with 20 as denominator and actual distance as numerator.

3. **Nose.**—Ability to blow through each nostril. If free, a speculum examination would not be indicated.

4. **Mouth and throat.**—Missing teeth, pyorrhea; tonsils, hypertrophy or disease.

5. **Gastro-intestinal.**—Ulcers, inflammations, etc.

6. **Thyroid.**—Presence of tumor in neck and tremor, exophthalmos; nervous high-strung disposition, especially in women.

7. **Heart.**—Murmurs. State whether functional or organic. If valvular disease exists, state whether or not it is fully compensated. Arteriosclerosis.

8. **Lungs.**—It is necessary that the auscultatory cough be used. If tuberculosis is present, state whether active or arrested; if arrested, state your opinion as to how long it has been quiescent. Sputum to be examined for tubercle bacilli in all suspected cases.

9. **Hernia.**—Give details as to size, location, etc., and whether well-fitting truss is worn. Inguinal hernia exists when ring is enlarged and on coughing visceral impulse is felt which follows the finger on withdrawal.

10. **Varicocele.**—If varicocele is present, state approximate size—e. g., size of walnut, lemon, etc.

11. Flat foot of such a nature as to incapacitate or become aggravated by work or be alleged later to have been caused by accident or occupation. By "flat foot," as used in this form, is meant a *weak* foot with impaired function, the term being equivalent to "fallen or misplaced arch," an abnormal condition. Impairment of function is the point to be noted. An anatomically flat foot, but strong, is not disqualifying.

12 and 13. Scars, deformities, atrophies, and paralyses should be noted, but it is not important that small insignificant scars or blemishes which might be referred to as marks of identification be recorded.

14. This entry should include symptoms and full history of any mental or nervous abnormality.

15. Urinalysis to be made in case of persons over 40, and in all cases where arteriosclerosis, nephritis, or diabetes is suspected.

Record of urinalysis, if made: Sp. gr. ..... Albumen ..... Sugar ..... Casts .....

Blood pressure: Mm. Hg. systolic 126 Mm. Hg. diastolic 68

If tachycardia is present, give pulse rate: Sitting 84 Immediately after exercise ..... Two minutes after exercise ..... Cardiac reserve Good
(Good, fair, or poor)

I have found this applicant abnormal under the following headings: .....

In my opinion, applicant is capable of performing duties involving Arduous physical exertion.
(Arduous, moderate, or light)

REMARKS: .....

(*Signature of applicant*) Richard D. Auerbach
(This space to be filled in, as a matter of identification, by the applicant in own hand writing, and in ink, in the presence of the physician)

U. S. PUBLIC HEALTH SERVICE DISPENSARY
AUDITOR'S BLDG. 7TH ST. & INDEPENDENCE AVE. S.W.
WASHINGTON, D. C.
(Place of examination)

July 9, 1940.
(Date of examination)

The examining physician must be in the Federal service

..... (Signature of examining physician), M. D.

A. S., U. S. P. H. S.
(Title, and branch of Federal medical service)

Full time? ..... Part time? ..... Fee paid? .....

The personnel officer should fill in the blanks below before sending this form to the Commission for action

To be appointed in ..... (Department) ..... (Bureau)

Title of position .....

Type of appointment (check): ☐ Original appointment ☐ Transfer ☐ Reinstatement ☐ Classification

Number of certificate upon which applicant's name appears (to be given in case of original appointment) .....

U. S. GOVERNMENT PRINTING OFFICE 16—1066

FEDERAL BUREAU OF INVESTIGATION

Form No. 1
THIS CASE ORIGINATED AT Washington, D. C. FILE NO. 67-8969

REPORT MADE AT	DATE WHEN MADE	PERIOD FOR WHICH MADE	REPORT MADE BY
Washington, D. C.	7-10-40	7-9-40	J. N. FRANZ JNF:JGM

TITLE	CHARACTER OF CASE
RICHARD DEXTER AUERBACH, also known as DEXTER AUERBACH	APPLICANT - SPECIAL AGENT

SYNOPSIS OF FACTS: Applicant continuously employed by Senator H. STYLES BRIDGES of New Hampshire since June 1, 1938. Senator BRIDGES states applicant has developed intellectually and gained additional poise since last interview. He recommends applicant highly. Landlady states applicant is neat, orderly, intelligent and sober. No criminal record and satisfactory credit rating, Washington, D.C.

- C -

REFERENCE: Bureau letter dated July 3, 1940. (67-101010).

DETAILS: AT WASHINGTON, D. C.

Senator H. STYLES BRIDGES, Senator from the State of New Hampshire was telephonically contacted at the Senate Office Building. He advised that RICHARD AUERBACH is still employed by him and that he has continuously been employed by him since June 1, 1938. Senator BRIDGES advised that he can presently recommend applicant even more highly than he did at the time he was previously contacted by an Agent of this Bureau. He advised that applicant has developed intellectually and has developed more diplomacy and poise during the past year. He stated that in his opinion applicant is more mature and handles himself better as time goes on. Senator BRIDGES stated that from his contact with

APPROVED AND FORWARDED: SPECIAL AGENT IN CHARGE

DO NOT WRITE IN THESE SPACES

35

COPIES OF THIS REPORT

3 Bureau
2 Washington Field

Bureau Agents he believes that applicant would fit into the Bureau's work. Senator BRIDGES further stated that it will be necessary for applicant to secure some other position in the near future, as he has reached the limit to which he can go in the Senator's service. Therefore, if applicant does not receive an appointment with the Bureau in the near future, he will return to private practice in New Hampshire.

MRS. EDITH PAUL, owner of the residence at 1650 Park Road, N. W. informed Agent that applicant still resides in her residence. She stated that applicant is a neat, clean-appearing individual and that he keeps his room in a neat, clean and orderly manner. She stated that applicant appears to be well-liked and has numerous friends, and that all of the friends who visit him at her residence seem to be high type individuals. MRS. PAUL stated that applicant is clean-living, is sober and that he takes only an occasional drink. MRS. PAUL further stated he is strongly anti-Communistic, anti-Nazi and anti-Fascist and has frequently stated that none of them have any place in the United States.

The records at the Credit Bureau, 1221 G Street, N. W., and at Stone's Mercantile Agency, 1419 H Street, N. W. reflect references to applicant but both bear statements that no credit has been extended to him locally.

Sergeant [redacted] Records Bureau, Metropolitan Police Department informed Agent that applicant does not have a criminal record in Washington. b6 b7C

- CLOSED -

OW
67-101010

FEDERAL BUREAU OF INVESTIGATION

Mr.
Miss
Mrs. Mr. Richard D. Auerbach

Date July 15, 1940

New appointment [X] Transfer [ ] Promotion [ ] Separation [ ]

PRESENT STATUS

1. Title:
2. Grade:
3. Salary:
4. Seat of Government: [ ]
   Field: [ ]
5. Division:
6. Appropriation:

PROPOSED ACTION

7. Title: Special Agent
8. Grade: CAF 9
9. Salary: $3200 per annum
10. Seat of Government: [ ]
    Field:* [ ] F
11. Division:
12. Appropriation: "Salaries and Expenses F.B.I."
13. Effective: With entry on duty
14. Position: Additional: [ ]
    Vice: Morton M. Reed-transferred
    Identical:
15. Remarks:

Recommended for appointment as a Special Agent in grade CAF 9, $3200 per annum and $5.00 per diem in lieu of subsistence and expenses of travel and operation when absent from official headquarters.

Mr. Auerbach is presently employed in Senator Styles Bridges' Office.

Respectfully submitted,

Director, Federal Bureau of Investigation

COMMUNICATIONS SECTION
MAILED
JUL 17 1940
P. M.
FEDERAL BUREAU OF INVESTIGATION,
U. S. DEPARTMENT OF JUSTICE

JUL 16

RECORDED

67-101010--44

SEP 4 1969

SAF CLK

CODE

FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE
WASHINGTON, D. C.

OFFICIAL BUSINESS

Penalty For Private Use To Avoid
Payment Of Postage, $300

N. M. S. Hospital Form No. 27
(Issue 1938)

LABORATORY EXAMINATION

DATE 8-6-40 U. S. NAVAL HOSPITAL

U. S. GOVERNMENT PRINTING OFFICE 4—5379

Name Auerbach, R D
Ward Rate
Diagnosis
SPECIMEN SUBMITTED (underline):
Urine—Blood—Gastric contents—
Feces—Sputum—Pus—Special

Nathan

Exact information desired

Kahn —

Condr Schwartz ---------- (M. C.), U. S. N.

(Separate slip for each specimen)

---------- (M. C.), U. S. N.

(Special forms may be stamped on front and back)

N. M. S. Hospital Form No. 27
(Issue 1938)

LABORATORY EXAMINATION

C/5

DATE 8-6

U. S. NAVAL HOSPITAL

U. S. GOVERNMENT PRINTING OFFICE 4—5379

Name Auerbach, R. D.
Ward Rate
Diagnosis
SPECIMEN SUBMITTED (underline):
Urine—Blood—Gastric contents—
Feces—Sputum—Pus—Special

Exact information desired

CBC

Conrad Schwartz
---------- (M. C.), U. S. N.
(Separate slip for each specimen)

R.B.C. 4,700,000
W.B.C. 4,200
Hgb. 95%
SEG 53
Lymp 44
MON 3
Eos

---------- (M. C.), U. S. N.
(Special forms may be stamped on front and back)

N. M. S. Hospital Form No. 27
(Issue 1938)

LABORATORY EXAMINATION

DATE 8-6,

U. S. NAVAL HOSPITAL

U. S. GOVERNMENT PRINTING OFFICE 4—5379

Name AUERBACH, P.D.
Ward Rate
Diagnosis
SPECIMEN SUBMITTED (underline):
Urine—Blood—Gastric contents—
Feces—Sputum—Pus—Special

Exact information desired

Pt Micro

Coends. Schwartz
---------- (M. C.), U. S. N.

(Separate slip for each specimen)

URINE

Amount, 24 hrs. ..... Submitted .....
Appearance Clear amber
Reaction Alk Sp. gr. 1.020
Albumin neg
Sugar neg
Special .....

Casts .....
Cylindroids .....
Mucus shreds, occ
Leucocytes .....
Erythrocytes .....
Epithelium occ
Crystals .....

---------- (M. C.), U. S. N.

(Special forms may be stamped on front and back)

RECORD OF PHYSICAL EXAMINATION OF OFFICERS AND SPECIAL AGENTS OF THE FEDERAL BUREAU OF INVESTIGATION, U. S. DEPARTMENT OF JUSTICE

Quantico, Va. — Place

August 6 1940

HISTORY

Name AUERBACH, Richard D. Age 28 years, 11 months

Nativity (state) Mass. Married, Single, Widowed: Single Number of Children 0

Diseases, operations, or injuries previous to age of 15 (Give date and full description of each and examine carefully for evidence of sequelae.)

glass cut, above, inside left ankle - 2 in. long - August 1921 - complete healed

Diseases, operations, or injuries subsequent to age 15 (Give date and full description of each and examine carefully for evidence of sequelae.)

appendectomy - June, 1930 - complete healed

glass cut, diagonal, inner side, finger, left hand, 1934, completely healed

Father . . . . . (Living? ____ State of Health ____
(Dead? ✓ Cause & age at death? appendectomy - 46 (1916)

Mother . . . . . (Living? ✓ State of Health good
(Dead? ____ Cause & age at death? ____

Brothers . . . . (Number living One State of Health good
(Number dead ____ Cause & age at death? ____

Sisters . . . . (Number living None State of Health ____
(Number dead ____ Cause & age at death? ____

Has any member of family suffered from neurasthenia or insanity or been confined in any institution for the insane? Give relationship and full history of case.

None

Has any blood relative been an inmate of a penal institution or poorhouse? Give relationship and state reasons.

None

Habits: Tobacco? Moderate Alcoholics? Moderate Drugs? None

Richard D. Auerbach
Signature of Candidate.

FBI 1

PHYSICAL EXAMINATION

Eyes: Color? Brown Exophthalmos? no

Chronic inflammation? no Other abnormality? no

Eyelids: Ptosis? no Condition of conjunctiva on eversion? no

Other eye conditions? Pigmented Lower Conjunctiva

Vision: (Note: Each eye must be tested separately.)

Does candidate wear glasses? No For what purpose? —

Distant: Uncorrected vision of right eye? 20/20 Left eye? 20/20

Corrected vision of right eye? — Left eye? —

Near: Uncorrected vision of right eye? 13" Left eye? 13"

Corrected vision of right eye? — Left eye? —

Remarks: Normal Vision.

Color sense: Good — Stillings

(Standard color plate test required)

Ears: Abnormalities? No Evidence of mastoid or other disease: no.

Condition of drums? Right Normal Left Normal.

Hearing: (Note: When testing hearing, the eyes and the opposite ear must be closed.)

Distance conversational speech can be heard:

Right ear 30 feet. Left ear 30 feet.

Distance whispered speech (Using residual air) can be heard:

Right ear 30 feet. Left ear 30 feet.

(Note: Use tuning fork tests, Rinne, Weber & Schwabach, if indicated.)

Right ear — Left ear —

Nose: Deflection of septum Dev. to left. Polypi? None.

Chronic nasal disease? no Is candidate a mouth breather? no

Palate: Cleft or perforated? no Other conditions? —

Fauces: Condition of tonsils? Absent Pharynx? Normal

Signature of Examining Specialist.

Height? 5 feet, 11½ inches. Weight, stripped? 152 Pounds.

General appearance:
- Robust? ✓
- Puny?
- Plethoric?
- Anaemic?
- Corpulent?
- Emaciated?

Complexion:
- White? ✓
- Colored?
- Blonde?
- Brunette?
- Florid?
- Sallow?

Skin: Diseases? no

Hair: Color: Brown Thickness good texture

Glands: Enlargement: no Other abnormalities —

Head, Depressions? no Asymmetries? no

Facial disfigurement? no Facial asymmetry? no

Abnormalities of speech? no

Neck: Goitre? no Other conditions? —

Chest: Inspiration 37 inches. Expiration 33½ inches. Respiratory rate?

Inspection:

Lungs: Palpation: ✓

Percussion: ✓

Auscultation: ✓

X-ray examination:

Heart: Palpation: ✓

Percussion: ✓

Auscultation: ✓

Exercise Test: Step upon chair 25 times in 30 seconds. Pulse rate should return to normal after two minutes.

Pulse rate: Sitting 72 After exercise 96

Condition of heart after exercise: none

Blood pressure, Systolic? 118 Distolic? 64 Pulse pressure 58

Abdomen:

Circumference at umbilicus? 32 Tenderness? —

Other abnormalities? —

Liver, percussion? ✓ Palpation? —

Spleen, percussion? — Palpation? ✓

Inguinal rings? ✓ Hernia? no

Scrotum:

Varicocele? no Hydrocele? no Sarcocele no

Testicles:

Induration? no Atrophy? no

Other conditions? —

Penis:

Epispadias? no Hypospadias? no

Condition of prepuce? normal Venereal diseases? no

Anus:

Hemorrhoids? no Fistulae? no

Prolapse of bowel? no Other conditions —

Spine:

Tenderness? no Curvature? no

Reflexes:

Pupillary: ✓ Cremasteric: —

Patellar: — Babinski: no Ankleclonus: no

Upper Extremity:

Missing fingers? no Contractures of hand? no

Condition of joints? normal Other conditions? —

Lower Extremity:

Flat foot? no Bowed legs? no

Knock-knees? no Varicose Veins? no

Hammer toes? No Bunions? No

Other abnormalities? —

Agility:

Co-ordination of muscular movements? Normal Romberg? No

Defects of gait? ✓

Mental Condition? ✓

(Note: If indicated refer to specialist)

Temperature?

Has this person been successfully vaccinated within 5 years? Yes

Has this person had prophylactive typhoid inoculation? Yes Date last taken 1939

Urine: Color? Sp. Gr.? Albumin? Sugar?

Reaction? Shreds? Blood cells?

Pus cells? Casts? Epitheleal cells?

Blood: Red corpuscles per C.mm White corpuscles per C.mm

Differential count

Blood serologic tests (syphilis): Haemoglobin per cent:

Has candidate any of the following defects, viz: Cachexia, or apparent predisposition to any constitutional diseases, permanent defects of either of the extremities or articulations, including defects of gait, flat foot, badly bowed legs, knock-knees, unnatural curvature of the spine, impaired vision, color-blindness, chronic diseases of the visual organs, epilepsy, insanity, chronic diseases of the ears, deafness, chronic nasal disease, polypi, chronic ulcers or cicatrices of old ulcers likely to break out afresh, chronic cardiac pulmonary or renal affections, insufficient chest expansion, hernia, sarcocele, hydrocele, varicocele (unless slight), fistula in ano, hemorrhoids, varicose veins on lower limbs (unless slight) stature less than 5 feet 4 inches, or more than 6 feet 2 inches, or any marked abnormality of speech or facial disfigurement?

Report of any special examination:

DENTAL EXAMINATION OF _____

GENERAL ORAL CONDITION

MUCOUS MEMBRANE

✓	Normal
	Inflamed
	Swollen
	Ulcerated
	Septic

SALIVA

✓	Normal
	Excessive
	Acidity
	Thick or ropy
	Odor

OCCLUSION

✓	Normal
	Class I
	Class II
	Class III

TONGUE

No	Coating
	Cryptic
	Ulcerated
	Enlarged

Glands: Nor

Sinus: "

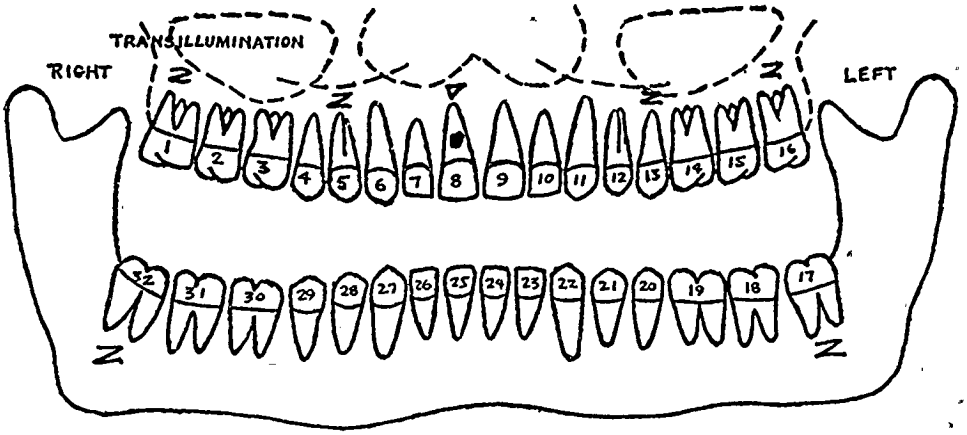
Throat: Slightly inflamed
Smoker's Throat
within normal limits

ARCH

	Square
	Tapering
✓	Ovoid

DENTAL DIAGNOSIS

A. Labial
B. Lingual
C. Incisal
D. Occlusal
E. Buccal
G. Mesial
H. Distal
K. Mesio-labial
L. Disto-labial
M. Mesio-lingual
N. Disto-lingual
O. Mesio-incisal
P. Disto-incisal
R. Mesio-occlusal
S. Disto-occlusal
T. Bucco-occlusal
U. Lingual-occlusal
V. Mesio-disto-occlusal
W. Bucco-lingual-occlusal



[X] Roots [O] Abscess [≥] Impacted [◉] Crown [▽] Devitalized [—] Dummy bridge [—] On denture [Z] Missing [V] Extraction Indicated

X-ray No. — X-ray reading —

Gingival disease (indicate nature and extent) neg

Conditions of appliances replacing teeth —

Remarks: no caries, many restorations

In case a dentist is not available to make the dental examination, the medical examiner shall record missing teeth, prosthetic replacements, and give a general estimate of oral condition.

Date 8-6-40 (Signature) Harry L Kerr
Dental Surgeon

Summary of Findings

(Summarize here all defects found.)

1. _____

2. 26 (1) C

3. _____

4. _____

5. _____

Recommendations:

1. Is this man capable of strenuous ✓ moderate _____ light _____ or very light _____ physical exertion. (Indicate which).

2. Has this man any defect which would interfere with his participation in raids or other work connected with the detection and apprehension of criminals which might entail the practical use of firearms? (Indicate YES or NO) _____

Remarks:

J. E. Schwing
J. W. Carll
R. H. Quick

Administrative action by Federal Bureau of Investigation.

_____
DIRECTOR

NHL:PS

CC-240a

August 29, 1940

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

Dear Mr. Auerbach:

The Bureau is in receipt of the report of the physical examination afforded you at the United States Naval Hospital, Washington, D. C., on August 6, 1940.

This report reflects the following physical defects:

None

The Board of Examining Physicians makes the following recommendations:

SEP 10 1940
RECORDED

67-101010-45

It reports that you are capable of performing strenuous physical exertion, and have no physical defects that would interfere with your participation in raids or other work involving the practical use of firearms.

For information, it was determined during this examination that your blood is International Type "O".

COMMUNICATIONS SECTION
MAILED
★ AUG 30 1940 ★
P. M.
FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE

Sincerely yours,

J. Edgar Hoover

John Edgar Hoover
Director

CC Mr. Nathan

JJS:NWD

January 7, 1941

Mr. Richard D. Auerbach
Federal Bureau of Investigation
U. S. Department of Justice
2706 Gulf Building
Houston, Texas

Dear Mr. Auerbach:

Special Agent in Charge E. L. Richmond has informed me of the recent visit of the Honorable Jesse Jones to the Houston Office, and I did not want to let this opportunity pass without commending you upon the splendid way in which you conducted Mr. Jones through the office. The courteous and efficient manner in which Mr. Jones' visit was handled is indeed commendable.

With best wishes and kind regards,

Sincerely yours,

J. Edgar Hoover

63

Mr. Tolson
Mr. Clegg
Mr. E. A. Tamm
Mr. Foxworth
Mr. Nathan
Mr. Ladd
Mr. Egan
Mr. Glavin
Mr. Nichols
Mr. Hendon
Mr. Rosen
Mr. Tracy
Miss Gandy

cc - Houston

COMMUNICATIONS SECTION
MAILED
JAN 8 1941
P. M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

HHC:MS

April 3, 1941

Mr. R. D. Auerbach
Federal Bureau of Investigation
United States Department of Justice
508 United States Court House
Seattle, Washington

Dear Mr. Auerbach:

Reference is made to your letter under date of March 20, 1941, addressed to Mr. Nichols, with which you submitted a form to be placed in the field office files on those occasions when it is necessary to take the last copy of a particular serial from a field office file.

As you are aware, the Bureau has already issued instructions as to procedure when the necessity for such action arises, and it is not believed advisable by the Bureau at this time to adopt any such type of form for this purpose.

I do appreciate very much, however, your thoughtfulness in making the suggestion and in submitting it for consideration. Your interest, I assure you, is deeply appreciated.

Sincerely yours,

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Foxworth
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Carson
Mr. Quinn Tamm
Mr. Hendon
Mr. Tracy
Miss Gandy

COMMUNICATIONS SECTION
MAILED
APR 5 1941
FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE

EJR:

FEDERAL BUREAU OF INVESTIGATION

RECOMMENDATION FOR LEAVE WITHOUT PAY

Mr.
Miss
Mrs. Mr. Richard M. Auerbach Date June 13, 1941

1. Title: Special Agent
2. Salary $3200 per annum
3. Grade CAF 9
4. Division or Bureau Federal Bureau of Investigation
5. Appropriation "Salaries and Expenses, FBI, (National Defense)".
6. Commencing (date) 9:00 A. M. May 29, 1941
7. Ending (close of) 9:00 A. M. June 16, 1941
8. Indefinite:*
9. Letter to employee required X Yes ☐ No ☐
10. Remarks:**

With reference to the Bureau's recommendation of May 28, 1941, it is requested that the suspension of Mr. Auerbach be amended to terminate at 9:00 A. M. June 16, 1941, instead of at the close of business June 28, 1941.

54

67-101010

CC: Chief, Audit Section

Director, Federal Bureau of Investigation
(Title)

50

COMMUNICATIONS SECTION
MAILED
★ JUN 13 1941 ★
P. M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

Mr. MG
Miss 67-
Mrs. Mr. Richard D. Auerbach Date June 6, 1941

New appointment [ ] Transfer [ ] Promotion [X] Separation [ ]

PRESENT STATUS

1. Title: Special Agent
2. Grade: CAF 9
3. Salary: $3200 per annum
4. Seat of Government: [F]
   Field: [ ]
5. Division:
6. Appropriation: "Salaries and Expenses, FBI (National Defense)"

PROPOSED ACTION

7. Title:
8. Grade:
9. Salary:
10. Seat of Government: [ ]
    Field:* [ ]
11. Division:
12. Appropriation:
13. Effective:
14. Position: Additional: [ ] Vice: Identical:
15. Remarks: It is requested that the promotion of Mr. Auerbach to $3500 per annum, in Grade CAF 10, effective June 1, 1941, as indicated on the Bureau's Personnel Recommendation of May 20, 1941, be cancelled.

CC: Chief, Audit Section

Respectfully submitted,

Director, Federal Bureau of Investigation

(Title)

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Foxworth
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Carson
Mr. Drayton
Mr. Quinn Tamm
Mr. Hendon
Mr. Tracy
Miss Gandy

COMMUNICATIONS SECTION
JUN 6 1941
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

Cancel promotion of Richard D.
Auerbach to CAF 10, $3500, effective
June 1, 1941.

WRG

Pers. Rec'd Dept
6-6-41
WRGmg

FEDERAL BUREAU OF INVESTIGATION

Room 5519 _____ 1941

Telephone 113

To: CHIEF CLERK'S OFFICE

____Mr. Laughlin
____Mr. Callahan
____Mr. Carroll
____Mr. Gauthier
____Mr. Halter
____Mr. Lee
____Mr. Long
____Mr. Mackle
____Mr. Mohr
____Mr. Poster
____Mr. Schildecker
____Mr. Scott
____Mr. Skousen
____Mr. Wilcox
____Mr. Alley
____Mrs. Atkinson
____Mr. Coleman
____Mr. Connors
____Miss Crisp
____Miss Day

____Mrs. Downes
____Mr. Gibbons
____Miss Guigon
____Mrs. Hewlett
____Mr. Jackson
____Mrs. Jacobs
____Mr. Newman
____Mr. Plant
____Mr. Reeder
____Mr. Sheedy
____Mrs. Shermer
____Mrs. Wackerman
____Mrs. Wentz
____M _____
____See Me
____Phone Me
____Note and Return

W. R. GLAVIN

CC-92a

FEDERAL BUREAU OF INVESTIGATION

Room 5519 5/29 1941

Telephone 113

To:

____Director
____Mr. Tolson
____Mr. Clegg
____Mr. Foxworth
____Mr. Ladd
____Miss Gandy
____Mr. Egan
____Mr. E. A. Tamm
____Mr. Q. Tamm
____Mr. Coffey
____Mr. Rosen
____Mr. Pennington
____Mr. Tracy
____Mr. Drayton
____Mr. Patterson
____Mr. Hendon
____Mr. Nichols
____Mr. Renneberger
____Mr. Harbo
____Mr. Cartwright
____Ident. Division
____Files Section
____Mechanical Section
____Personnel Files
____Washington F. D.
____Supply Division
____Division of Accounts
____Mrs. Skillman
____Mrs. Shermer
____Miss Day
____M _____

____See Me
____Phone Me
____Record & Return
____Send File
____Note & Return
____Record & Route

RE: RICHARD D. AUERBACH

He was suspended yesterday and placed on probation.
There is a promotion pending from Caf 9, $3200 to Caf 10 $3500 eff. 6/1.
Want to cancel?

jj

W. R. Glavin

LD

FEDERAL BUREAU OF INVESTIGATION

Mr.
Miss
Mrs. Mr. Richard D. Auerbach

Date May 20, 1941

New appointment [ ] Transfer [ ] Promotion [X] Separation [ ]

PRESENT STATUS

1. Title: Special Agent
2. Grade: CAF 9
3. Salary: $3200 per annum
4. Seat of Government: [ ] Field: [X]
5. Division:
6. Appropriation: "Salaries and Expenses, FBI" (National Defense)

PROPOSED ACTION

7. Title: Special Agent
8. Grade: CAF 10
9. Salary: $3500 per annum
10. Seat of Government: [ ] Field:* [X]
11. Division:
12. Appropriation: "Salaries and Expenses, FBI" (National Defense)
13. Effective: June 1, 1941
14. Position: Additional: [ ] Vice: Arlin E. Jones, transferred Identical:
15. Remarks:

54 MAY 24 1941

191010

Respectfully submitted,

Director, Federal Bureau of Investigation
(Title)

cc: Chief, Audit Section

Mr. Tolson
Mr. E. A. Tamm
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Carson
Mr. Drayton
Mr. Quinn Tamm
Mr. Hendon
Mr. Tracy
Miss Gandy

COMMUNICATIONS SECTION
MAILED
MAY 20 1941
P. M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

WMG:jh

June 16, 1941

Mr. Richard D. Auerbach
Federal Bureau of Investigation
United States Department of Justice
508 U. S. Court House Building
Seattle, Washington

Dear Mr. Auerbach:

I have your personal and confidential communication of May 31, 1941, in which you advance various suggestions which you believe would be of assistance to the Bureau at the present time.

I did want you to know that your suggestions have been carefully considered by the Bureau. Regarding the suggestion that group conferences be held, similar to those held for the police, for plant protective personnel in allied industries engaged in government production, the Bureau is of the opinion that it would be exceedingly unsatisfactory at the present time to arrange for such conferences. I know you are thoroughly familiar with the labor situation in many of these plants at this time and by holding such meetings, even though such meetings were held for the sole purpose of acquainting such industrial police with protective facilities, the charge undoubtedly would be made that the Bureau was fomenting disturbances between protective forces and the laboring class.

Concerning our police conferences at the present time we do not hold these conferences for the rank and file of the police departments, but only the heads of these departments or especially selected members are invited to attend such conferences.

Concerning the suggestion of qualifying Agent employees in the handling of switchboards and elevators, it is not believed that such instruction is essential at this time. There are a great many Special Agent employees in the service at the present time who are thoroughly familiar with the operation of telephone switchboards and elevator equipment. If at any time such training is necessary, it can be given within a very short period of time so as not to jeopardize any investigation which might be pending at that time.

Concerning the suggestion that the Laboratory in sending evidence and material back to the field appropriately label this evidence on the outside cover so that it could be directed to the interested Agent without

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Foxworth
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Carson
Mr. Drayton
Mr. Quinn Tamm
Mr. Hendon
Mr. Tracy
Miss Gandy

COMMUNICATIONS SECTION
JUN 25 1941
P. M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

going through various hands, the Bureau has carefully considered this suggestion and is of the opinion that such a procedure would not be of particular assistance to us at this time. It has been the Bureau's experience in the past that in practically all cases, the Prosecuting Attorneys have been able to have conceded by Defense Attorneys the continuity and genuineness of evidence.

Concerning the suggestion of having the originating office of correspondence mention that such information has been communicated to the Bureau, the Bureau does not believe this necessary at this time. It is, of course, understood that any matter in which the Bureau may be interested, the field office involved is responsible for notifying the Bureau in this regard.

The Bureau has considered your suggestion of making addresses before laboring classes, and it believes that its present policy of making appearances before various civic groups, church groups, and the like will reach those persons who can be and are of assistance to us in the performance of our duties during the present troubled times. On many occasions it has been known that individuals of the classes mentioned by you have been members of audiences addressed by representatives of the Bureau.

Although it has not been possible to favorably consider the suggestions made by you at this time, I do want to express to you my sincere appreciation for your interest in making these suggestions.

Sincerely yours,

J. Edgar Hoover

John Edgar Hoover
Director

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Foxworth
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Carson
Mr. Drayton
Mr. Quinn Tamm
Mr. Hendon
Mr. Tracy
Miss Gandy

CC - SAC, Seattle

DJ

FEDERAL BUREAU OF INVESTIGATION

Mr.
Miss
Mrs. Mr. Richard D. Auerbach

Date October 25, 1941

New appointment [ ] Transfer [ ] Promotion [X] Separation [ ]

PRESENT STATUS

1. Title: Special Agent
2. Grade: CAF 9
3. Salary: $3200 per annum
4. Seat of Government: [ ]
   Field: [ ]
5. Division:
6. Appropriation: "Salaries and Expenses, FBI" (National Defense)

PROPOSED ACTION

7. Title: Special Agent
8. Grade: CAF 10
9. Salary: $3500 per annum
10. Seat of Government: [ ]
    Field:* [ ]
11. Division:
12. Appropriation: "Salaries and Expenses, FBI" (National Defense)
13. Effective: November 1, 1941
14. Position: Additional: [ ] Frederick Charles Zinck - transferred 9-16-41
    Vice:
    Identical:
15. Remarks:

Respectfully submitted,

CC - Chief, Audit Section

Director, Federal Bureau of Investigation
(Title)

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Tracy
Mr. Rosen
Mr. Carson
Mr. Coffey
Mr. Hendon
Mr. Holloman
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

COMMUNICATIONS SECTION
MAILED
OCT 25 1941
P. M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

PHYSICAL EXAM.

NOV 25 1941

67-101010-88

Routed
Searched
Indexed

21

THREE

FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE
WASHINGTON, D. C.

- - - - -

OFFICIAL BUSINESS

Penalty For Private Use To Avoid
Payment Of Postage, $300

NAME Auerbach, P. D.

STATUS Y. B. I.

DATE 10/21/41 Seattle 3300

LABORATORY EXAMINATION

N.M.S. Form 16.

U.S. NAVAL MEDICAL SCHOOL
Naval Medical Center
Washington, D.C.

Request of Dr Grant

Address: Y. B. I. Room

Request for Blood KAHN and Blood WASSERMANN TESTS.

O

REPORT

KAHN		WASSERMANN
Qualitative	Quantitative Units	Cholesterolized Antigen
NEGATIVE		

"BLOOD TYPE" O

F.W. Farrar

F.W. FARRAR (MC), U.S.N.
Lieut.Comdr.(MC),U.S.N.

LABORATORY EXAMINATION

NAME AUERBACK, R.D.

RATE F.B.I.

DATE 10-21-41

N.M.S. Form 6

U. S. NAVAL MEDICAL SCHOOL
Naval Medical Center
Wahington, D.C.

Request of Dr.Grant

Address: F.B.I.Room

Diagnosis

Character of examination desired

URINALYSIS

Amount: 24 hrs.____ _____ Submitted_____
Color Amber Transparency Clear
Reaction Acid Sp.Gr. 1.020
Albumin Negative
Sugar Negative
Occult blood Negative Special_____

Casts_____
Cylindroids_____ Mucus Many threads
Leucocytes Occasional
Erythrocytes_____
Epithelium Numerous squamous
Crystals_____

L.R. NEWHOUSER, LT. COMDR. (MC) U.S.N.

RECORD OF PHYSICAL EXAMINATION OF OFFICERS AND SPECIAL AGENTS OF THE FEDERAL BUREAU OF INVESTIGATION, U. S. DEPARTMENT OF JUSTICE

Washington, D. C. — October 21, 1941
Place

HISTORY

Name Richard D. Auerbach Age 30 years, 2 months

Nativity (state) Mass. Married, Single, Widowed: Single Number of Children —

Diseases, operations, or injuries previous to age of 15 (Give date and full description of each and examine carefully for evidence of sequelae.)

1922 – cut – left inside leg above ankle

Diseases, operations, or injuries subsequent to age 15 (Give date and full description of each and examine carefully for evidence of sequelae.)

1930 – appendectomy

Father . . . . . (Living? _____ State of Health _____
(Dead? yes Cause & age at death? appendectomy – 47

Mother . . . . . (Living? ✓ State of Health fair
(Dead? _____ Cause & age at death? _____

Brothers . . . . (Number living One State of Health good
(Number dead none Cause & age at death? _____

Sisters . . . . (Number living none State of Health _____
(Number dead _____ Cause & age at death? _____

Has any member of family suffered from neurasthenia or insanity or been confined in any institution for the insane? Give relationship and full history of case.

none

Has any blood relative been an inmate of a penal institution or poorhouse? Give relationship and state reasons.

none

Habits: Tobacco? yes Alcoholics? moderate Drugs? _____

Richard D. Auerbach
Signature of Candidate.

Sent to R D Auerbach 11-19-41 JBP

FBI 1

PHYSICAL EXAMINATION

Eyes: Color? Brown Exophthalmos? no

Chronic inflammation? no Other abnormality? no

Eyelids: Ptosis? no Condition of conjunctiva on eversion? OK

Other eye conditions? Small melanoma of conjunctiva inner canthus right eye.

Vision: (Note: Each eye must be tested separately.)

Does candidate wear glasses? no For what purpose? —

Distant: Uncorrected vision of right eye? 20/20-1 Left eye? 20/20-1

Corrected vision of right eye? — Left eye? —

Near: Uncorrected vision of right eye? J1 Left eye? J1

Corrected vision of right eye? Left eye?

Remarks: —

Color sense: normal
(Standard color plate test required)

Ears: Abnormalities? no Evidence of mastoid or other disease: no

Condition of drums? Right OK Left OK

Hearing: (Note: When testing hearing, the eyes and the opposite ear must be closed.)

Distance conversational speech can be heard: —

Right ear — feet. Left ear feet.

Distance whispered speech (Using residual air) can be heard:

Right ear 15'/15' feet. Left ear 15'/15' feet.

(Note: Use tuning fork tests, Rinne, Weber & Schwabach, if indicated.)

Right ear — Left ear —

Nose: Deflection of septum no Polypi? no

Chronic nasal disease? no Is candidate a mouth breather? no

Palate: Cleft or perforated? no Other conditions? no

Fauces: Condition of tonsils? OK Pharynx? OK

W P McGuire
Signature of Examining Specialist.

Height? 5 feet, 11 inches. Weight, stripped? 153½ Pounds.

General appearance:
- (Robust? ✓
- (Puny?
- (Plethoric?
- (Anaemic?
- (Corpulent?
- (Emaciated?

Complexion:
- (White? ✓
- (Colored?
- (Blonde?
- (Brunette? ✓
- (Florid?
- (Sallow?

Skin: Diseases? M

Hair: Color: Black Thickness M

Glands: Enlargement: M Other abnormalities M

Head, Depressions? M Asymmetries? M

Facial disfigurement? M Facial asymmetry? M

Abnormalities of speech? M

Neck: Goitre? M Other conditions? M

Chest: Inspiration 38½ inches. Expiration 35 inches. Respiratory rate? 16

Lungs:
- Inspection: M
- Palpation: M
- Percussion: M
- Auscultation: M
- X-ray examination: —

Heart:
- Palpation: M
- Percussion: M
- Auscultation: M

Exercise Test: Step upon chair 25 times in 30 seconds. Pulse rate should return to normal after two minutes.

Pulse rate: Sitting 80 After exercise 124

Condition of heart after exercise: M

Blood pressure, Systolic? 120 Diastolic? 70 Pulse pressure 50

Abdomen:

Circumference at umbilicus? 30 Tenderness? m.

Other abnormalities? m.

Liver, percussion? m Palpation? m.

Spleen, percussion? m Palpation? m.

Inguinal rings? m Hernia? m

Scrotum:

Varicocele? m Hydrocele? m Sarcocele m.

Testicles:

Induration? m Atrophy? m.

Other conditions? m.

Penis:

Epispadias? m Hypospadias? m.

Condition of prepuce? m Venereal diseases? m

Anus:

Hemorrhoids? m Fistulae? m

Prolapse of bowel? m Other conditions m

Spine:

Tenderness? m Curvature? m

Reflexes:

Pupillary: m Cremasteric: m

Patellar: m Babinski: m Ankleclonus: m

Upper Extremity:

Missing fingers? m Contractures of hand? m.

Condition of joints? m Other conditions? m.

Lower Extremity:

Flat foot? m. Bowed legs? m.

Knock-knees? m Varicose Veins? m

Hammer toes? M Bunions? M

Other abnormalities? M

Agility:

Co-ordination of muscular movements? M Romberg? M

Defects of gait? M

Mental Condition? M

(Note: If indicated refer to specialist)

Temperature? M

Has this person been successfully vaccinated within 5 years? No

Has this person had prophylactive typhoid inoculation? No Date last taken 1939

Urine: Color? Sp. Gr.? Albumin? Sugar?

See attached

Reaction? Shreds? Blood cells?

Pus cells? Casts? Epitheleal cells?

Blood: Red corpuscles per C.mm White corpuscles per C.mm

Differential count

Blood serologic tests (syphilis): Negative Haemoglobin per cent:

Has candidate any of the following defects, viz: Cachexia, or apparent predisposition to any constitutional diseases, permanent defects of either of the extremities or articulations, including defects of gait, flat foot, badly bowed legs, knock-knees, unnatural curvature of the spine, impaired vision, color-blindness, chronic diseases of the visual organs, epilepsy, insanity, chronic diseases of the ears, deafness, chronic nasal disease, polypi, chronic ulcers or cicatrices of old ulcers likely to break out afresh, chronic cardiac pulmonary or renal affections, insufficient chest expansion, hernia, sarcocele, hydrocele, varicocele (unless slight), fistula in ano, hemorrhoids, varicose veins on lower limbs (unless slight) stature less than 5 feet 4 inches, or more than 6 feet 2 inches, or any marked abnormality of speech or facial disfigurement?

Report of any special examination:

DENTAL EXAMINATION OF Guestrach

GENERAL ORAL CONDITION

MUCOUS MEMBRANE

✓	Normal
	Inflamed
	Swollen
	Ulcerated
	Septic

SALIVA

✓	Normal
	Excessive
	Acidity
	Thick or ropy
	Odor

OCCLUSION

✓	Normal
	Class I
	Class II
	Class III
	XX Lt. Side 1st Molar only

TONGUE

✓	Normal
	Coating
	Cryptic
	Ulcerated
	Enlarged

Glands .....

Sinus .....

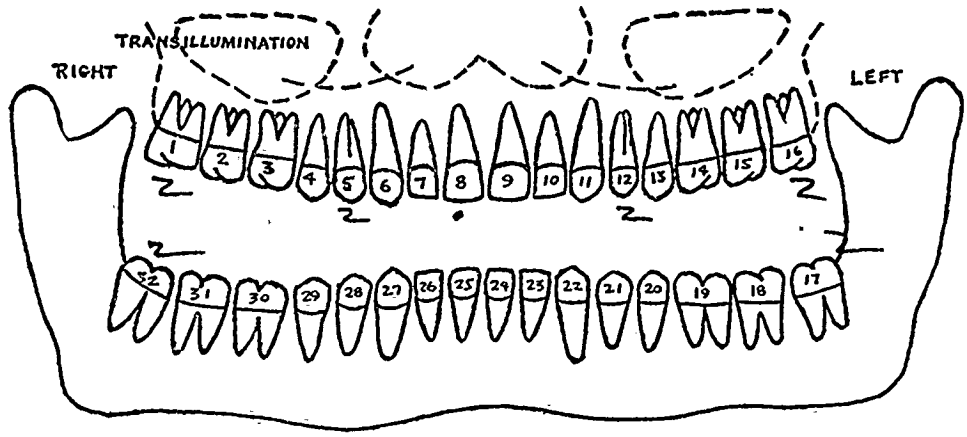
Throat.....

ARCH

	Square
✓	Tapering
	Ovoid

DENTAL DIAGNOSIS

A. Labial
B. Lingual
C. Incisal
D. Occlusal
E. Buccal
G. Mesial
H. Distal
K. Mesio-labial
L. Disto-labial
M. Mesio-lingual
N. Disto-lingual
O. Mesio-incisal
P. Disto-incisal
R. Mesio-occlusal
S. Disto-occlusal
T. Bucco-occlusal
U. Lingual-occlusal
V. Mesio-disto-occlusal
W. Bucco-lingual-occlusal



[X] Roots [O] Abscess [≠] Impacted [●] Crown [▽] Devitalized [–] Dummy bridge [–] On denture [Z] Missing [V] Extraction Indicated

X-ray No. 8 X-ray reading #8 - Chronic periapical abscess

Gingival disease (indicate nature and extent) _____

Conditions of appliances replacing teeth _____

Remarks: Space 6 & 7 7 & 8 8 & 9 9 & 10 10 & 11 11 & 12 21 & 22 #13 Rotated 22 & 23 26 & 27 Prophy-necessary

In case a dentist is not available to make the dental examination, the medical examiner shall record missing teeth, prosthetic replacements, and give a general estimate of oral condition.

Date _____ (Signature) Carl A. [illegible]
Dental Surgeon

Summary of Findings

(Summarize here all defects found.)

1. Melanoma sinus canthus right eye.
2. X-Ray tooth #8 shows Chronic Periapical
3. abscess
4.
5.

(S.A. 1 year) Recommendations: Smallpox + Tetanus Prophylaxis
Dental Prophylaxis

1. Is this man capable of strenuous____ moderate____ light____

   or very light____ physical exertion. (Indicate which).

2. Has this man any defect which would interfere with his participation in raids or other work connected with the detection and apprehension of criminals which might entail the practical use of firearms? (Indicate YES or NO) NO

Remarks:

Raoul M. Grant
Carl A. Schlack

Administrative action by Federal Bureau of Investigation.

DIRECTOR

JBL:ECR

April 4, 1942

Mr. Richard D. Auerbach
Federal Bureau of Investigation
United States Department of Justice
U. S. Department of Justice Building
Washington, D. C.

Dear Mr. Auerbach:

I wish to thank you for the interest evidenced by you in forwarding the suggestions contained in your letter dated March 23, 1942, pertaining to espionage and other national defense investigations.

The suggestions offered by you are being given consideration at the Seat of Government.

Sincerely yours,

APR 18 1942

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Tracy
Mr. Rosen
Mr. Carson
Mr. Coffey
Mr. Hendon
Mr. Holloman
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

COMMUNICATIONS SECTION
MAILED
APR 6 - 1942 P.M.
FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE

JOHN EDGAR HOOVER
DIRECTOR

Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

March 23, 1942

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Coffey
Mr. Ladd
Mr. Nichols
Mr. Tracy
Mr. Rosen
Mr. Carson
Mr. Coffey
Mr. Hendon
Mr. Quinn Tamm
Mr. Gearty
Mr. Mohr
Miss Gandy

PERSONAL AND CONFIDENTIAL

J. Edgar Hoover, Director
Federal Bureau of Investigation
U. S. Department of Justice
Washington, D. C.

Dear Mr. Hoover:

During interviews with Kurt Frederick Ludwig in the Espionage-G case bearing the same title, Ludwig advised to the effect that a great volume of information he obtained was developed from published material readily available to the general public from current publications and libraries. Accordingly, the following recommendations for the consideration of the Bureau are submitted.

DEFERRED REC

It is suggested that routine checks be made to determine whether the subject in such investigations spends any considerable time at libraries or procures pertinent material from book-lending agencies. It is further suggested that when available from a subject's quarters, current public magazines such as Colliers, Time, Newsweek, etc., subscribed to by him be checked not only for clippings but marked passages. Further, perhaps it would be possible to develop a source for an index of subscribers to pertinent government publication services such as the Bonnerville Dam power releases, the Patent Office and Government Printing Office publications, and the like so that a subject's name could be checked against them to determine whether he might be collecting data with reference to the productive efforts of the country or has concerned himself with research in connection with naval, aviation, or military matters.

A further check might also be feasible in such investigations from the files of the Medical Inspection Bureau with headquarters, I believe, at New York City, where reports are indexed as to individuals insured by a great number of the major insurance companies. Such reports include background, family, and employment information taken from the application forms which might be useful.

FEDERAL BUREAU OF INVESTIGATION
MAR 13 1942
U.S. DEPARTMENT OF JUSTICE

FIVE

Respectfully,

Richard D. Auerbach

Richard D. Auerbach
Special Agent

FEDERAL BUREAU OF INVESTIGATION

MNS
Mr.
Miss
Mrs. _____ Date _____

Mr. Richard H. Auerbach — March 31, 1942

New appointment [ ] Transfer [ ] Promotion [ ] Separation [ ]

AMENDING (X)

PRESENT STATUS

1. Title: Special Agent
2. Grade: CAF 10
3. Salary: $3500 per annum
4. Seat of Government: [ ] Field: [ ]
5. Division:
6. Appropriation: F "Salaries and Expenses, FBI" (National Defense)

PROPOSED ACTION

7. Title: Special Agent
8. Grade: CAF 11
9. Salary: $3800 per annum
10. Seat of Government: [ ] Field:* [ ]
11. Division:
12. Appropriation: F "Salaries and Expenses, FBI" (National Defense)
13. Effective: March 31, 1942
14. Position: Additional: [ ] Vice: Identical Francis E. Crosby - trans. 12-16-41
15. Remarks:

With reference to the Bureau's personnel recommendation dated March 26, 1942, transferring Mr. Auerbach to the position of Special Agent in Grade CAF 11, with salary at the rate of $3800 per annum, effective April 1, 1942, it is requested that same be amended to be effective March 31, 1942.

Respectfully submitted,

_____
(Title)
Director, Federal Bureau of Investigation

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Lester
Mr. Nichols
Mr. Tracy
Mr. Rosen
Mr. Carson
Mr. Coffey
Mr. Headen
Mr. Holloman
Mr. McGuire
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

Chief, Audit Section
Mr. Reeder

COMMUNICATIONS SECTION
MAILED 7
APR 9 1942 P.M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

APR 10 1942

67-

Mr.
Miss
Mrs. _____ Date _____

New appointment [ ] Mr. Richard D. Auerbach Transfer [ ] Promotion [ ] March 26, 1942 Separation [ ]

XI

PRESENT STATUS

1. Title:
2. Grade:
3. Salary: Special Agent $3300 per annum
4. Seat of Government: [ ] Field: [ ] CAF 10
5. Division:
6. Appropriation: 7

PROPOSED ACTION

7. Title:
8. Grade:
9. Salary: Special Agent $3300 per annum
10. Seat of Government: [ ] Field:* [ ] CAF 11
11. Division:
12. Appropriation: 7 *Salaries and Expenses, FB (National Defense)
13. Effective: April 1, 1942
14. Position: Additional: *Salaries and Expenses, FB (National Defense) Vice: Identical: -Francis E. Crosby transferred 12-16-41
15. Remarks:

Approved 3-31-42
4-9-42

Respectfully submitted,

_____
(Title)

Director, Federal Bureau of Investigation

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Tracy
Mr. Gurnea
Mr. Carson
Mr. Coffey
Mr. Madden
Mr. Holloman
Mr. McGuire
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

CC: Chief, Audit Section
Mr. Reeder

COMMUNICATIONS SECTION
MAILED 8
MAR 27 1942 P.M.
FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE

CC-240a

JBP:DM

November 19, 1941

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
United States Department of Justice
308 United States Court House Building
Seattle, Washington

Dear Mr. Auerbach:

The Bureau is in receipt of the report of the physical examination afforded you at the United States Naval Hospital, Washington, D. C., on October 21, 1941.

This report reflects the following physical defects:

Chronic periapical abscess, tooth 3.
Small melanoma (tumor) inner canthus of right eye.

The Board of Examining Physicians makes the following recommendations:

Vaccination for smallpox.
Inoculation for tetanus.
Dental attention.

It reports that you are capable of performing strenuous physical exertion, and have no physical defects that would interfere with your participation in raids or other work involving the practical use of firearms.

For your information, it was determined during this examination that your blood is International Type "O".

Sincerely yours,

J. Edgar Hoover

John Edgar Hoover
Director

COMMUNICATIONS SECTION
MAILED
NOV 19 1941
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

CC: Mr. Clegg
CC: SAC, Seattle

MJS

CC-270

RECORD OF PHYSICAL EXAMINATION OF OFFICERS AND SPECIAL AGENTS
FEDERAL BUREAU OF INVESTIGATION, U. S. DEPARTMENT OF JUSTICE

NAME RICHARD D. AUERBACH AGE 31 YEARS, 4 MONTHS S. A.
NATIVITY(state of birth) Mass. MARRIED, SINGLE, WIDOWED: single NUMBER OF CHILDREN ___
FAMILY HISTORY None

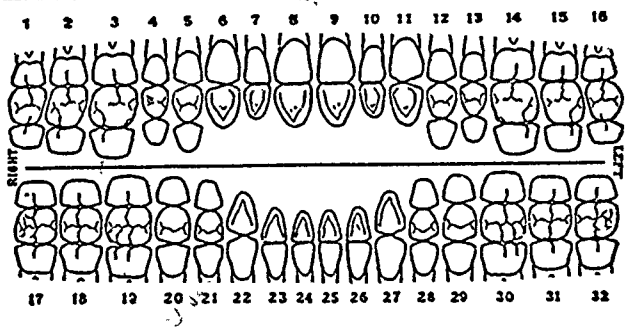
HISTORY OF ILLNESS OR INJURY Appendectomy 1930
Childhood diseases

HEAD AND FACE Asymmetrical
EYES: PUPILS (size, shape, reaction to light and distance, etc.) N
DISTANT VISION RT. 20/30, corrected to 20/20
LT. 20/20-1, corrected to 20/
COLOR PERCEPTION Normal
(state edition of Stilling's plates or Lamps used)
DISEASE OR ANATOMICAL DEFECTS N
EARS: HEARING RT. WHISPERED VOICE 15/15' CONVERSATIONAL SPEECH ___/15'
LT. WHISPERED VOICE 15/15' CONVERSATIONAL SPEECH ___/15'
DISEASE OR DEFECTS N
NOSE N
(Disease or anatomical defect, obstruction, etc. State degree)
SINUSES N

TONGUE, PALATE, PHARYNX, LARYNX, TONSILS Inflamed pillars.

TEETH AND GUMS(disease or anatomical defect): Normal
MISSING TEETH 1-5-12-16-17-32
NONVITAL TEETH 8
PERIAPICAL DISEASE no
MARKED MALOCCLUSION no
PYORRHEA ALVEOLARIS no
TEETH REPLACED BY BRIDGES none

DENTURES none
REMARKS



/s/ T. D. Allan
(Signature of Dental Officer)

GENERAL BUILD AND APPEARANCE Medium
TEMPERATURE 98. CHEST AT EXPIRATION 34
HEIGHT 71½ CHEST AT INSPIRATION 37
WEIGHT 145 CIRCUMFERENCE OF ABDOMEN AT UMBILICUS 28
RECENT GAIN OR LOSS, AMOUNT AND CAUSE Loss of 10 lbs.
SKIN, HAIR, AND GLANDS Brown hair. Spotty axillary nodes.
NECK (abnormalities, thyroid gland, trachea, larynx) N

SPINE AND EXTREMITIES (bones, joints, muscles, feet) N

101010-108
FILED
FEDERAL BUREAU OF INVESTIGATION

THORAX (size, shape, movement, rib cage, mediastinum) N

RESPIRATORY SYSTEM, BRONCHI, LUNGS, PLEURA, ETC. N

Photo Fluorograph of chest is negative.

CARDIO-VASCULAR SYSTEM N

HEART (note all signs of cardiac involvement) N

PULSE: BEFORE EXERCISE 68

AFTER EXERCISE 84

THREE MINUTES AFTER 64

BLOOD PRESSURE: SYSTOLIC 120

DIASTOLIC 74

CONDITION OF ARTERIES N

CONDITION OF VEINS N

CHARACTER OF PULSE N

HEMORRHOIDS N

ABDOMEN AND PELVIS (condition of wall, scars, herniae, abnormality of viscera) Well-healed Rt. rectus scar. Relaxed inguinal rings.

GENITO-URINARY SYSTEM N

URINALYSIS: SP. GR. 1.020 ALB. Neg. SUGAR Neg. MICROSCOPICAL Neg.

VENEREAL DISEASE N

NERVOUS SYSTEM N

(organic or functional disorders)

ROMBERG N INCOORDINATION (gait, speech) N

REFLEXES, SUPERFICIAL N DEEP(knee, ankle, elbow) N TREMORS N

SEROLOGICAL TESTS Neg. BLOOD TYPE "O"

ABNORMAL PSYCHE (neurasthenia, psychasthenia, depression, instability, worries) N

SMALLPOX VACCINATION: DATE OF LAST VACCINATION Feb. 1942

TYPHOID PROPHYLAXIS: NUMBER OF COURSES

DATE OF LAST COURSE April 1942

REMARKS ON ABNORMALITIES NOT OTHERWISE NOTED OR SUFFICIENTLY DESCRIBED ABOVE

SUMMARY OF DEFECTS 1. Defective vision right 20/30, left 20/25: both corrected to 20/20. 2. Relaxed inguinal rings.

CAPABLE OF PERFORMING DUTIES INVOLVING strenuous PHYSICAL EXERTION

IS THIS INDIVIDUAL PHYSICALLY FIT TO PARTICIPATE IN RAIDS AND APPREHENSION OF CRIMINALS WHICH MIGHT ENTAIL THE PRACTICAL USE OF FIREARMS yes (yes or no)

(when no is given state cause)

FINDINGS, RECOMMENDATIONS AND REMARKS (as per boards, when necessary)

Tetanus toxoid.

/s/ R. C. Parker, Jr.

Lieut. (MC) U.S.N.

DATE OF EXAMINATION 10/19/42

CC-275

FEDERAL BUREAU OF INVESTIGATION

Mr.
Miss
Mrs. Mr. Richard D. Auerbach

Date April 24, 1943

New appointment ☐ Transfer ☐ Promotion ☐ Separation ☐

X

PRESENT STATUS

1. Title: Special Agent (Supervisor)
2. Grade: GAF 11
3. Salary: $3800 per annum
4. Seat of Government: ☐ Field: ☐
5. Division: Division Five Security Division
6. Appropriation: "Salaries and Expenses, FBI" (National Defense)

PROPOSED ACTION

7. Title: Special Agent
8. Grade: GAF 11
9. Salary: $3800 per annum
10. Seat of Government: ☐ Field:* ☐
11. Division:
12. Appropriation: "Salaries and Expenses, FBI" (National Defense)
13. Effective: May 1, 1943
14. Position: Additional: ☐ Vice: Identical May Mine-Resigned 3-23-43
15. Remarks:

Mr. Auerbach has been on Leave Without Pay for an indefinite period since December 14, 1942. He should remain in a leave without pay status.

Respectfully submitted,

Mr. Coffey
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Hendon
Mr. McGuire
Mr. Mumford
Mr. Harbo
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

Chief, Audit Section
Classification Office
Selective Service

(Title)
Director, Federal Bureau of Investigation

8 APR 28 1943

38

COMMUNICATIONS SECTION
MAILED 1
APR 26 1943 P.M.
FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE

Personnel Recommendation for transfer of
Mr. Richard D. Auerbach from Special Agent (Supv.)
CAF 11, $3800 per annum, Div. 5, to Special Agent
CAF 11, $3800 per annum, Field, eff. 5-1-43.
On LWOP, indef. period since 12-14-42.

WRG

8 APR 28 1943

per letter
6-26-43
38

~~87-NOT RECORDED~~

FEDERAL BUREAU OF INVESTIGATION

MG

RECOMMENDATION FOR LEAVE WITHOUT PAY

Mr.
Miss
Mrs. See Attached List

Date December 11, 1942

1. Title: Special Agent (Supervisor)

2. Salary: $3800 per annum

3. Grade: CAF 11

4. Division or Bureau Federal Bureau of Investigation

5. Appropriation: "Salaries and Expenses, FBI. (National Defense)".

6. Commencing (date) Beginning 9:00 A. M. December 14, 1942.

7. Ending (close of):

8. Indefinite:* Indefinite, length of time unknown.

9. Letter to employee required: X Yes ☐ No ☐

10. Remarks:**

Place on leave without pay for an indefinite period beginning at 9:00 A. M. on December 14, 1942.

CC: Chief, Audit Section
Selective Service, CCO

(Title)

Director, Federal Bureau of Investigation

Place the following on leave without pay for an indefinite period beginning at 9:00 A. M. on December 14, 1942.

Richard D. Auerbach
Norman H. Brand
Harvey L. Davis
George H. Harvey
Thomas W. Perrin
W. Lee Hancock

CC-275

FEDERAL BUREAU OF INVESTIGATION

rl

Mr.
Miss
Mrs. Mr. Richard D. Auerbach

Date November 28, 1942

New appointment [ ] Transfer [XX] Promotion [ ] Separation [ ]

PRESENT STATUS

1. Title: Special Agent
2. Grade: CAF 11
3. Salary: $3800 per annum
4. Seat of Government: [ ] Field: [F]
5. Division:
6. Appropriation: "Salaries and Expenses, FBI" (National Defense)

PROPOSED ACTION

7. Title: Special Agent (Supervisor)
8. Grade: CAF 1
9. Salary: $3800 per annum
10. Seat of Government: [ ] Field:* [F]
11. Division: Division Five Security Division
12. Appropriation: "Salaries and Expenses, FBI" (National Defense)
13. Effective: December 1, 1942
14. Position: Additional: [ ] Vice: Identical: J. Neal Faircloth - trans- 4-1-42
15. Remarks:

CC: Classification Section
CC: Chief, Audit Section
CCO - Selective Service

Respectfully submitted,

(Title)
Director, Federal Bureau of Investigation

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Traman
Mr. Carson
Mr. Hendon
Mr. Kramer
Mr. McGuire
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

The position into which the above employee is being viewed is hereby certified as having the same duties and responsibilities and the same organizational location as when it was originally allocated.

DEC 1 1942

CBS:HJS

November 7, 1942 PERSONAL AND ~~CONFIDENTIAL~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Auerbach:

The Bureau is in receipt of the report of the physical examination afforded you at the United States Naval Hospital, Bethesda, Maryland, on October 19, 1942.

This report reflects the following physical defects:

Defective vision of right eye 20/30 - left eye 20/20-1; corrected to 20/20 in each eye.
Inflamed pillars.
Relaxed inguinal rings.

The Board of Examining Physicians makes the following recommendations:

Inoculation for tetanus.

It reports that you are capable of performing strenuous physical exertion, and have no physical defects that would interfere with your participation in raids or other work involving the practical use of firearms.

For your information, it was determined during this examination that your blood is International Type "O".

Sincerely yours,

J. E. Hoover

John Edgar Hoover
Director

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Coffey
Mr. Herndon
Mr. Kramer
Mr. McGuire
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

COMMUNICATIONS SECTION
MAILED 8
NOV 7 1942 P.M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

CC: Mr. Clegg
CC: Mr. Carson

3 NOV 16 1942

Klep 5

OK
CC-270

RECORD OF PHYSICAL EXAMINATION OF OFFICERS AND SPECIAL AGENTS
FEDERAL BUREAU OF INVESTIGATION, U. S. DEPARTMENT OF JUSTICE

NAME Richard D. Auerbach AGE 32 YEARS, 4 MONTHS
NATIVITY (state of birth) Mass. MARRIED, SINGLE, WIDOWED: married NUMBER OF CHILDREN 0
FAMILY HISTORY none

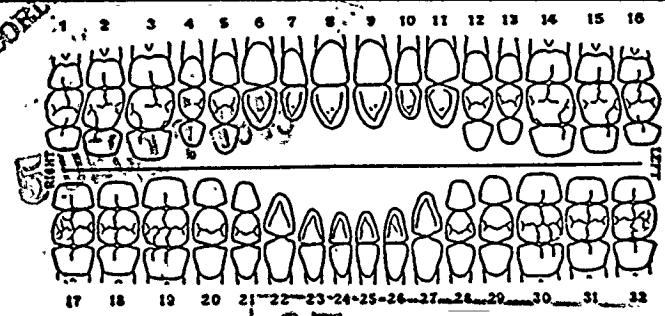
HISTORY OF ILLNESS OR INJURY only childhood diseases

HEAD AND FACE n
EYES: PUPILS (size, shape, reaction to light and distance, etc.) no reg ex.
DISTANT VISION RT. 20/ 20 ; corrected to 20/
LT. 20/ 20 , corrected to 20/
COLOR PERCEPTION normal
(state edition of Stilling's plates or Lamps used)
DISEASE OR ANATOMICAL DEFECTS n
EARS: HEARING RT. WHISPERED VOICE 15 /15' CONVERSATIONAL SPEECH /15'
LT. WHISPERED VOICE 15 /15' CONVERSATIONAL SPEECH /15'
DISEASE OR DEFECTS n
NOSE n
(Disease or anatomical defect, obstruction, etc. State degree)
SINUSES n

TONGUE, PALATE, PHARYNX, LARYNX, TONSILS n

TEETH AND GUMS (disease or anatomical defect): normal
MISSING TEETH 1,5,13,16,17,32
NONVITAL TEETH #8
PERIAPICAL DISEASE none apparent
MARKED MALOCCLUSION no
PYORRHEA ALVEOLARIS no
TEETH REPLACED BY BRIDGES none

DENTURES no
REMARKS



C. J. Hoffer
(Signature of Dental Officer)

Robert Auerbach
18,5/4 3 ch/ile

RECORDED
67-101010-128
Noted
Recorded
Searched
Indexed
Serialized
Filed
MAR 23 1943
FBI - DENVER

GENERAL BUILD AND APPEARANCE healthy
TEMPERATURE 98.2 CHEST AT EXPIRATION 33 1/2
HEIGHT 71 1/4 CHEST AT INSPIRATION 37 1/4
WEIGHT 146 CIRCUMFERENCE OF ABDOMEN AT UMBILICUS
RECENT GAIN OR LOSS, AMOUNT AND CAUSE n
SKIN, HAIR, AND GLANDS n
NECK (abnormalities, thyroid gland, trachea, larynx) n

SPINE AND EXTREMITIES (bones, joints, muscles, feet) n

THORAX (size, shape, movement, rib cage, mediastinum) n

RESPIRATORY SYSTEM, BRONCHI, LUNGS, PLEURA, ETC. n

PHOTO-FLUOROGRAPH OF CHEST IS NEGATIVE

CARDIO-VASCULAR SYSTEM n

HEART (note all signs of cardiac involvement)

PULSE: BEFORE EXERCISE 72

AFTER EXERCISE 26/68

THREE MINUTES AFTER 42

BLOOD PRESSURE: SYSTOLIC 128

DIASTOLIC 70

CONDITION OF ARTERIES n

CHARACTER OF PULSE n

CONDITION OF VEINS n

HEMORRHOIDS n

ABDOMEN AND PELVIS (condition of wall, scars, herniae, abnormality of viscera) n

GENITO-URINARY SYSTEM n

URINALYSIS: SP. GR. 1.020 ALB. n SUGAR n MICROSCOPICAL n

VENEREAL DISEASE n

NERVOUS SYSTEM n

(organic or functional disorders)

ROMBERG n INCOORDINATION (gait, speech) n

REFLEXES, SUPERFICIAL n DEEP (knee, ankle, elbow) n TREMORS n

SEROLOGICAL TESTS neg BLOOD TYPE O

ABNORMAL PSYCHE (neurasthenia, psychasthenia, depression, instability, worries) n

Yellow fever 1942

typhus 1942

SMALLPOX VACCINATION: DATE OF LAST VACCINATION 1942

TYPHOID PROPHYLAXIS: NUMBER OF COURSES 1942

DATE OF LAST COURSE tet tox 1942

REMARKS ON ABNORMALITIES NOT OTHERWISE NOTED OR SUFFICIENTLY DESCRIBED ABOVE

SUMMARY OF DEFECTS Functional scoliosis right leg 37 3/40"

left leg 37

CAPABLE OF PERFORMING DUTIES INVOLVING strenuous PHYSICAL EXERTION

IS THIS INDIVIDUAL PHYSICALLY FIT TO PARTICIPATE IN RAIDS AND APPREHENSION OF CRIMINALS WHICH MIGHT ENTAIL THE PRACTICAL USE OF FIREARMS yes (yes or no)

(when no is given state cause)

FINDINGS, RECOMMENDATIONS AND REMARKS (as per boards, when necessary)

Benjamin V. White

LT (MC) USNR

DATE OF EXAMINATION 12-7-43

Standard Form No. 51, Rev.
Approved Dec. 1943
C. S. C. Dept. Cir. No. 458

Form approved
Budget Bureau No. 50-R012.
Approval expires Mar. 30, 1945.

ANNUAL REPORT OF EFFICIENCY RATING

ADMINISTRATIVE-UNOFFICIAL ( )
OFFICIAL: REGULAR (X) SPECIAL ( )
PROBATIONAL or TRIAL PERIOD ( )

As of March 31, 1945 based on performance during period from April 1, 1944 to March 31, 1945

RICHARD D. AUERBACH (Name of employee)

SPECIAL AGENT, CAF-12, $4600 (Title of position, service, and grade)

FEDERAL BUREAU OF INVESTIGATION, SECURITY DIVISION, SIS
(Organization—Indicate bureau, division, section, unit, field station)

ON LINES BELOW MARK EMPLOYEE		CHECK ONE:
√ if adequate — if weak + if outstanding	1. Study the instructions in the Rating Official's Guide, C. S. C. Form No. 3823A. 2. Underline the elements which are especially important in the position. 3. Rate only on elements pertinent to the position. *a.* Do not rate on elements in *italics* except for employees in administrative, supervisory, or planning positions. *b.* Rate administrative, supervisory, and planning functions on elements in *italics*.	Administrative, supervisory, or planning ☒ All others ☐

..... (1) Maintenance of equipment, tools, instruments.
..... (2) Mechanical skill.
..... (3) Skill in the application of techniques and procedures.
..... (4) Presentability of work (appropriateness of arrangement and appearance of work).
..... (5) Attention to broad phases of assignments.
..... (6) Attention to pertinent detail.
..... (7) Accuracy of operations.
..... (8) Accuracy of final results.
..... (9) Accuracy of judgments or decisions.
..... (10) Effectiveness in presenting ideas or facts.
..... (11) Industry.
..... (12) Rate of progress on or completion of assignments.
..... (13) Amount of acceptable work produced. (Is mark based on production records? .....) (Yes or no)
..... (14) Ability to organize his work.
..... (15) Effectiveness in meeting and dealing with others.
..... (16) Cooperativeness.
..... (17) Initiative.
..... (18) Resourcefulness.
..... (19) Dependability.
..... (20) Physical fitness for the work.

..... (21) *Effectiveness in planning broad programs.*
..... (22) *Effectiveness in adapting the work program to broader or related programs.*
..... (23) *Effectiveness in devising procedures.*
..... (24) *Effectiveness in laying out work and establishing standards of performance for subordinates.*
..... (25) *Effectiveness in directing, reviewing, and checking the work of subordinates.*
..... (26) *Effectiveness in instructing, training, and developing subordinates in the work.*
..... (27) *Effectiveness in promoting high working morale.*
..... (28) *Effectiveness in determining space, personnel, and equipment needs.*
..... (29) *Effectiveness in setting and obtaining adherence to time limits and deadlines.*
..... (30) *Ability to make decisions.*
..... (31) *Effectiveness in delegating clearly defined authority to act.*

STATE ANY OTHER ELEMENTS CONSIDERED

..... (A) .....
..... (B) .....
..... (C) .....

STANDARD Deviations must be explained on reverse side of this form	*Adjective rating*		*Adjective rating*
Plus marks on all underlined elements, and no minus marks	Excellent	Rating official	EXCELLENT
Plus marks on at least half of the underlined elements, and no minus marks	Very good		
Check marks or better on a majority of underlined elements, and any minus marks overcompensated by plus marks	Good	Reviewing official	
Check marks or better on a majority of underlined elements, and minus marks not overcompensated by plus marks	Fair		
Minus marks on at least half of the underlined elements	Unsatisfactory		

Rated by C. H. Carson (Signature of rating official) INSPECTOR (Title) March 31, 1945 (Date)

Reviewed by [illegible] (Signature of reviewing official) ASSISTANT DIRECTOR (Title) April 2, 1945 (Date)

Rating approved by efficiency rating committee ..... (Date) Report to employee ..... (Adjective rating)

16—26177-2

March 31, 1945

RICHARD D. AUERBACH
SPECIAL AGENT
EOD BUREAU 8/5/40
CAF-12, $4600

Special Agent Auerbach has been assigned through the past year as an SIS supervisor at the Seat of Government.

He presents an excellent appearance, being unusually presentable as to dress, personality and approach. This Agent is intelligent, being far above average as to mental alertness, grasp and quickness of perception.

Mr. Auerbach is very intense, forceful and aggressive. He is extremely loyal and enthusiastic. He has had previous SIS Field experience and is believed to be outstanding both with regard to investigative and supervisory work.

C. H. Carson

FEDERAL BUREAU OF INVESTIGATION

Filed by:

Mr.
Miss
Mrs. Mr. Richard D. Auerbach Date May 1, 1943

New appointment [ ] Transfer [ ] Promotion [X] Separation [ ]

PRESENT STATUS

1. Title: Special Agent (Supervisor)
2. Grade: CAF 11
3. Salary: $3800 per annum
4. Seat of Government: [n] Field: [ ]
5. Division: Division Five Security Division
6. Appropriation: Salaries and Expenses, FBI* (National Defense)

PROPOSED ACTION

7. Title: Special Agent
8. Grade: CAF 12
9. Salary: $4600 per annum
10. Seat of Government: [ ] Field:* [ ]
11. Division:
12. Appropriation: Salaries and Expenses, FBI* (National Defense)
13. Effective May 1, 1943
14. Position: Additional: [X] Vice: Identical:
15. Remarks:

With reference to the Bureau's Personnel Recommendation dated April 24, 1943, it is requested that same be amended to indicate that Mr. Auerbach be promoted to Special Agent, Grade CAF 12, $4600 per annum, effective May 1, 1943 instead of Special Agent, Grade CAF 11, $3800 per annum. Mr. Auerbach has been on leave without pay since 9:00 A. M. December 14, 1942 (sic). Penfold not necessary.

Respectfully submitted,

APR 2 1945

Director, Federal Bureau of Investigation

cc - Selective Service, CCO

Tolson
E. A. Tamm
Clegg
Coffey
Glavin
Ladd
Nichols
Rosen
Tracy
Acers
Carson
Harbo
Hendon
Mumford
Starke
Quinn Tamm
Nease
Gandy

COMMUNICATIONS SECTION
MAILED 13
★ APR 4 - 1945 P.M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

Funds Available
APR 4 1945 FBI

Standard Form No. 51, Rev.
Approved Dec. 1943
C. S. C. Dept. Cir. No. 458

Form approved
Budget Bureau No. 50-R012.
Approval expires Mar. 30, 1945.

ANNUAL REPORT OF EFFICIENCY RATING

ADMINISTRATIVE-UNOFFICIAL ( )
OFFICIAL:
REGULAR (X) SPECIAL ( )
PROBATIONAL or TRIAL PERIOD ( )

As of March 31, 1944 based on performance during period from 4/1/43 to 3/31/44

RICHARD DEXTER AUERBACH (Name of employee) SPECIAL AGENT CAF-12 $4600 (Title of position, service, and grade)

FEDERAL BUREAU OF INVESTIGATION, SECURITY DIVISION, SIS
(Organization—Indicate bureau, division, section, unit, field station)

ON LINES BELOW MARK EMPLOYEE		CHECK ONE:
√ if adequate — if weak + if outstanding	1. Study the instructions in the Rating Official's Guide, C. S. C. Form No. 3823A. 2. Underline the elements which are especially important in the position. 3. Rate only on elements pertinent to the position. a. Do not rate on elements in *italics* except for employees in administrative, supervisory, or planning positions. b. Rate administrative, supervisory, and planning functions on elements in *italics*.	Administrative, supervisory, or planning ☐ All others ☐

(1) Maintenance of equipment, tools, instruments.
(2) Mechanical skill.
(3) Skill in the application of techniques and procedures.
(4) Presentability of work (appropriateness of arrangement and appearance of work).
(5) Attention to broad phases of assignments.
(6) Attention to pertinent detail.
(7) Accuracy of operations.
(8) Accuracy of final results.
(9) Accuracy of judgments or decisions.
(10) Effectiveness in presenting ideas or facts.
(11) Industry.
(12) Rate of progress on or completion of assignments.
(13) Amount of acceptable work produced. (Is mark based on production records?) (Yes or no)
(14) Ability to organize his work.
(15) Effectiveness in meeting and dealing with others.
(16) Cooperativeness.
(17) Initiative.
(18) Resourcefulness.
(19) Dependability.
(20) Physical fitness for the work.
(21) *Effectiveness in planning broad programs.*
(22) *Effectiveness in adapting the work program to broader or related programs.*
(23) *Effectiveness in devising procedures.*
(24) *Effectiveness in laying out work and establishing standards of performance for subordinates.*
(25) *Effectiveness in directing, reviewing, and checking the work of subordinates.*
(26) *Effectiveness in instructing, training, and developing subordinates in the work.*
(27) *Effectiveness in promoting high working morale.*
(28) *Effectiveness in determining space, personnel, and equipment needs.*
(29) *Effectiveness in setting and obtaining adherence to time limits and deadlines.*
(30) *Ability to make decisions.*
(31) *Effectiveness in delegating clearly defined authority to act.*

STATE ANY OTHER ELEMENTS CONSIDERED

(A)
(B)
(C)

4 MAY 3 1944

STANDARD Deviations must be explained on reverse side of this form	*Adjective rating*
Plus marks on all underlined elements, and no minus marks	Excellent
Plus marks on at least half of the underlined elements, and no minus marks	Very good
Check marks or better on a majority of underlined elements, and any minus marks overcompensated by plus marks	Good
Check marks or better on a majority of underlined elements, and minus marks not overcompensated by plus marks	Fair
Minus marks on at least half of the underlined elements	Unsatisfactory

Adjective rating
Rating official EXCELLENT
Reviewing official

Rated by C. H. Carman (Signature of rating official) SECTION CHIEF (Title) April 14, 1944 (Date)

Reviewed by [illegible] (Signature of reviewing official) ASSISTANT DIRECTOR (Assistant Director, Federal Bureau of Investigation) April 15, 1944 (Date)

Rating approved by efficiency rating committee (Date) Report to employee 4-29-44 (Adjective rating)

16—26177-2

EOD 8-5-40

Re: RICHARD DEXTER AUERBACH
SPECIAL AGENT
EOD 8/5/40
CAF-12 - $4600

Special Agent Richard Dexter Auerbach has been assigned as Supervisor in the SIS Division since November 5, 1942, he having previously served for a number of months in the SIS field on a special confidential undercover assignment. Mr. Auerbach has, since August, 1943, been assigned as Unit Chief in charge of the Operations Unit of the SIS Division.

This Agent has performed his duties in an entirely satisfactory manner and is considered to be definitely and distinctly above average as an all-round Bureau Agent. He presents a very good personal appearance and is always neat as to attire. He has poise and self-confidence and is quite aggressive.

Mr. Auerbach conducts himself in a very forceful manner. His personality is considered by me to be entirely satisfactory. Like many aggressive, forceful individuals, he proceeds in a very direct manner in carrying out his assignment, and he could develop more tact and diplomacy in connection with his dealings with others. It is known that Mr. Auerbach recognizes the latter fact and that he is himself striving to develop the greatest possible tact and diplomacy with respect to his assignment.

This Agent impresses one as being extremely loyal and most conscientious relative to the performance of his duties. He is far above average as to dictating ability and handles the preparation of a very large volume of involved and difficult memoranda and letters in an entirely satisfactory manner. His progress, development, and improvement in the Bureau's service have been and continue to be very rapid. It is believed that this will continue to be so because of his very commendable ambition and application to duty.

Mr. Auerbach has the faculty and ability "to get things done" expeditiously and efficiently. As mentioned above, he is a very direct person. It is believed that he has considerable administrative ability and some real promise with regard to executive ability.

C. H. CARSON

CBS:id

PERSONAL AND ~~CONFIDENTIAL~~

December 15, 1943

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Auerbach:

The Bureau is in receipt of the report of the physical examination afforded you at the United States Naval Hospital, Bethesda, Maryland, on December 7, 1943.

This report reflects the following physical defects:

Functional scoliosis.

The Board of Examining Physicians makes the following recommendations:

Inoculation for tetanus.

It reports that you are capable of performing strenuous physical exertion, and have no physical defects that would interfere with your participation in raids or other work involving the practical use of firearms.

For your information, it was determined during this examination that your blood is International Type O.

Sincerely yours,

J. E. Hoover

John Edgar Hoover
Director

COMMUNICATIONS SECTION
MAILED 2
★ DEC 15 1943 P.M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

16
DEC 17 1943
57-NOT RECORDED

Tolson
E. A. Tamm
Clegg
Coffey
Glavin
Ladd
Nichols
Rosen
Tracy
Acers
Carson
Harbo
Hendon
Mumford
Starke
Quinn Tamm
Nease
Gandy

CC: Mr. Clegg

CC-270

RECORD OF PHYSICAL EXAMINATION OF OFFICERS AND SPECIAL AGENTS
FEDERAL BUREAU OF INVESTIGATION, U. S. DEPARTMENT OF JUSTICE

6

NAME Auerbach, Richard D. AGE 33 YEARS, 8 MONTHS
NATIVITY (state of birth) Mass. MARRIED, SINGLE, WIDOWED: married NUMBER OF CHILDREN 1
FAMILY HISTORY Father dead - appendicitis. Mother and 1 sibling living and well
Wife and child in good health.

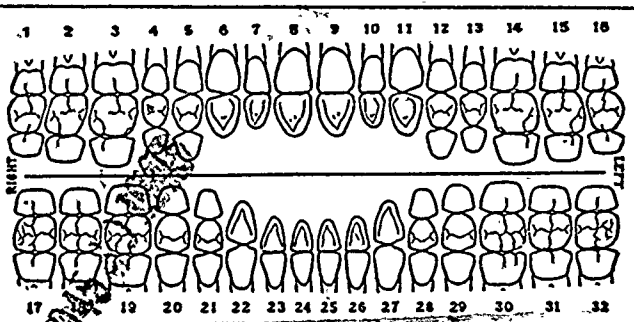
HISTORY OF ILLNESS OR INJURY 1922 - injury to left inside ankle
1930 - appendectomy
1917- tonsillectomy

HEAD AND FACE neg.
EYES: PUPILS (size, shape, reaction to light and distance, etc.) equal and react
DISTANT VISION RT. 20/ 20, corrected to 20/
LT. 20/ 20, corrected to 20/
COLOR PERCEPTION normal
(state edition of Stilling's plates or Lamps used)
DISEASE OR ANATOMICAL DEFECTS none
EARS: HEARING RT. WHISPERED VOICE 15 /15' CONVERSATIONAL SPEECH /15'
LT. WHISPERED VOICE 15 /15' CONVERSATIONAL SPEECH /15'
DISEASE OR DEFECTS none
NOSE neg
(Disease or anatomical defect, obstruction, etc. State degree)
SINUSES neg.

TONGUE, PALATE, PHARYNX, LARYNX, TONSILS out

TEETH AND GUMS (disease or anatomical defect):
MISSING TEETH 1,4,13,16,17,32
NONVITAL TEETH 8
PERIAPICAL DISEASE n
MARKED MALOCCLUSION n
PYORRHEA ALVEOLARIS n
TEETH REPLACED BY BRIDGES n

DENTURES n
REMARKS



John H. Paul
(Signature of Dental Officer)

67-111610-140

MAY 30 1947

MAY 25 1947

GENERAL BUILD AND APPEARANCE medium
TEMPERATURE 98.6 CHEST AT EXPIRATION 34
HEIGHT 71 ½ CHEST AT INSPIRATION 37½
WEIGHT 148 CIRCUMFERENCE OF ABDOMEN AT UMBILICUS 29
RECENT GAIN OR LOSS, AMOUNT AND CAUSE none
SKIN, HAIR, AND GLANDS neg
NECK (abnormalities, thyroid gland, trachea, larynx) neg.

SPINE AND EXTREMITIES (bones, joints, muscles, feet) Scoliosis of spine, left leg slightly shorter than right. No symptoms.

THORAX (size, shape, movement, rib cage, mediastinum) neg.

RESPIRATORY SYSTEM, BRONCHI, LUNGS, PLEURA, ETC. neg.

CARDIO-VASCULAR SYSTEM neg

HEART (note all signs of cardiac involvement) neg.

PULSE: BEFORE EXERCISE 84
AFTER EXERCISE 96
THREE MINUTES AFTER 84

BLOOD PRESSURE: SYSTOLIC 104
DIASTOLIC 70

CONDITION OF ARTERIES normal

CHARACTER OF PULSE full and reg.

CONDITION OF VEINS normal

HEMORRHOIDS none

ABDOMEN AND PELVIS (condition of wall, scars, herniae, abnormality of viscera) appendectomy scar.

GENITO-URINARY SYSTEM negative

URINALYSIS: SP. GR. 1.020 ALB. neg SUGAR neg MICROSCOPICAL neg

VENEREAL DISEASE none

NERVOUS SYSTEM neg.

(organic or functional disorders)

ROMBERG neg. INCOORDINATION (gait, speech) none

REFLEXES, SUPERFICIAL present DEEP (knee, ankle, elbow) present TREMORS none

SEROLOGICAL TESTS negative BLOOD TYPE 0

ABNORMAL PSYCHE (neurasthenia, psychasthenia, depression, instability, worries) none

SMALLPOX VACCINATION: DATE OF LAST VACCINATION 1945

TYPHOID PROPHYLAXIS: NUMBER OF COURSES

DATE OF LAST COURSE 1945

REMARKS ON ABNORMALITIES NOT OTHERWISE NOTED OR SUFFICIENTLY DESCRIBED ABOVE stool positive for Endamoeba histolytica.

SUMMARY OF DEFECTS short leg with scoliosis - no symptoms 2- Amoebiasis

CAPABLE OF PERFORMING DUTIES INVOLVING strenuous PHYSICAL EXERTION

IS THIS INDIVIDUAL PHYSICALLY FIT TO PARTICIPATE IN RAIDS AND APPREHENSION OF CRIMINALS WHICH MIGHT ENTAIL THE PRACTICAL USE OF FIREARMS yes (yes or no)
(when no is given state cause)

FINDINGS, RECOMMENDATIONS AND REMARKS (as per boards, when necessary) treatment for amoebiasis with re-exam. after treatment.

W. R. Darnall
Lt. MC USNR

DATE OF EXAMINATION 5/7/45

Standard Form No. 51, Rev.
Approved Dec. 1943
C. S. C. Dept. Cir. No. 458

ANNUAL REPORT OF EFFICIENCY RATING

Form approved
Budget Bureau No. 50-R012.
Approval expires Mar. 30, 1945.

ADMINISTRATIVE-UNOFFICIAL ( )
OFFICIAL:
REGULAR (X) SPECIAL ( )
PROBATIONAL or TRIAL PERIOD ( )

As of March 31, 1946 based on performance during period from April 1, 1945 to March 31, 1946

RICHARD D. AUERBACH (Name of employee)

SPECIAL AGENT, CAF 12 (Title of position, service, and grade)

Federal Bureau of Investigation, Los Angeles (Organization—Indicate bureau, division, section, unit, field station)

ON LINES BELOW MARK EMPLOYEE		CHECK ONE:
V if adequate – if weak + if outstanding	1. Study the instructions in the Rating Official's Guide, C. S. C. Form No. 3823A. 2. Underline the elements which are especially important in the position. 3. Rate only on elements pertinent to the position. *a.* Do not rate on elements in *italics* except for employees in administrative, supervisory, or planning positions. *b.* Rate administrative, supervisory, and planning functions on elements in *italics*.	Administrative, supervisory, or planning ☐ All others ☒

------ (1) Maintenance of equipment, tools, instruments.
------ (2) Mechanical skill.
+ (3) Skill in the application of techniques and procedures.
------ (4) Presentability of work (appropriateness of arrangement and appearance of work).
+ (5) Attention to broad phases of assignments.
+ (6) Attention to pertinent detail.
------ (7) Accuracy of operations.
+ (8) Accuracy of final results.
+ (9) Accuracy of judgments or decisions.
+ (10) Effectiveness in presenting ideas or facts.
+ (11) Industry.
+ (12) Rate of progress on or completion of assignments.
+ (13) Amount of acceptable work produced. (Is mark based on production records? N/A (Yes or no))
+ (14) Ability to organize his work.
+ (15) Effectiveness in meeting and dealing with others.
+ (16) Cooperativeness.
+ (17) Initiative.
+ (18) Resourcefulness.
+ (19) Dependability.
+ (20) Physical fitness for the work.

------ (21) *Effectiveness in planning broad programs.*
------ (22) *Effectiveness in adapting the work program to broader or related programs.*
------ (23) *Effectiveness in devising procedures.*
------ (24) *Effectiveness in laying out work and establishing standards of performance for subordinates.*
------ (25) *Effectiveness in directing, reviewing, and checking the work of subordinates.*
------ (26) *Effectiveness in instructing, training, and developing subordinates in the work.*
------ (27) *Effectiveness in promoting high working morale.*
------ (28) *Effectiveness in determining space, personnel, and equipment needs.*
------ (29) *Effectiveness in setting and obtaining adherence to time limits and deadlines.*
------ (30) *Ability to make decisions.*
------ (31) *Effectiveness in delegating clearly defined authority to act.*

STATE ANY OTHER ELEMENTS CONSIDERED

+ (A) Ability to direct and lead a group of Agents on raids and dangerous assignments.
+ (B) Capability for additional responsibility.
------ (C)

STANDARD
Deviations must be explained on reverse side of this form

	Adjective rating
Plus marks on all underlined elements, and no minus marks	Excellent
Plus marks on at least half of the underlined elements, and no minus marks	Very good
Check marks or better on a majority of underlined elements, and any minus marks overcompensated by plus marks	Good
Check marks or better on a majority of underlined elements, and minus marks not overcompensated by plus marks	Fair
Minus marks on at least half of the underlined elements	Unsatisfactory

Adjective rating

Rating official EXCELLENT

Reviewing official

10 MAY 1 1946

Rated by [signature] (Signature of rating official) Special Agent in Charge (Title) March 31, 1946 (Date)

Reviewed by [signature] (Signature of reviewing official) Assistant Director, Federal Bureau of Investigation (Title) APR 26 1946 (Date)

Rating approved by efficiency rating committee ------ (Date) Report to employee ------ (Adjective rating)

16—26177-2

2

RICHARD D. AUERBACH LOS ANGELES MARCH 31, 1946

Special Agent Auerbach presents a neat, mature, business-like appearance. He has a good personality. Agent Auerbach has displayed an enthusiastic attitude toward his work and is sufficiently aggressive to adequately represent this Bureau. He is cooperative and well liked by his fellow employees. This Agent is in apparent good health and able to perform work of an arduous nature.

It has been my observation that Agent Auerbach is intelligent, loyal to the Bureau, self-confident, and a hard and willing worker. He is the type of employee that is able to handle practically any type of Bureau assignment, including the assignment of cases involving the use of tact and diplomacy. This Agent has handled more than an average volume of work since assigned to this office. His reports have been in very good form and his facts set forth in good order. Agent Auerbach's dictation over the last ninety days has been rated as between very good and excellent. His investigations have been thorough and complete.

Agent Auerbach has been assigned to the General Criminal Squad in the Los Angeles Office since he arrived on transfer from the Bureau. I have observed that he organizes his work well and appears to have a very good knowledge of the work of this Bureau. He operates an automobile very well. Although he has had no opportunity to testify in court in this division, he has made several talks before local business groups being an approved Bureau speaker, and it is believed that he would creditably represent the Bureau in court.

Agent Auerbach, prior to his arrival in Los Angeles, had been on SIS assignment and it is felt that he has readjusted himself in very good fashion to general investigative work in this office. This Agent has been on physical surveillance assignments in this office and functioned well, and I consider him a good man to be used in this type of work. It is also believed that he would function well on dangerous assignments. Agent Auerbach does not use shorthand, but can type satisfactorily for rough draft work.

This Agent's services have been very satisfactory, and I have observed that he possesses supervisory ability; however, it is felt that he should have additional field experience before being assigned to supervisory or administrative duties.

In his present grade I consider him to be an excellent Agent.

R. B. HOOD
SAC

JJC:cvb

DEPARTMENT OF JUSTICE
WASHINGTON 25, D. C.

NO. FBI - 2795

Name	MR. RICHARD D. AUERBACH January 27, 1946		CIVIL SERVICE OR OTHER LEGAL AUTHORITY
Nature Of Action	PROMOTION (AWARD FOR SUPERIOR ACCOMPLISHMENT)		
Effective	January 27 1946		
	FROM	TO	NATURE OF POSITION
Position	Special Agent	Same	a NEW
Grade	CAF 12	Same	P. C. No.
Salary	$5300	$5600	b ADDITIONAL IDENTICAL
Bureau or Division			
Headquarters			P. C. No.
Appropriations	G&E, FBI, Natl. Def.	Same	c VICE
Departmental Or Field	DEPT. FIELD F	DEPT. FIELD F	P. C. No.

REMARKS: Prom. for superior accomplishment under Federal Employees Pay Act of 1945 7-1-45. This award for superior accomplishment is hereby being recommended in a view of special services performed by Mr. Auerbach in connection with a number of highly confidential assignments furthering the war effort. These services and required unusual ability on his part. He was promoted under the Automatic Promotion Bill Public Law 490, as amended 6-30-45 from $5100 to $5300 in CAF 12, eff. 7-2-45. Efficiency rating Excellent

DATE OF OATH

DATE OF BIRTH

MAR 25 1946

PRINTED BY THE STANDARD REGISTER CO., DAYTON 1, OHIO, U. S. A.

FEDERAL BUREAU OF INVESTIGATION

Mr.
Miss
Mrs. _____ Date _____

New appointment [ ] Mr. Richard D. Auerbach Transfer [ ] Promotion [ ] January 16, 1946 Separation [ ]

XXX

PRESENT STATUS

1. Title: 2. Grade:

3. Salary: Special Agent $5390 per annum 4. Seat of Government: [ ] Field: CAF 12 [ ]

5. Division: 6. Appropriation: "Salaries and Expenses, FBI"

PROPOSED ACTION

7. Title: 8. Grade:

9. Salary: Special Agent $5600 per annum 10. Seat of Government: [ ] Field:* CAF 12 [ ]

11. Division: 12. Appropriation: "Salaries and Expenses, FBI"

13. Effective:

14. Position: Additional: [ ] Vice: Identical:

15. Remarks: Effective the first pay day following approval by the Civil Service Commission

Promotion for superior accomplishment under Federal Employees Pay Act of July 1, 1946. This award for superior accomplishment is being recommended in view of special services performed by Mr. Auerbach in connection with a number of highly confidential assignments furthering the war effort. These services required unusual ability on his part. He was promoted under the Automatic Promotion Bill, Public Law 4200 as amended June 30, 1945 from $5180 per annum to $5390 per annum in Grade CAF 12, effective July 2, 1945. His last efficiency rating was Excellent.

00: 000; Selective Service

Respectfully submitted,

FEB 8 1946

(Title)

Director, Federal Bureau of Investigation

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Coffey
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Egan
Mr. Gurnea
Mr. Hendon
Mr. Pennington
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

JW:jer

9-FBI RECORDED

January 16, 1946

RECOMMENDATION OF AWARD FOR SUPERIOR ACCOMPLISHMENT

RE: Mr. Richard D. Auerbach
Special Agent
CAF 12, $5390 per annum

It is recommended that this employee be afforded the additional advancement recommended in the attached Personnel Recommendation in view of the outstanding manner in which he performed highly confidential work furthering the war effort, part of his services being performed outside the continental United States. Mr. Auerbach has exhibited aggressiveness, forcefulness and enthusiasm for his assignments and the results obtained were in a large measure due to his efforts. He has distinguished himself as excellent even among other employees of the same calibre.

W. R. Glavin
Chairman, Review Committee on
Awards for Superior Accomplishments

Enclosure

JW:jer

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Egan
Mr. Gurnea
Mr. Harbo
Mr. Gordon
Mr. Pennington
Mr. Quinn Tamm
Mr. Lease
Miss Gandy

SAC, New York Attention: Lawrence J. Quinn December 3, 1945

John Edgar Hoover - Director, Federal Bureau of Investigation

Richard D. Auerbach
Special Agent
Medical Expenses in Connection with SIS Assignment

In May, 1945, Mr. Auerbach was found to be suffering from amoebic disentery apparently contracted during an assignment at Bogota, Colombia, from March to October 1942. Mr. Auerbach was treated for this illness by the United States Public Health Service in Washington, D. C. and as a result incurred no reimbursable expenses. The United States Employees' Compensation Commission by letter dated October 18, 1945, requested that Mr. Auerbach file forms CA-1 and CA-2 giving the details of this illness. Accordingly these forms are attached for filing with the Commission.

Enclosure

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Coffey
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Egan
Mr. Gurnea
Mr. Hendon
Mr. Pennington
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

GVH/grv

58 DEC 19 1945

DEC 4 10 23 AM '45
RECEIVED
U.S. DEPT. OF JUSTICE

OFFICIAL CORRESPONDENCE SHOULD BE ADDRESSED TO THE COMMISSION

UNITED STATES EMPLOYEES' COMPENSATION COMMISSION
285 MADISON AVENUE
NEW YORK 17, N. Y.

In reply refer to File No LF 114209

October 18, 1945

The Director
Federal Bureau of Investigation
Department of Justice
Washington, D. C.

Dear Sir:

The U. S. Public Health Service Dispensary has furnished the Commission with a medical report of examination made on May 16, 1945, in the case of Richard D. Auerbach, special agent, who gave a history of a physical condition, presumably the result of employment in Columbia, South America from March 1942, to October 1942.

In view of the condition found on examination and the delayed diagnosis, it is suggested that this employee be given the opportunity to file Form CA-1, Employee's Notice of Injury and Original Claim for Compensation, which should be transmitted to this Commission, together with official superior's report on Form CA-2 in accordance with the provisions of Section 1.3 of the Commission's Regulations. These forms should be accompanied by a statement from Mr. Auerbach, outlining the conditions of employment which he believes were responsible for the disability and a history of the progress of the condition since its inception.

Any additional information available to you which may be pertinent to the case should accompany the completed forms.

Very truly yours,

S. D. Logsdon
S. D. Logsdon
Chief of Section

IKB
EM:bk

ALPHABETICAL

Rel to SAC N.Y.
GWH-12-3-45

attached

S/S

161

ALPHABETICAL

EMPLOYEE'S NOTICE OF INJURY AND ORIGINAL CLAIM FOR COMPENSATION AND MEDICAL TREATMENT

(To be submitted to the official superior by every employee injured in the performance of his duty, or some one on his behalf, within forty-eight hours after the injury. This notice shall be given by delivering it personally to the official superior or by depositing it in the mail properly stamped and addressed to the official superior. It should be retained by the official superior unless the injury causes disability for work beyond the day or shift in which the injury occurred, or results in any charge against the Commission for medical expense, when it should be forwarded to the United States Employees' Compensation Commission with report of injury, Form C. A. 2.)

Washington, D.C.
(City or town) (State)

9th & Pennsylvania Ave, Washington, D. C.
(Street and number)

November 29, 45
(Date of this notice), 19__

I HEREBY CERTIFY that I was injured in the performance of my duties on during the period March - October, 1942 (Date), 19__

(Day of week), (Hour, a. m. or p. m.) m.

1. The injury occurred at xxxxxxxxx was the incurring of amoebic disentery during assignment at Bogota, Colombia (Give full address or other specific location) during the above set out period, exact time of which is unknown.

in the following manner:

xxxx

2. Cause of injury

xxxx

3. Nature of injury

4. Names of witnesses to injury:

None

5. If this notice was not given within 48 hours after the injury, explain failure to give notice and state the name of the person to whom first notice was given and date:

Failure to give previous notice is due to the fact that this condition was not previously ascertained by physical examination at the Naval Hospital, Bethesda, Maryland.

This injury was not caused by my willful misconduct, intention to bring about the injury or death of myself or of another, nor by my intoxication, and I hereby make claim for compensation and medical treatment to which I may be entitled by reason of the injury sustained by me.

(Name) Richard D. Auerbach
RICHARD D. AUERBACH

Before compensation is paid, written claim on Form C. A. 4 must be submitted to the Commission

U. S. GOVERNMENT PRINTING OFFICE 16—20285

OFFICIAL SUPERIOR'S REPORT OF INJURY

[To be submitted to UNITED STATES EMPLOYEES' COMPENSATION COMMISSION, Washington, D. C., as soon as practicable after any injury to a civil employee of the United States sustained while in the performance of duty which causes any disability for work beyond the day or shift on which the injury occurred or results in any charge against the Commission for medical expense. This form should be accompanied by C. A. 1.]

Place of employment

1. Department JUSTICE (War, Navy, etc.) 2. Bureau or office FEDERAL BUREAU OF INVESTIGATION (Engineer, Navigation, etc.)
3. Place of employment Headquarters (Arsenal, navy yard, etc.), Washington, D. C. (City), (State)
4. Reporting office 9th & Pennsylvania Ave, Washington, D. C. (Location of reporting office or division headquarters)
5. Name of superintendent or foreman in charge when injury occurred C. H. Carson

The injured employee

6. Name of injured employee RICHARD D. AUERBACH (Give first name in full) 7. Age 34 8. Sex M 9. Race W
10. Home address 319 Anacostia Road, S.E., (Street and number) Washington, (City or town) D.C. (State)
11. Occupation and division Special Agent (Give both, as laborer, hull division; helper, machine shop, etc.) 12. Was employee doing his regular work? Yes If not, what work?
13. Total length of service with the Government as a civilian? E.O.D. 8/5/40
14. How long at present work in this establishment? since 3/5/42
15. Dates of other injuries None
16. Rate of pay on date of injury, $3800 per yr. { and subsistence valued at $7.00 per day; and quarters valued at $ per
17. Employee begins work at 9 A.M. (Hour, a. m. or p. m.) m. 18. Regular day's work ends 5:30 P. (Hour, a. m. or p. m.) m.
19. Hours worked per day 8 20. Days paid per week 6

The injury

21. Place where injury occurred Bogota, Colombia (Give exact location, as name or number of building and division, etc.)
22. Date of injury period 3/42 to 10/42, 19 -; day of week Exact details unknown; hour of day m. (a. m. or p. m.)
23. Date employee stopped work did not, 19; day of week; hour of day m. (a. m. or p. m.)
24. Date employee's pay stopped did not, 19; day of week; hour of day m. (a. m. or p. m.)
25. Has employee returned to work? did not leave work (Give date and hour)
26. Will employee receive pay for any portion of above absence on account of:
    (a) Annual leave no (Give exact dates)
    (b) Sick leave no (Give exact dates)
    (c) Any other reason no (Give exact dates)
27. Describe in full how injury occurred Amoebic dysentery is caused by amoeba in food or water eaten or drunk and as a consequence the exact date or additional details when the disease was contracted cannot be ascertained.
28. State part of body injured and nature and extent of injury The disease causes damage to the stomach and results in dysentery.
29. Did injury cause loss of any member or part of member? No If so, describe exactly
30. Was employee injured while in performance of duty? Yes If not, or in doubt, give detailed statement
31. Was injury caused by:
    (a) Willful misconduct of the employee? No (b) Intention of employee to bring about injury or death of himself or another? No (c) Employee's intoxication? No
    (If any answers to these questions are made in the affirmative, the reporting officer should attach an additional statement giving the reason for his conclusion)
32. Was written notice of injury given within 48 hours? No If not, did immediate superior have actual knowledge of injury? not at the time since physical exam did not reflect it.
    (Answer to question 5, Form C. A. 1, must be complete if notice was not given within 48 hours)
33. Names and addresses of witnesses to injury None. However existence of the disease is reflected in report on employee by Naval Hospital, Bethesda, Maryland.
    (If disability will continue for more than one day, have statements of witnesses made on reverse side of this form)
34. Was injury caused by a third party other than a Government employee or agency? XXXXX If so, has employee been instructed in procedure under Commission's regulations? XXXXXXX
    (A detailed statement should be forwarded with this report)

Medical attendance

35. Name and address of physician who first attended case Dr. Finger, Public Health, Washington, DC
36. How soon after injury? 3 years later
37. To what hospital sent? not hospitalized Location
38. Name and address of physician now attending case none

Signed this ..... day of ....., 19..... (Signature of reporting officer)

at ..... (Title)

C. A. 2
Revised to June 15, 1929 16—6027 (OVER)

Alphabetical

STATEMENT OF WITNESSES

[The statement of witness should tell just what the witness saw personally, or, if he did not see the injury occur, just what he knows about it and when and by whom the information was given him.]

Signed this ..... day of ....., 19.....

(Signature of witness)

Signed this ..... day of ....., 19.....

(Signature of witness)

STATEMENT OF GOVERNMENT MEDICAL OFFICER OR PHYSICIAN WHO FIRST EXAMINED CASE

I CERTIFY that ..... (Name of employee) was given first-aid treatment, or examined, on ....., 19....., at ..... m., and ..... (Was or was not) disabled for work. Probable length of disability will be ..... In my opinion disability ..... (Was or was not) due to injury on ....., 19.....

Nature of injury as found on examination .....

Hospitalized ..... Will return for further treatment .....

Discharged ..... Other disposition .....

Remarks .....

Signed this ..... day of ....., 19.....

at .....

(Signature of medical officer)

(Title)

U. S. GOVERNMENT PRINTING OFFICE 16—6027

FEDERAL BUREAU OF INVESTIGATION

Prepared by: [illegible]
Checked by: [illegible]
Filed on:

Mr.
Miss
Mrs. See list attached Date July 2, 1945

New appointment ☐ Transfer ☐ Promotion ☒ Separation ☐

PRESENT STATUS

1. Title:
2. Grade:
3. Salary:
4. Seat of Government: ☐
   Field: ☐
5. Division:
6. Appropriation:

PROPOSED ACTION

7. Title:
8. Grade:
9. Salary:
10. Seat of Government: ☐
    Field:* ☐
11. Division:
12. Appropriation: "Salaries and Expenses, FBI" (National Defense)
13. Effective: July 2, 1945
14. Position: Additional: ☐ Vice: Identical:
15. Remarks:

See attached list of Special Agents to be promoted under the Automatic Promotion Bill, Public Law #200 and #106. No fanfold necessary.

Respectfully submitted,

cc - CCO - Selective Service

Director, Federal Bureau of Investigation

044-207

Mr. Nelson
Mr. E. A. Tamm
Mr. Clegg
Mr. Coffey
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Egan
Mr. Gurnea
Mr. Hendon
Mr. Pennington
Miss Gandy

NAME	TITLE	GRADE AND PAY
Nicholas J. Alaga	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 11, $4000, to CAF 12, $4600, effective September 16, 1943. Mr. Alaga has been on leave without pay (***) since the close of business June 30, 1945.)		
Richard T. Auerbach	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 11, $3800, to CAF 12, $4600, effective May 1, 1943. Mr. Auerbach has been on leave without pay (***) since 9:00 A. M. December 14, 1942.)		
William J. Bradley	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 9, $3200, to CAF 12, $4600, effective October 1, 1943. Mr. Bradley has been on leave without pay (***) since 9:00 A. M. June 1, 1941.)		
Edgar L. Carter	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 11, $3800, to CAF 12, $4600, effective May 1, 1943. Mr. Carter has been on leave without pay (***) since 9:00 A. M. February 1, 1945.)		
J. Arnold Carter	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 11, $3800, to CAF 12, $4600, effective May 1, 1943. Mr. Carter has been on leave without pay (***) since October 16, 1942.)		
Donald B. Clegg	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 9, $3200, to CAF 12, $4600, effective October 16, 1943.) (Mr. Clegg has been on leave without pay (***) since August 1, 1941.)		
John I. Condon	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 11, $3800, to CAF 12, $5750, effective April 16, 1943. Mr. Condon has been on leave without pay (***) since May 16, 1945.)		
Buford W. Herbert	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 10, $3500, to CAF 12, $4600, effective April 1, 1943. Mr. Herbert has been on leave without pay (***) since April 1, 1942.)		
George F. Munro	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 10, $3500, to CAF 12, $4600, effective May 1, 1943. Mr. Munro has been on leave without pay (***) since June 1, 1942.)		
[illegible]	Special Agent	CAF 12 $5180 CAF 12 $5390
(Reallocated from CAF 11, $3800, to CAF 12, $4600, effective April 16, 1943. Mr. [illegible] has been on leave without pay (***) since 9:00 A. M. April 25, 1944.)		

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Coffey
Mr. Glavin
Mr. Lad[illegible]
Mr. Nichols
Mr. Nosser
Mr. Tra[illegible]
Mr. Carson
Mr. [illegible]
Mr. [illegible]
Mr. [illegible]
Mr. [illegible]
Mr. [illegible]
Miss Gan[illegible]

May 28, 1945

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Auerbach:

The Bureau is in receipt of the report of the physical examination afforded you at the United States Naval Hospital, Bethesda, Maryland, on May 7, 1945.

This report reflects that you have scoliosis, and amoebiasis.

The Board of Examining Physicians of the United States Naval Hospital recommends that you secure medical treatment for amoebiasis and that you return to the hospital for a re-examination at the completion of this treatment.

It reports that you are capable of performing strenuous physical exertion and have no physical defects that would interfere with your participation in raids or other work involving the practical use of firearms.

Sincerely yours,

John Edgar Hoover
Director

CC: Mr. Clegg
CC: Mr. Carson

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Coffey
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Egan
Mr. Hendon
Mr. Pennington
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

COMMUNICATIONS SECTION
MAILED
MAY 29 1945 P.M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

34
8 MAY 30 1945
16

RICHARD D. AUERBACH LOS ANGELES, CALIFORNIA MARCH 31, 1947

This is the annual efficiency report on Mr. AUERBACH, who entered on duty with the Bureau August 5, 1940.

This agent presents a mature appearance, is of average height and build and is of an aggressive but likable personality. AUERBACH consistently exhibits an abounding enthusiasm for his work and is considered to be an excellent contact man with both police officers and the general public.

It is my considered opinion that this agent is an outstanding employee by reason of his inherent intelligence, educational background, thorough knowledge of Bureau policies and investigative procedures and is capable of handling all types of Bureau assignments. He has handled more than an average volume of work and is presently working exclusively in the Burbank, Glendale and San Fernando Area, having assigned to him cases of a general criminal nature, applicants, selective service and security investigations. His apprehension record is good and by reason of his physical fitness and ability with Bureau firearms he is fully qualified for dangerous assignments. I am impressed by the tenacious fashion in which AUERBACH pursues his investigations and the thorough and complete manner in which his reports are prepared. He operates an automobile very well, dictates satisfactorily and such minor criticisms in this latter regard as have been advanced by the stenographic personnel have been furnished Agent AUERBACH. He has testified both in the Federal Court and State Court and before the Federal Grand Jury in this district and I have been advised that he is both an impressive and creditable Government witness. AUERBACH is an approved Bureau speaker and his talks have brought favorable comments from business and professional groups before whom he has appeared. He operates a typewriter satisfactorily but has no knowledge of shorthand.

This Agent is an inspector's aide and has recently assisted in the inspection of the San Francisco Field Division. He was likewise given a special assignment in the San Francisco Field to interview [redacted] the results of which furnished information of considerable value to the Bureau. By reason of his SIS assignment AUERBACH also has a good knowledge of the Spanish language, which attribute he has been able to apply particularly in the interview of Selective Service delinquents of Mexican origin. b7D

67-161010-15

This man has demonstrated that he has supervisory and administrative ability and I am of the opinion that he could successfully administer and coordinate the operations of other agents. During the pertinent period, however, AUERBACH has not operated in a supervisory capacity in this office. In view of the outstanding performance of this agent, he is, in my opinion, excellent in CAF 12.

APR 2 1947
FEDERAL BUREAU OF INVESTIGATION

RCG:JCJ

R. B. HOOD
Special Agent in Charge

Standard Form No. 51, Rev.
Approved Dec. 1943
C. S. C. Dept. Cir. No. 458

Form approved
Budget Bureau No. 50-R012.2.
Approval expires Mar. 31, 1947.

ANNUAL REPORT OF EFFICIENCY RATING

ADMINISTRATIVE-UNOFFICIAL ( )
OFFICIAL:
REGULAR (X) SPECIAL ( )
PROBATIONAL or TRIAL PERIOD ( )

As of March 31, 1947 based on performance during period from April 1, 1946 to March 31, 1947

RICHARD D. AUERBACH — (Name of employee)

SPECIAL AGENT, CAF 12 — (Title of position, service, and grade)

FEDERAL BUREAU OF INVESTIGATION, LOS ANGELES — (Organization—Indicate bureau, division, section, unit, field station)

ON LINES BELOW MARK EMPLOYEE		CHECK ONE:
√ if adequate − if weak + if outstanding	1. Study the instructions in the Rating Official's Guide, C. S. C. Form No. 3823A. 2. Underline the elements which are especially important in the position. 3. Rate only on elements pertinent to the position. *a.* Do not rate on elements in *italics* except for employees in administrative, supervisory, or planning positions. *b.* Rate administrative, supervisory, and planning functions on elements in *italics*.	Administrative, supervisory, or planning ☐ All others ☒

(1) Maintenance of equipment, tools, instruments.
(2) Mechanical skill.
+ (3) Skill in the application of techniques and procedures.
(4) Presentability of work (appropriateness of arrangement and appearance of work).
+ (5) Attention to broad phases of assignments.
+ (6) Attention to pertinent detail.
(7) Accuracy of operations.
+ (8) Accuracy of final results.
+ (9) Accuracy of judgments or decisions.
+ (10) Effectiveness in presenting ideas or facts.
+ (11) Industry.
+ (12) Rate of progress on or completion of assignments.
+ (13) Amount of acceptable work produced. (Is mark based on production records? No (Yes or no))
+ (14) Ability to organize his work.
+ (15) Effectiveness in meeting and dealing with others.
+ (16) Cooperativeness.
+ (17) Initiative.
+ (18) Resourcefulness.
+ (19) Dependability.
+ (20) Physical fitness for the work.

(21) Effectiveness in planning broad programs.
(22) Effectiveness in adapting the work program to broader or related programs.
(23) Effectiveness in devising procedures.
(24) Effectiveness in laying out work and establishing standards of performance for subordinates.
(25) Effectiveness in directing, reviewing, and checking the work of subordinates.
(26) Effectiveness in instructing, training, and developing subordinates in the work.
(27) Effectiveness in promoting high working morale.
(28) Effectiveness in determining space, personnel, and equipment needs.
(29) Effectiveness in setting and obtaining adherence to time limits and deadlines.
(30) Ability to make decisions.
(31) Effectiveness in delegating clearly defined authority to act.

STATE ANY OTHER ELEMENTS CONSIDERED

√ (A) Ability to direct and lead a group ~~of agents on raids and dangerous~~ assignments.
+ (B) Capability for additional responsibility.
(C)

STANDARD Deviations must be explained on reverse side of this form	Adjective rating
Plus marks on all underlined elements, and no minus marks	Excellent
Plus marks on at least half of the underlined elements, and no minus marks	Very good
Check marks or better on a majority of underlined elements, and any minus marks overcompensated by plus marks	Good
Check marks or better on a majority of underlined elements, and minus marks not overcompensated by plus marks	Fair
Minus marks on at least half of the underlined elements	Unsatisfactory

Adjective rating
Rating official Excellent
Reviewing official

Rated by [signature] (Signature of rating official) Special Agent in Charge (Title) March 31, 1947 (Date)

Reviewed by [signature] (Signature of reviewing official) Assistant Director Federal Bureau of Investigation (Title) APR 14 (Date)

Rating approved by efficiency rating committee (Date) Report to employee (Adjective rating)

U. S. GOVERNMENT PRINTING OFFICE 16—26177-3

CC-270

RECORD OF PHYSICAL EXAMINATION OF OFFICERS AND SPECIAL AGENTS
FEDERAL BUREAU OF INVESTIGATION, U. S. DEPARTMENT OF JUSTICE

NAME AUERBACH, Richard Dexter AGE 35 YEARS, 7 MONTHS
NATIVITY(state of birth) Mass. MARRIED, SINGLE, WIDOWED: Married NUMBER OF CHILDREN 1
FAMILY HISTORY Essentially negative.

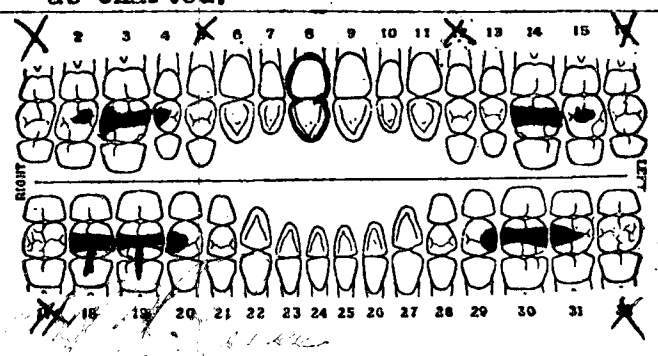
HISTORY OF ILLNESS OR INJURY Usual childhood diseases. Amebic dysentery 1942. Appendectomy 1929. Injury to left ankle.

HEAD AND FACE Normal
EYES: PUPILS (size, shape, reaction to light and distance, etc.) Normal-pupils equal & round react to L&A.
DISTANT VISION RT. 20/ 20, corrected to 20/
LT. 20/ 20, corrected to 20/
COLOR PERCEPTION Normal AO 1940
(state edition of Stilling's plates or Lamps used)
DISEASE OR ANATOMICAL DEFECTS None
EARS: HEARING RT. WHISPERED VOICE 15 /15' CONVERSATIONAL SPEECH 15 /15'
LT. WHISPERED VOICE 15 /15' CONVERSATIONAL SPEECH 15 /15'
DISEASE OR DEFECTS None
NOSE Normal
(Disease or anatomical defect, obstruction, etc. State degree)
SINUSES Normal

TONGUE, PALATE, PHARYNX, LARYNX, TONSILS Normal

TEETH AND GUMS(disease or anatomical defect): as charted.
MISSING TEETH 1, 5, 12, 16, 17, 32.
NONVITAL TEETH none
PERIAPICAL DISEASE none
MARKED MALOCCLUSION no
PYORRHEA ALVEOLARIS none
TEETH REPLACED BY BRIDGES none

DENTURES none
REMARKS Meets requirements.



H. H. REECE, LTJG, DC, USNR
(Signature of Dental Officer)

GENERAL BUILD AND APPEARANCE Medium
TEMPERATURE 98.4 CHEST AT EXPIRATION 34½"
HEIGHT 5'11" CHEST AT INSPIRATION 37"
WEIGHT 150 lbs. CIRCUMFERENCE OF ABDOMEN AT UMBILICUS 28"
RECENT GAIN OR LOSS, AMOUNT AND CAUSE None
SKIN, HAIR, AND GLANDS Normal
NECK (abnormalities, thyroid gland, trachea, larynx) Normal

SPINE AND EXTREMITIES (bones, joints, muscles, feet) Slight scoliosis of lumbar spine to the left.

THORAX (size, shape, movement, rib cage, mediastinum) Normal

RESPIRATORY SYSTEM, BRONCHI, LUNGS, PLEURA, ETC. Normal

Chest x-ray #2123: negative.

CARDIO-VASCULAR SYSTEM Normal

HEART (note all signs of cardiac involvement) Normal

PULSE: BEFORE EXERCISE 78

AFTER EXERCISE 80

THREE MINUTES AFTER 76

BLOOD PRESSURE: SYSTOLIC 110

DIASTOLIC 80

CONDITION OF ARTERIES normal

CONDITION OF VEINS normal

CHARACTER OF PULSE full & strong

HEMORRHOIDS none

ABDOMEN AND PELVIS (condition of wall, scars, herniae, abnormality of viscera) Right lower rectus operative scar - otherwise normal.

GENITO-URINARY SYSTEM Normal

URINALYSIS: SP. GR. 1.025 ALB. Neg. SUGAR Neg. MICROSCOPICAL Neg.

VENEREAL DISEASE no evidence.

NERVOUS SYSTEM Normal

(organic or functional disorders)

ROMBERG Negative INCOORDINATION (gait, speech) None

REFLEXES, SUPERFICIAL Normal DEEP (knee, ankle, elbow) Normal TREMORS None

SEROLOGICAL TESTS Blood Kahn: negative BLOOD TYPE O Rh positive.

ABNORMAL PSYCHE (neurasthenia, psychasthenia, depression, instability, worries) None apparent.

SMALLPOX VACCINATION: DATE OF LAST VACCINATION June 1946

TYPHOID PROPHYLAXIS: NUMBER OF COURSES Eight.

DATE OF LAST COURSE March 1945.

REMARKS ON ABNORMALITIES NOT OTHERWISE NOTED OR SUFFICIENTLY DESCRIBED ABOVE History of dysentery amoebic (1942). Proctoscopic examination reports: Hypertrophic rectal papillae asymptomatic. No treatment advised.

SUMMARY OF DEFECTS Slight scoliosis of lumbar spine, left. Not considered disqualifying.

CAPABLE OF PERFORMING DUTIES INVOLVING strenuous PHYSICAL EXERTION

IS THIS INDIVIDUAL PHYSICALLY FIT TO PARTICIPATE IN RAIDS AND APPREHENSION OF CRIMINALS WHICH MIGHT ENTAIL THE PRACTICAL USE OF FIREARMS yes (yes or no)

(when no is given state cause)

FINDINGS, RECOMMENDATIONS AND REMARKS (as per boards, when necessary) Physically qualified to perform all his duties as a Special Agent of the Federal Bureau of Investigation.

L. E. BACH, CAPT, MC, USN

J. E. EPPLEY, CDR, MC, USN

D. B. HULL, LT, MC, USN

DATE OF EXAMINATION 5 March 1947.

April 28, 1947

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Richard Dexter Auerbach
Federal Bureau of Investigation
Los Angeles, California

Dear Mr. Auerbach:

The Bureau is in receipt of the report of the physical examination afforded you at the United States Naval Hospital, Long Beach, California, on March 5, 1947.

This report reflects that you have a slight scoliosis of the lumbar spine.

The Board of Examining Physicians of the United States Naval Hospital reports that you are capable of performing strenuous physical exertion and have no physical defects that would interfere with your participation in raids or other work involving the practical use of firearms.

Sincerely yours,

John Edgar Hoover
Director

MAY 1, 1947

CC: SAC-Los Angeles

HLE:

COMMUNICATIONS SECTION
MAILED 7
APR 28 1947 P.M.
FEDERAL BUREAU OF INVESTIGATION
DEPARTMENT OF JUSTICE

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Egan
Mr. Gurnea
Mr. Harbo
Mr. Hendon
Mr. Pennington
Mr. Quinn Tamm
Mile. Room
Mr. Nease
Miss Gandy

RICHARD D. AUERBACH Los Angeles March 31, 1948

This is the annual efficiency report on Special Agent AUERBACH who entered on duty as a Special Agent with the Bureau on August 5, 1940.

Mr. AUERBACH is tall, of average build, and neatly dressed. He is mature, healthy, naturally aggressive, straightforward in approach, and intelligent. He has a good attitude and gets along well with fellow employees. He is a very resourceful and capable Agent.

During the past year Agent AUERBACH has been assigned as Resident Agent in the San Fernando Valley area which covers the cities of Glendale, Burbank, North Hollywood, Van Nuys, and others. He has made many friends and good contacts among people, public officials, and law enforcement officers. Agent AUERBACH is in demand as a Bureau speaker. Numerous requests for his services and commendatory letters as a result of his talks have been received. He has delivered twenty-five speeches during this period to various groups in the San Fernando area. He represents the Bureau very well. Special Agent AUERBACH also maintains excellent relations as Liaison Agent with Lockheed Aircraft Company and other minor firms.

Agent AUERBACH is continually handling a large criminal investigative case load. He handles National Motor Vehicle Theft Act, Deserter-Fugitive, Unlawful Flight To Avoid Prosecution, Impersonation, and numerous Atomic Energy Act Applicant-Employee, Departmental Applicant and other cases. His investigations are handled unhesitantly, intelligently, and require a minimum of supervision. He is aware of the objective in investigations and is capable of handling involved cases. His reports reflect considerable thought and organization. He is inclined to work too fast on occasions, however, and it is noted by stenographers' comments that he dictates very rapidly and permits himself to be disturbed too frequently. The stenographers of this office, however, rate his dictation as excellent.

8 APR 26 1948
RECORDED
62-101010-164
62 APR 12 1948

This Agent is very dynamic and energetic. He is constantly active and possesses considerable legitimate pride in his work and in the assistance and cooperation he can render to fellow Agents. He is always willing and has a cooperative interest in contributions to office problems and projects. He starts his work early in the morning and employs himself frequently until late at night. He is very alert to social and criminal trends in his territory with relation to the Crime Survey Program of the Bureau. He is constantly on the alert to advise his supervisors and myself of matters of importance. This Agent has contributed fifty sources of information during this period.

THRIFT

Special Agent AUERBACH is a very loyal Bureau employee and very desirous of progressing in the Bureau. This man has demonstrated that he possesses administrative and executive ability. He has not been utilized in any supervisory position while on assignment in the Los Angeles Office. Agent AUERBACH's firearm scores are satisfactory, and he has successfully testified in a satisfactory manner before the Federal Grand Jury and Federal Court in this district.

Special Agent AUERBACH is rated as EXCELLENT.

R. B. HOOD
Special Agent in Charge

LMI:MGM

Standard Form No. 51
August 1946
U. S. CIVIL SERVICE COMMISSION

Form approved.
Budget Bureau No. 50-R012.3.

ANNUAL

REPORT OF EFFICIENCY RATING

ADMINISTRATIVE-UNOFFICIAL ( )
OFFICIAL:
REGULAR (X) SPECIAL ( )
PROBATIONAL ( )

As of 3-31-48 based on performance during period from 4-1-47 to 3-31-48

RICHARD D. AUERBACH (Name of employee)

Special Agent, Caf-12 (Title of position, service, and grade)

Federal Bureau of Investigation, Los Angeles (Organization—Indicate bureau, division, section, unit, field station)

ON LINES BELOW MARK EMPLOYEE		CHECK ONE:
V if adequate — if weak + if outstanding	1. Study the instructions in the Rating Official's Guide, C. S. C. Form No. 3823A. 2. Underline the elements which are especially important in the position. 3. Rate only on elements pertinent to the position. *a.* Do not rate on elements in *italics* except for employees in administrative, supervisory, or planning positions. *b.* Rate administrative, supervisory, and planning functions on elements in *italics*.	Administrative, supervisory, or planning ☐ All others ☒

(1) Maintenance of equipment, tools, instruments.
(2) Mechanical skill.
+ (3) Skill in the application of techniques and procedures.
(4) Presentability of work (appropriateness of arrangement and appearance of work).
+ (5) Attention to broad phases of assignments.
+ (6) Attention to pertinent detail.
(7) Accuracy of operations.
+ (8) Accuracy of final results.
+ (9) Accuracy of judgments or decisions.
+ (10) Effectiveness in presenting ideas or facts.
+ (11) Industry.
+ (12) Rate of progress on or completion of assignments.
+ (13) Amount of acceptable work produced. (Is mark based on production records? No (Yes or no))
+ (14) Ability to organize his work.
+ (15) Effectiveness in meeting and dealing with others.
+ (16) Cooperativeness.
+ (17) Initiative.
+ (18) Resourcefulness.
+ (19) Dependability.
+ (20) Physical fitness for the work.

(21) *Effectiveness in planning broad programs.*
(22) *Effectiveness in adapting the work program to broader or related programs.*
(23) *Effectiveness in devising procedures.*
(24) *Effectiveness in laying out work and establishing standards of performance for subordinates.*
(25) *Effectiveness in directing, reviewing, and checking the work of subordinates.*
(26) *Effectiveness in instructing, training, and developing subordinates in the work.*
(27) *Effectiveness in promoting high working morale.*
(28) *Effectiveness in determining space, personnel, and equipment needs.*
(29) *Effectiveness in setting and obtaining adherence to time limits and deadlines.*
(30) *Ability to make decisions.*
(31) *Effectiveness in delegating clearly defined authority to act.*

STATE ANY OTHER ELEMENTS CONSIDERED

+ (A) Ability to direct and lead a group of Agents on raids and dangerous assignments.
+ (B) Capability for additional responsibilities.
(C)

STANDARD Deviations must be explained on reverse side of this form	Adjective Rating
Plus marks on all underlined elements, and check marks or better on all other elements rated	Excellent
Check marks or better on all elements rated, and plus marks on at least half of the underlined elements	Very Good
Check marks or better on a majority of underlined elements, and all weak performance overcompensated by outstanding performance	Good
Check marks or better on a majority of underlined elements, and all weak performance not overcompensated by outstanding performance	Fair
Minus marks on at least half of the underlined elements	Unsatisfactory

Adjective Rating

Rating official: EXCELLENT

Reviewing official:

Rated by [signature] (Signature of rating official) Special Agent in Charge (Title) 3-31-48 (Date)

Reviewed by [signature] (Signature of reviewing official) Assistant Director, Federal Bureau of Investigation (Title) 4-26-48 (Date)

Rating approved by efficiency rating committee (Date) Report to employee (Adjective rating)

U. S. GOVERNMENT PRINTING OFFICE 16—26177-5

Prepared by:
Checked by
Filed by:

U. S. DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

MR. RICHARD D. AUERBACH

Mr. Richard D. Auerbach

Date: May 15, 1948

Personnel Action Number: F.B.I.- 17248 17249

Legal Authority

Nature of Action: PROMOTION

Effective: May 15, 1948

	FROM	TO
Position	Special Agent	same
Grade Salary	CAF 12 $6623.40	CAF 13 $7102.20
Division and Section Headquarters		
Appropriations	S & E, F.B.I.	S & E, F.B.I.
Departmental or Field	☐ Dept. Field ☒	☐ Dept. Field ☐

NATURE OF POSITION

a. VICE	b. ADDITIONAL IDENTICAL	c. NEW
P. C. NO.	X X X X X X X X P. C. NO.	P. C. NO.
	Date of Birth	Date of Oath

REMARKS

62 MAY 20 1948

The provisions of the Veterans' Preference Act of 1944 have been complied with.

11. (FILE)

July 30, 1948

Mr. Richard D. Auerbach
Federal Bureau of Investigation
U. S. Department of Justice
Post Office Box 2218
Richmond 17, Virginia

Dear Mr. Auerbach:

I wish to acknowledge your communication of July 22 and the enclosure pertaining to the prevue of the picture "The Street With No Name."

I had previously been informed of the Richmond prevue and I can very well appreciate the grand job that was done by your associates and you in launching the picture. I did want to extend my heartiest commendations to you and to Assistant Special Agent in Charge Mason who has rendered very valuable service in connection with the production of the picture.

Sincerely yours,

J. Edgar Hoover

LBN:FML

SEP 16 1948

67-161416-175

U.S. DEPT. OF JUSTICE
FBI
JUL 30 3 51 PM '48

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Egan
Mr. Gurnea
Mr. Harbo
Mr. Lohr
Mr. Pennington
Mr. Quinn Tamm
Tele. Room
Mr. Nease
Miss Gandy

Prepared by:
Checked by:
Filed by:

U. S. DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

Date	September 17, 1948
Personnel Action Number	F. B. I.-23437 23437
Legal Authority	

Nature of Action: MR. RICHARD D. AUERBACH Mr. Richard D. Auerbach
PROMOTION

Effective: September 19, 1948

	FROM	TO
Position	Special Agent	same
Grade	CAF 13	CAF 14
Salary	$7432.20	$8509.50
Division and Section		
Headquarters		
Appropriations	S & E, F.B.I.	S & E, F.B.I.
Departmental or Field	Dept. / Field ✓	Dept. / Field

NATURE OF POSITION

a. VICE	b. ADDITIONAL IDENTICAL	c. NEW
Maurice W. Acers - resigned eb 9-27-47		
P. C. NO.	P. C. NO.	P. C. NO.

Date of Birth	Date of Oath

REMARKS

49 SEP 28 1948

The veterans provisions of the Veterans' Preference Act of 1944 have been complied with.

11 (FILE)

December 8, 1948

Mr. R. A. Auerbach
Federal Bureau of Investigation
U. S. Department of Justice
701 Southern States Building
Richmond 19, Virginia

Dear Mr. Auerbach:

I wanted to commend you on the very excellent manner in which you handled the exhibit in connection with the Richmond Rotary Club. From the photographs you have submitted it appears that the work of the Bureau was presented in a most satisfactory fashion.

Sincerely yours,

J. Edgar Hoover

101010-181

99

17

DEC 20 1948

CC - Mr. Gauthier

RECEIVED
FBI
U.S. DEPT. OF JUSTICE

DEC 8 7 30 PM '48

ECK:ecb

COMMUNICATIONS SECTION
MAILED 7
DEC 9 1948 P.M.
FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE

Mr. Tolson
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Egan
Mr. Gurnea
Mr. Harbo
Mr. Mohr
Mr. Pennington
Mr. Quinn Tamm
Tele. Room
Mr. Nease
Miss Gandy

Standard Form No. 51
August 1946
U. S. CIVIL SERVICE COMMISSION

Six Months and
ANNUAL

REPORT OF EFFICIENCY RATING

Form approved.
Budget Bureau No. 50-R012.3.

ADMINISTRATIVE-UNOFFICIAL ( )
OFFICIAL:
REGULAR ( ) SPECIAL ( )
PROBATIONAL ( )

As of March 31, 1949 based on performance during period from 4-1-48 to 3-31-49

041366

Auerbach, Richard D. (Name of employee)

Special Agent in Charge, CAF-14 (Title of position, service, and grade)

Federal Bureau of Investigation, U. S. Dept. of Justice, Richmond Office
(Organization—Indicate bureau, division, section, unit, field station)

ON LINES BELOW MARK EMPLOYEE		CHECK ONE:
√ if adequate — if weak + if outstanding	1. Study the instructions in the Rating Official's Guide, C. S. C. Form No. 3823A. 2. Underline the elements which are especially important in the position. 3. Rate only on elements pertinent to the position. *a.* Do not rate on elements in *italics* except for employees in administrative, supervisory, or planning positions. *b.* Rate administrative, supervisory, and planning functions on elements in *italics*.	Administrative, supervisory, or planning ☐ All others ☐

____ (1) Maintenance of equipment, tools, instruments.
____ (2) Mechanical skill.
____ (3) Skill in the application of techniques and procedures.
____ (4) Presentability of work (appropriateness of arrangement and appearance of work).
____ (5) Attention to broad phases of assignments.
____ (6) Attention to pertinent detail.
____ (7) Accuracy of operations.
____ (8) Accuracy of final results.
____ (9) Accuracy of judgments or decisions.
____ (10) Effectiveness in presenting ideas or facts.
____ (11) Industry.
____ (12) Rate of progress on or completion of assignments.
____ (13) Amount of acceptable work produced. (Is mark based on production records? _____) (Yes or no)
____ (14) Ability to organize his work.
____ (15) Effectiveness in meeting and dealing with others.
____ (16) Cooperativeness.
____ (17) Initiative.
____ (18) Resourcefulness.
____ (19) Dependability.
____ (20) Physical fitness for the work.
____ (21) *Effectiveness in planning broad programs.*
____ (22) *Effectiveness in adapting the work program to broader or related programs.*
____ (23) *Effectiveness in devising procedures.*
____ (24) *Effectiveness in laying out work and establishing standards of performance for subordinates.*
____ (25) *Effectiveness in directing, reviewing, and checking the work of subordinates.*
____ (26) *Effectiveness in instructing, training, and developing subordinates in the work.*
____ (27) *Effectiveness in promoting high working morale.*
____ (28) *Effectiveness in determining space, personnel, and equipment needs.*
____ (29) *Effectiveness in setting and obtaining adherence to time limits and deadlines.*
____ (30) *Ability to make decisions.*
____ (31) *Effectiveness in delegating clearly defined authority to act.*

STATE ANY OTHER ELEMENTS CONSIDERED
____ (A) _____
____ (B) _____
____ (C) _____

15 JUL 20 1949
ORDER
61-101610-184
FEDERAL BUREAU OF INVESTIGATION

STANDARD
Deviations must be explained on reverse side of this form

	Adjective Rating
Plus marks on all underlined elements, and check marks or better on all other elements rated	Excellent
Check marks or better on all elements rated, and plus marks on at least half of the underlined elements	Very Good
Check marks or better on a majority of underlined elements, and all weak performance overcompensated by outstanding performance	Good
Check marks or better on a majority of underlined elements, and all weak performance not overcompensated by outstanding performance	Fair
Minus marks on at least half of the underlined elements	Unsatisfactory

Adjective Rating

Rating official _____

Reviewing official _____

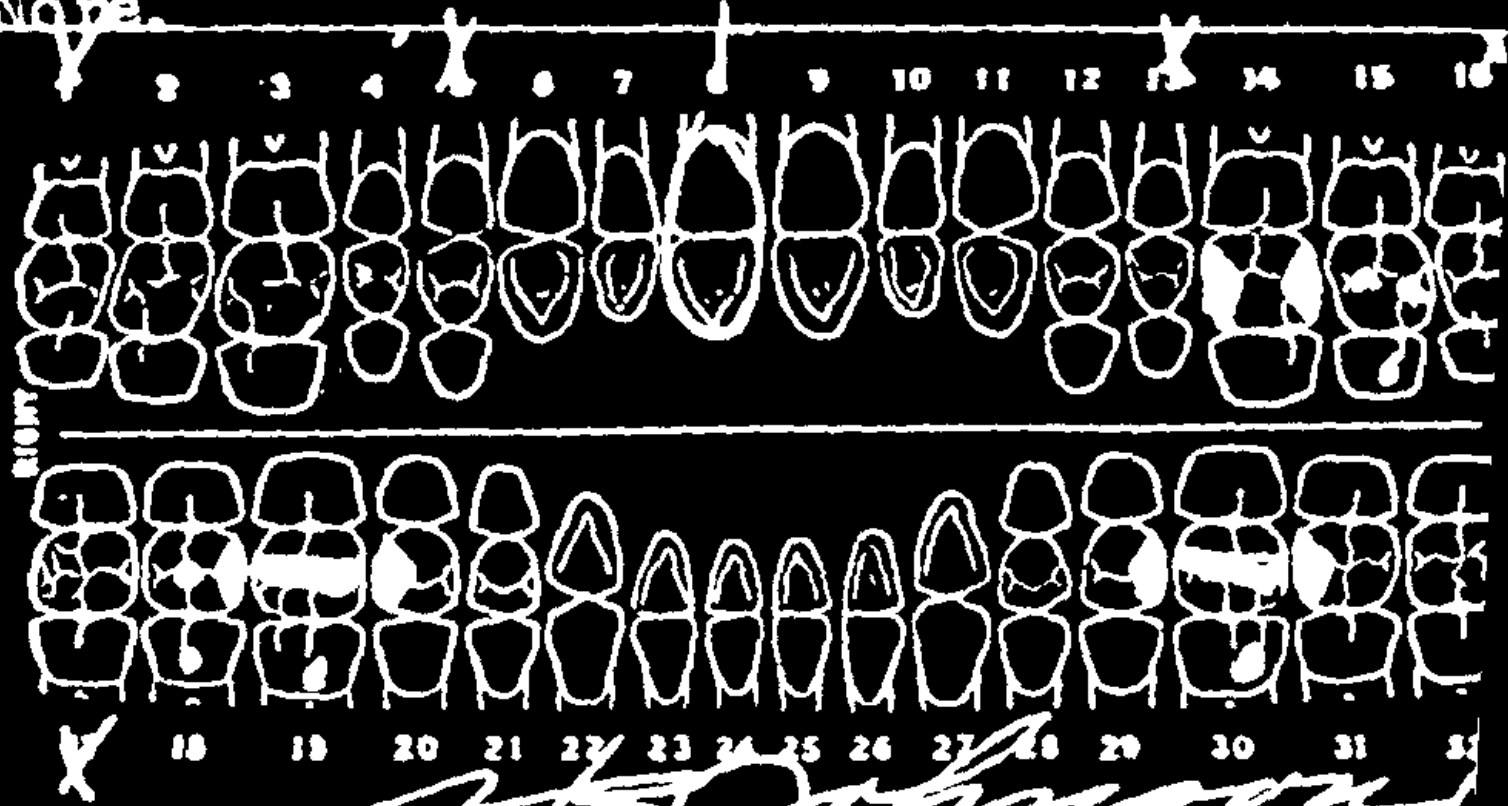
Rated by W. R. Glavin (Signature of rating official) Assistant Director (Title) 3-31-49 (Date)

Reviewed by W. R. Glavin (Signature of reviewing official) Assistant Director, Federal Bureau of Investigation (Title) 6-15-49 (Date)

Rating approved by efficiency rating committee _____ (Date) Report to employee _____ (Adjective rating)

U. S. GOVERNMENT PRINTING OFFICE 16—26177-5

ect): None.



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H. S. JOHNSON CDR DC USN

(Signature of Dental Officer)

Slender erect well developed.

CC-270

RECORD OF PHYSICAL EXAMINATION OF OFFICERS AND SPECIAL AGENTS
FEDERAL BUREAU OF INVESTIGATION, U. S. DEPARTMENT OF JUSTICE

NAME AUERBACH Richard D AGE 32 YEARS, 11 MONTHS
NATIVITY (state of birth) Mass. MARRIED, SINGLE, WIDOWED: Married NUMBER OF CHILDREN 2
FAMILY HISTORY Father died in 1916 following an appendectomy. Mother and one brother living and well.

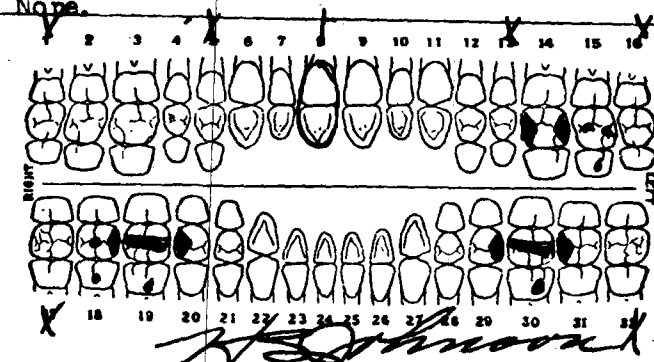
HISTORY OF ILLNESS OR INJURY Usual childhood diseases; T&A 1919. Appendectomy 1929.

HEAD AND FACE Normal.
EYES: PUPILS (size, shape, reaction to light and distance, etc.) Equal, react to L&A.
DISTANT VISION RT. 20/ 20, corrected to 20/
LT. 20/ 20, corrected to 20/
COLOR PERCEPTION Normal.
(state edition of Stilling's plates or Lamps used)
DISEASE OR ANATOMICAL DEFECTS Pigmented mole on conjunctiva right eye, NCD.
EARS: HEARING RT. WHISPERED VOICE 15 /15' CONVERSATIONAL SPEECH 15 /15'
LT. WHISPERED VOICE 15 /15' CONVERSATIONAL SPEECH 15 /15'
DISEASE OR DEFECTS None.
NOSE Normal.
(Disease or anatomical defect, obstruction, etc. State degree)
SINUSES Normal.

TONGUE, PALATE, PHARYNX, LARYNX, TONSILS Normal.

TEETH AND GUMS (disease or anatomical defect): None.
MISSING TEETH 1,5,13,16,1[illegible],32.
NONVITAL TEETH None.
PERIAPICAL DISEASE None.
MARKED MALOCCLUSION None.
PYORRHEA ALVEOLARIS None.
TEETH REPLACED BY BRIDGES None.

DENTURES None.
REMARKS None.



H. S. JOHNSON CDR DC USN
(Signature of Dental Officer)

GENERAL BUILD AND APPEARANCE Slender, erect, well developed.
TEMPERATURE 98.0 CHEST AT EXPIRATION 35½"
HEIGHT 71¼" CHEST AT INSPIRATION 38"
WEIGHT 140 CIRCUMFERENCE OF ABDOMEN AT UMBILICUS 26½"
RECENT GAIN OR LOSS, AMOUNT AND CAUSE None.
SKIN, HAIR, AND GLANDS Normal.
NECK (abnormalities, thyroid gland, trachea, larynx) Normal.

SPINE AND EXTREMITIES (bones, joints, muscles, feet) Normal.

THORAX (size, shape, movement, rib cage, mediastinum) Symmetrical expansion good and equal.
RESPIRATORY SYSTEM, BRONCHI, LUNGS, PLEURA, ETC Normal. 35mm film 7-13-49 Negative.

CARDIO-VASCULAR SYSTEM Normal.
HEART (note all signs of cardiac involvement) Rhythm regular, no enlargement or murmurs
ECG- No evidence of myocardial damage.
PULSE: BEFORE EXERCISE 96 BLOOD PRESSURE: SYSTOLIC 118
AFTER EXERCISE 120 DIASTOLIC 76
THREE MINUTES AFTER 92
CONDITION OF ARTERIES Normal. CHARACTER OF PULSE Full, regular.
CONDITION OF VEINS Normal. HEMORRHOIDS None.

ABDOMEN AND PELVIS (condition of wall, scars, herniae, abnormality of viscera)
Right lower rectus scar (operation). Otherwise negative.

GENITO-URINARY SYSTEM Normal.
URINALYSIS: SP. GR. 1.020 ALB. neg. SUGAR neg. MICROSCOPICAL
VENEREAL DISEASE None.

NERVOUS SYSTEM Normal.
(organic or functional disorders)
ROMBERG Negative. INCOORDINATION (gait, speech) None.
REFLEXES, SUPERFICIAL Normal. DEEP(knee, ankle, elbow) Normal. TREMORS None.
SEROLOGICAL TESTS KAHN Negative 7-13-49 BLOOD TYPE "O"
ABNORMAL PSYCHE (neurasthenia, psychasthenia, depression, instability, worries)
None noted.

SMALLPOX VACCINATION: DATE OF LAST VACCINATION 1944
TYPHOID PROPHYLAXIS: NUMBER OF COURSES two
DATE OF LAST COURSE 1944
REMARKS ON ABNORMALITIES NOT OTHERWISE NOTED OR SUFFICIENTLY DESCRIBED ABOVE

SUMMARY OF DEFECTS Sinus tachycardia, NCD.

CAPABLE OF PERFORMING DUTIES INVOLVING strenuous PHYSICAL EXERTION

IS THIS INDIVIDUAL PHYSICALLY FIT TO PARTICIPATE IN RAIDS AND APPREHENSION OF CRIMINALS WHICH MIGHT ENTAIL THE PRACTICAL USE OF FIREARMS yes (yes or no)
(when no is given state cause)

FINDINGS, RECOMMENDATIONS AND REMARKS (as per boards, when necessary)
Physically qualified.

U.S. DEPT. OF JUSTICE
FBI
AUG 8 12 28 PM '49
RECD
PERSONNEL

Omar J. Brown
Omar J. BROWN CAPT MC USN

DATE OF EXAMINATION 7-13-49

(NAVMC—QUANTICO) 13476(4) MCS Quantico, Va. 10-22-48—1M

ELECTROCARDIOGRAPH STUDY

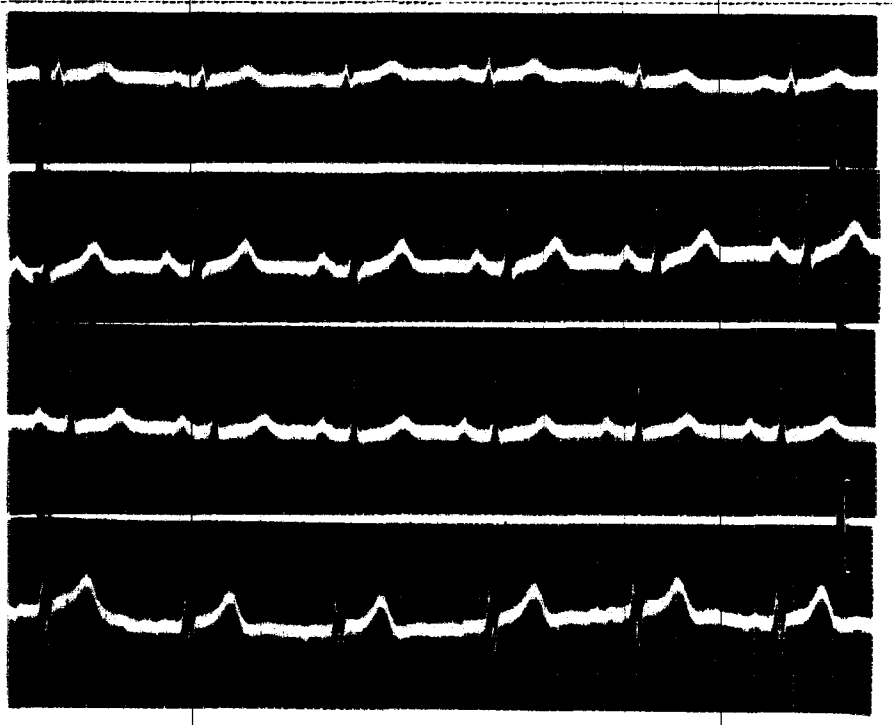
U. S. NAVAL HOSPITAL, QUANTICO, VA.

NAME AUERBACH R. D. RATE FBI AGE 38 WARD O. P.

DATE 7-13-49 PREVIOUS DIGITALIS (Yes or No) No BLOOD PRESSURE -

DIAGNOSIS Physical Examination PREVIOUS TEST No

REFERRED BY Omar J. BROWN CAPT (MC), U.S.N. CARDIAC NO. 288-49



RATE: 82.

RHYTHM Normal sinus rhythm.

P WAVES: Normal.

P-R INTERVAL: 0.16 seconds.

QRS OCCUPIES: 0.08 seconds.

QRS COMPLEXES: Low amplitude in leads I and CFIV with splintering to the S4.

S-T SEGMENTS: Normal.

T WAVES: Upright in all leads.

CONCLUSION: No evidence of myocardial damage.

Omar J. BROWN,
Captain, (MC), U.S. Navy.

Standard Form No. 51
August 1946
U. S. CIVIL SERVICE COMMISSION

Form approved.
Budget Bureau No. 50-R012.3.

ANNUAL REPORT OF EFFICIENCY RATING

ADMINISTRATIVE-UNOFFICIAL ( )
OFFICIAL:
REGULAR ( ) SPECIAL ( )
PROBATIONAL ( )

As of 3-31-50 based on performance during period from 4-1-49 to 3-31-50

Auerbach, Richard D. (Name of employee)

Special Agent in Charge, GS-14 (Title of position, service, and grade)

Federal Bureau of Investigation, U. S. Dept. of Justice, Richmond Office
(Organization—Indicate bureau, division, section, unit, field station)

ON LINES BELOW MARK EMPLOYEE		CHECK ONE:
√ if adequate – if weak + if outstanding	1. Study the instructions in the Rating Official's Guide, C. S. C. Form No. 3823A. 2. Underline the elements which are especially important in the position. 3. Rate only on elements pertinent to the position. *a.* Do not rate on elements in *italics* except for employees in administrative, supervisory, or planning positions. *b.* Rate administrative, supervisory, and planning functions on elements in *italics*.	Administrative, supervisory, or planning ☐ All others ☐

____ (1) Maintenance of equipment, tools, instruments.
____ (2) Mechanical skill.
____ (3) Skill in the application of techniques and procedures.
____ (4) Presentability of work (appropriateness of arrangement and appearance of work).
____ (5) Attention to broad phases of assignments.
____ (6) Attention to pertinent detail.
____ (7) Accuracy of operations.
____ (8) Accuracy of final results.
____ (9) Accuracy of judgments or decisions.
____ (10) Effectiveness in presenting ideas or facts.
____ (11) Industry.
____ (12) Rate of progress on or completion of assignments.
____ (13) Amount of acceptable work produced. (Is mark based on production records? _____ (Yes or no))
____ (14) Ability to organize his work.
____ (15) Effectiveness in meeting and dealing with others.
____ (16) Cooperativeness.
____ (17) Initiative.
____ (18) Resourcefulness.
____ (19) Dependability.
____ (20) Physical fitness for the work.
____ (21) *Effectiveness in planning broad programs.*
____ (22) *Effectiveness in adapting the work program to broader or related programs.*
____ (23) *Effectiveness in devising procedures.*
____ (24) *Effectiveness in laying out work and establishing standards of performance for subordinates.*
____ (25) *Effectiveness in directing, reviewing, and checking the work of subordinates.*
____ (26) *Effectiveness in instructing, training, and developing subordinates in the work.*
____ (27) *Effectiveness in promoting high working morale.*
____ (28) *Effectiveness in determining space, personnel, and equipment needs.*
____ (29) *Effectiveness in setting and obtaining adherence to time limits and deadlines.*
____ (30) *Ability to make decisions.*
____ (31) *Effectiveness in delegating clearly defined authority to act.*

STATE ANY OTHER ELEMENTS CONSIDERED

____ (A) _____
____ (B) _____
____ (C) _____

67 101010-192
Coordinated
Numbered 36
Filed 175
5 APR 5 1950
FEDERAL BUREAU OF INVESTIGATION

13 APR 17 1950

STANDARD
Deviations must be explained on reverse side of this form

	Adjective Rating
Plus marks on all underlined elements, and check marks or better on all other elements rated	Excellent
Check marks or better on all elements rated, and plus marks on at least half of the underlined elements	Very Good
Check marks or better on a majority of underlined elements, and all weak performance overcompensated by outstanding performance	Good
Check marks or better on a majority of underlined elements, and all weak performance not overcompensated by outstanding performance	Fair
Minus marks on at least half of the underlined elements	Unsatisfactory

Adjective Rating

Rating official EXCELLENT

Reviewing official _____

Rated by [signature] (Signature of rating official) Assistant Director (Title) 3-31-50 (Date)

Reviewed by [signature] (Signature of reviewing official) Federal Bureau of Investigation (Title) 3/30/50 (Date)

Rating approved by efficiency rating committee _____ (Date) Report to employee _____ (Adjective rating)

U. S. GOVERNMENT PRINTING OFFICE 16—26177-5

May 17, 1950

Mr. R. D. Auerbach
Federal Bureau of Investigation
501 Southern States Building
Richmond 19, Virginia

Dear Auerbach:

I have received your letter of May 8, 1950, containing the suggestion that a shrine of portraits of the service martyrs of the Bureau be prepared along the lines of that done by the Virginia State Police.

While it is not possible to put this plan into operation at the present time, we will certainly keep your suggestion in mind for some future memorial.

Sincerely yours,

J. Edgar Hoover

RECEIVED - DIRECTOR
F B I
U. S. DEPT. OF JUSTICE
MAY 17 12 46 PM '50

RECEIVED ROOM
F B I
U.S. DEPT. OF JUSTICE
MAY 17 12 15 PM '50

RECEIVED-TOLSON
F B I
U.S. DEPT. OF JUSTICE
MAY 17 12 32 AM '50

Tolson
Ladd
Clegg
Glavin
Nichols
Rosen
Tracy
Harbo
Mohr
Tele. Room
Nease
Gandy

NOTE: Salutation and closing per the Reading Room.

MAILED
MAY 17 1950
COMM - FBI

ECK:mab

June 19, 1950

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Richmond, Virginia

Dear Mr. Auerbach:

It is indeed a pleasure to advise you that you have been recommended for promotion from $9000 per annum to $9200 per annum in Grade GS 14 as an award for superior accomplishment. The effective date of this increase will follow approval by the Committee on Superior Accomplishment Awards concerning which you will be informed later.

This recommendation has been made in view of your outstanding supervision of the highly complicated and lengthy investigation conducted in connection with the robbery of the Schwarzschild Brothers' Jewelry Store at Richmond, Virginia, during February of 1949. It is considered your coordination of this investigation was excellent and that you did a particularly outstanding job in handling the matter with the local authorities and in developing this case for trial. It has therefore afforded me sincere pleasure and gratification to note the results achieved and I desire to express my appreciation and commendation.

101010-195

With kindest regards and best wishes,

Sincerely yours,

J. Edgar Hoover
Director

U.S. DEPT. OF JUSTICE
RECEIVED-MAIL ROOM
JUN 20 1950
F.B.I.

U.S. DEPT. OF JUSTICE
RECEIVED READING ROOM
JUN 20 1950
F.B.I.

Tolson
Ladd
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Glavin
Nichols
Rosen
Tracy
Harbo
Mohr
Tele. Room
Nease
Gandy

CC: Movement
Mr. J. E. Edwards

JV:sls

MAILED 3
JUN 21 1950
COMM - FBI

COPY [redacted]

MR. GLAVIN DATE: 6/16/50

H. L. EDWARDS

LETTERS OF COMMENDATION AND/OR
MERITORIOUS INCREASES IN SALARY,
SCHWARZSCHILD, ITSP, CASE

R. D. Auerbach

By letter to the Bureau SAC Auerbach has recommended that Special Agents John Edward Lawler and Leonard M. Walters be afforded meritorious increases in salary for the outstanding manner in which they supervised the investigation of this case. He further recommended that Special Agents David Harris and Arthur B. Lea of the Charlotte Office and Robert Zimmers of the Laboratory be commended by letter for their excellent participation in this case.

SAC Auerbach advised that at the inception of the case SA John E. Lawler immediately set up a normal major case administrative procedure and was in charge of the investigation; submitted reports, handled the case through the submission of the initial report on 2/21/49. At that time SA Leonard M. Walters took over the full supervision of the case, submitted reports, handled all of the teletype and letter communications and consistently and constantly stayed "on top" of its administration as well as handled a substantial portion of the investigatory work with Agent Lawler on the major leads of the case. They expended some 500 hours of overtime without compensation in any form. Commonwealth's Attorney, T. Gray Haddon informed SAC Auerbach that despite his own competent assistants he doubted whether he could have handled the prosecution of this case without the assistance of Agents Walters and Lawler and was extremely strong in his commendation of them.

This matter was referred to the Investigative Division and in a memorandum to Mr. Ladd dated 6/9/50 Mr. Rosen advised that with respect to the work of Special Agents Lawler and Waters in this case it is believed that letters of commendation are warranted but there is no reason to believe they should be considered for any meritiorious increase in salary. It was pointed out that this case was investigated for a period of approximately 10 months before any truly productive information as to the identity of the subjects was secured and during this period the Richmond Office, as office of origin, extended the scope of the investigation to be conducted unnecessarily and it was necessary for the Bureau to instruct that investigation in some instances be discontined because the investigation was aimed at the establishment of facts which, if proved, would not contribute to the solution of this case. It was also pointed out that the "break" in the case was not brought about by any efforts on the part of the Richmond Division as office of origin. However, once information was received by the Richmond Division, the work of Agents Walters and Lawler appears worthy of commendation.

Mr. Rosen also pointed out that the work of SA J. C. Cadigan, Laboratory Examiner, was of particular pertinence in development of the necessary corroborative evidence in this case and it is believed that consideration should be given to sending a letter of commendation to him.

Mr. Rosen said it is felt that letters of commendation should be sent Special Agents Lawler, Walters, David Harris, Arthur B. Lea, Robert Zimmers and J. C. Cadigan. Mr. Ladd concurred. If you approve, the appropriate letters are attached.

Mr. Ladd recommended that a meritorious raise be given to SAC Auerbach who coordinated this investigation and did a particularly outstanding job in handling the matter with the local authorities and developing the evidence which permitted successful prosecution, even though it could not be tried in Federal Court.

By way of background it is pointed out that the premises of the Schwarzschild Brothers' Jewelry Store, one of the most exclusive of its kind in Richmond was entered on the night of February 12-13, 1949. Two safes were opened through the use of acetylene equipment and approximately $500,000.00 worth of jewelry stolen. The modus operandi involved clearly indicated a professional job by highly skilled burglars.

An extensive investigation of this major case was conducted for a period of over a year in which numerous field divisions participated, which was personally supervised by SAC Auerbach. The extent of the investigation necessitated an unusual amount of supervisory control in order that investigative time and effort and the necessary expense incurred would be directed toward a productive end. More than 1000 individuals were interviewed and more than 20,000 records checked, and this unusual supervisory responsibility was well executed by SAC Auerbach. Even more outstanding was the unusual ability exercised by SAC Auerbach in his numerous conferences and contacts with local and state law enforcement and prosecuting officials. When this case was not immediatly solved there was an unfavorable reaction in so far as the Richmond PD was concerned. In cases of this type there is often a great deal of friction which arises between the local authorities and the state law enforcement authorities as well as on occasion some friction in so far as the Federal agency having jurisdiction is concerned. In this case, despite public pressure which arose, such friction and ill feeling did not arise, which was directly attributed to the strong leadership exercised by SAC Auerbach. The tact and ingenuity with which Auerbach handled the various contacts with state and local law enforcement officials kept all agencies directing their efforts to the most productive end.

A PERMANENT BRIEF OF SAC AUERBACH'S PERSONNEL FILE IS ATTACHED.

RECOMMENDATION:

In view of the outstanding supervision of this highly complicated and lengthy investigation by SAC Auerbach, it is recommended that he be afforded an increase in salary in grade GS 14 from $9000 to $9200 per annum as a reward for his superior accomplishment. If you approve, the necessary papers are attached.

Attachments
CLT:lrh

ADDENDUM: SAC Auerbach has further recommended that SA John E. Lawler be commended by letter for his preparation of a compilation and study of "Virginia Rules of Evidence - 1950". It is contimplated that this work will be distributed to law enforcement authorities in Virginia after appropriate review and study by the Training Division. The attached letter of commendation to SA Lawler in the Schwarzschild case also contains a commendatory paragraph dealing with his compilayion of the "Virginia Rules of Evidence - 1950".

CLT

"I agree, but also recommend meritorious increases for agents Lawler and Walters. T." 6/20/50

" O.K. H."

STANDARD FORM 50
UNITED STATES
CIVIL SERVICE COMMISSION
OCTOBER 1946

U. S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON 25, D. C.

FORM APPROVED
BUDGET BUREAU NO. 50-R064

Prepared by JJB
Checked by
Filed by mlm

NOTIFICATION OF PERSONNEL ACTION

1. NAME (MR. - MISS - MRS. - FIRST - MIDDLE INITIAL - LAST)	2. DATE OF BIRTH	3. JOURNAL OR ACTION No.	4. DATE
MR. RICHARD D AUERBACH ~~MR. RICHARD D. AUERBACH~~	8-13-11	F. B. I. 236 ~~236~~	7-7-50

This is to notify you of the following action affecting your employment:

5. NATURE OF ACTION (USE STANDARD TERMINOLOGY)	6. EFFECTIVE DATE	7. CIVIL SERVICE OR OTHER LEGAL AUTHORITY
~~SUPERIOR ACCOMPLISHMENT STEP INCREASE~~	7-9-50	

FROM		TO
Special Agent	8. POSITION TITLE	~~[illegible]~~
GS 14 ~~$9000~~	9. SERVICE, GRADE, SALARY	~~[illegible]~~ ~~$9000~~
	10. ORGANIZATIONAL DESIGNATIONS	
	11. HEADQUARTERS	
X FIELD ☐ DEPARTMENTAL	12. FIELD OR DEPT'L	X FIELD ☐ DEPARTMENTAL

13. VETERAN'S PREFERENCE								14. POSITION CLASSIFICATION ACTION			
NONE	5 PT.	10 POINT			WWII	WWI	OTHER	NEW	VICE	T. A.	REAL.
		DISAB.	WIFE	WIDOW							
X											

15. SEX	16. RACE	17. APPROPRIATION S. & E., FBI	18. SUBJECT TO C. S. RETIREMENT ACT (YES-NO)	19. DATE OF OATH (ACCESSIONS ONLY)	20. LEGAL RESIDENCE
		~~[illegible]~~ FROM: TO:	yes		~~California~~

JJB 0138

REMARKS Promotion for superior accomplishment under Federal Employees Pay Act of 7-1-45. This award for superior accomplishment is because of his outstanding supervision of an Interstate Transportation of Stolen Property case. Prom. under the Auto. Prom. Bill, Public Law 200 as amended 6-30-46 from ~~$8880~~ to $9000 in GS 14 eff. 3-19-50. Last efficiency rating - EXCELLENT - Approved Rating Committee 3-30-50.

Attachment: Justification for Civil Service Commission.

24 JUL 1950

SIGNATURE OR OTHER AUTHENTICATION

8. FILE

Prepared by: [illegible]
Checked by:
Filed by: [illegible]

From: Federal Bureau of Investigation

Subject: Superior Accomplishment Award, Section 403
Federal Employees Pay Act of 1945

Name: Richard D. Auerbach

Position title: Special Agent **Grade:** GS 14 **Salary:** $9000 per annum

Last salary increase: Promoted from $8800 to $9000 in Grade GS 14 **Date:** 3-19-50 **Amount:** $200

The above employee is being afforded an award for superior accomplishment from $9000 per annum to $9200 per annum in view of his outstanding supervision of an Interstate Transportation of Stolen Property case involving the robbery during February of 1949 of the Schwarzschild Brothers' Jewelry Store at Richmond, Virginia, when approximately $500,000 worth of jewelry was stolen. Two safes were opened through the use of acetylene equipment and the modus operandi involved clearly indicated a professional job of highly skilled burglars. An extensive investigation, which was conducted for a period of over a year and in which numerous field divisions participated, was personally supervised by Agent Auerbach. The extent of the investigation necessitated an unusual amount of supervisory control in order that investigative time and effort and the necessary expense incurred would be directed toward a productive end. More than 1,000 individuals were interviewed and more than 20,000 records checked and this unusual supervisory responsibility was outstandingly executed by this Agent. Also particularly outstanding was the manner in which he handled various contacts with State and local law enforcement officials, thus keeping all agencies directing their efforts to the most productive end. He foresaw the difficulties which would occur in the actual trial of the subjects, Flowers and Pinkerman, made certain that the evidence secured was properly correlated and that the prosecuting officials were thoroughly aware of all of the legal difficulties involved. He also took steps to insure that such difficulties would be properly overcome. The best evidence of the effectiveness of his work in this particular regard is shown by the final results obtained and the extensive sentences imposed. In this connection it is noted that after subject Flowers was convicted after a long jury trial subject Pinkerman, through his attorneys, recognized the strength of the case developed and accordingly pled guilty rather that face actual trial. In view of this Agent's outstanding supervision of this highly complicated and lengthy investigation it is definitely felt that the recommendation for the superior accomplishment award is amply justified and merited by him.

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Harbo
Belmont
Mohr
Tele. Room
Nease
Gandy

July 13, 1950

Mr. R. D. Auerbach
Federal Bureau of Investigation
501 Southern States Building
Richmond 19, Virginia

Dear Auerbach:

I appreciate very much your interest as reflected in your communication of July 7, 1950, concerning the militarization of the Bureau.

At the present time the Bureau is endeavoring to perfect arrangements with the various branches of the armed services and with the Selective Service System which will permit the Bureau to continue on active duty its investigative staff. I am hopeful that appropriate arrangements can be made in this regard.

You may rest assured that I will get in touch with you should additional assistance be necessary in connection with the deferment or retention of Bureau Special Agent personnel.

Sincerely,

J. Edgar Hoover

RECEIVED - DIRECTOR
FBI
U. S. DEPT. OF JUSTICE
JUL 14 10 15 AM '50

JUL 13 4 13 PM '50
RECEIVED READING ROOM
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U. S. DEPT. OF JUSTICE

WRG:akc

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August 5, 1950

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Richmond, Virginia

Dear Auerbach:

It is a real pleasure for me to have this opportunity to extend to you my hearty congratulations, and present to you this Ten-Year Service Award Key on the occasion of your Tenth Anniversary with the Bureau.

Your ten years of service in the Bureau mean much more than a mere passage of time. During this period you have been a very active force in directing the development of the Bureau into its present position of preeminence in the field of law enforcement. You can take pride in the knowledge that it was only through the efforts of loyal, conscientious, and painstaking employees such as yourself that this position was attained. You had a most active role in this achievement and I hope that your continued years of service will help us to maintain it.

May we continue to receive the benefit of your ability and experience for many years to come.

With best wishes,

Sincerely,

J. Edgar Hoover

Enclosure 497430
CC: Mr. Nease
CC: Voucher Section

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RECEIVED - DIRECTOR
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U.S. DEPT. OF JUSTICE
JUN 23 10 57 AM '50

RECEIVED READING ROOM
F B I
U.S. DEPT. OF JUSTICE
JUN 23 10 35 AM '50

202

1. Agency and organizational designations	2. Pay roll p	3. Block No.	4. Slip No.
U.S. Department of Justice Federal Bureau of Investigation			5045

5. Employee's name (and social security account number when appropriate)	6. Grade and salary
MR. RICHARD D. AUERBACH SA	GS 14 $9200

PAY ROLL CHANGE DATA

	BASE PAY	OVERTIME		GROSS PAY	RET.	TAX	BOND	F. I. C. A.			NET PAY
7. Previous normal											
8. New normal											
9. Pay this period											

10. Remarks:

79 AUG 27 1951

11. Appropriation(s)

12. Prepared by

13. Audited by

☒ Periodic step-increase ☐ Pay adjustment ☐ Other step-increase

14. Effective date	15. Date last equivalent	16. Old salary rate	17. New salary rate	18. Performance rating is satisfactory or better.
9-16-51	3-19-50	$9200	$9400	(Signature or other authentication)

19. LWOP data (Fill in appropriate spaces covering LWOP during following periods):
Period(s):

☒ No excess LWOP. Total excess LWOP

(Check applicable box in case of excess LWOP)
☐ In pay status at end of waiting period.
☐ In LWOP status at end of waiting period.

JW:abk
Initials of Clerk

STANDARD FORM NO. 1126d—Revised
Form prescribed by Comp. Gen., U. S.
Nov. 8, 1950, General Regulations No. 102

PAY ROLL CHANGE SLIP—PERSONNEL COPY

☆ U. S. GOVERNMENT PRINTING OFFICE : 1950—909927

September 20, 1951

Mr. Richard D. Auerbach
Federal Bureau of Investigation
501 Southern States Building
Richmond 19, Virginia

Dear Mr. Auerbach:

I want to take this opportunity to personally commend you for your excellent efforts in connection with the recent Japanese Peace Treaty Conference at San Francisco, California.

The capable manner in which you handled your assignment [redacted] worthy of special recognition and you have my sincere appreciation for your splendid services.

Sincerely yours,

J. Edgar Hoover

cc - San Francisco

cc - Mr. Glapin

ROK:mmh

MAILED 10
SEP 21 1951
COMM - FBI

RECEIVED DIRECTOR
F B I
U S DEPT OF JUSTICE
SEP 21 3 50 PM '51

RECEIVED READING ROOM
F B I
U S DEPT OF JUSTICE
SEP 20 1 54 PM '51

RECEIVED READING ROOM
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SEP 20 3 22 PM '51

Tolson
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101-010-222
97
53

STANDARD FORM NO. 64

Office Memorandum • UNITED STATES GOVERNMENT

TO : DIRECTOR, FBI

DATE: February 20, 1952

FROM : SAC, SEATTLE

PERSONAL AND ~~CONFIDENTIAL~~

SUBJECT: RICHARD D. AUERBACH
SAC

Enclosed is the original and one copy of a physical examination afforded SAC AUERBACH.

EON
Attachment.

noted in
file, not.
JBP/mfc
Refer to Sec. Mgt. mem
for cut from mail. mem.
JBP/mfc

1 - ENCL

RECORDED

101010-234
31

FEB 20 [illegible]

11 MAR 7 1952

3
Mg/mfc

CC-270

RECORD OF PHYSICAL EXAMINATION OF OFFICERS AND SPECIAL AGENTS
FEDERAL BUREAU OF INVESTIGATION, U. S. DEPARTMENT OF JUSTICE

NAME AUERBACH, Richard Dexter AGE 40 YEARS, 6 MONTHS
NATIVITY (state of birth) Mass MARRIED, SINGLE, WIDOWED: Married NUMBER OF CHILDREN 2
FAMILY HISTORY Mother, 1 brother alive and well. Father deceased 1916
P.O. 46

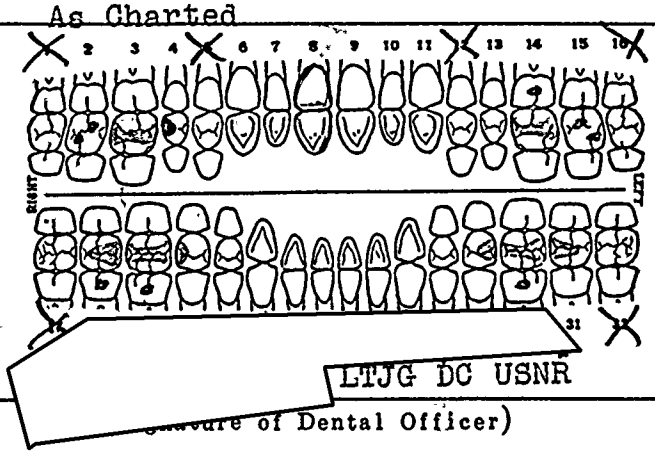
HISTORY OF ILLNESS OR INJURY Appendix removed 1930, usual childhood diseases

HEAD AND FACE Normal
EYES: PUPILS (size, shape, reaction to light and distance, etc.) Normal
DISTANT VISION RT. 20/ 20, corrected to 20/
LT. 20/ 20, corrected to 20/
COLOR PERCEPTION Normal AOC 1940
(state edition of Stilling's plates or Lamps used)
DISEASE OR ANATOMICAL DEFECTS None
EARS: HEARING RT. WHISPERED VOICE 15/15' CONVERSATIONAL SPEECH 15/15'
LT. WHISPERED VOICE 15/15' CONVERSATIONAL SPEECH 15/15'
DISEASE OR DEFECTS None
NOSE Normal
(Disease or anatomical defect, obstruction, etc. State degree)
SINUSES Normal

TONGUE, PALATE, PHARYNX, LARYNX, TONSILS Normal

TEETH AND GUMS (disease or anatomical defect): As Charted
MISSING TEETH #1-5-12-16-17-32
NONVITAL TEETH None app.
PERIAPICAL DISEASE None app.
MARKED MALOCCLUSION No
PYORRHEA ALVEOLARIS None
TEETH REPLACED BY BRIDGES None

DENTURES None
REMARKS None



b6
b7C

LTJG DC USNR
(Signature of Dental Officer)

GENERAL BUILD AND APPEARANCE Medium
TEMPERATURE Normal CHEST AT EXPIRATION 34
HEIGHT 72 CHEST AT INSPIRATION 37
WEIGHT 161 CIRCUMFERENCE OF ABDOMEN AT UMBILICUS 29
RECENT GAIN OR LOSS, AMOUNT AND CAUSE No
SKIN, HAIR, AND GLANDS Normal
NECK (abnormalities, thyroid gland, trachea, larynx) Normal

SPINE AND EXTREMITIES (bones, joints, muscles, feet) Normal ENTERED ON CARD 2-29-52 JTB/mfc
Subject to Sec 3-3-52 reg. reason JTB/mfc
for cert. for mode. use JTB/mfc

67-10010-234
ENCLOSURE

THORAX (size, shape, movement, rib cage, mediastinum) Normal

RESPIRATORY SYSTEM, BRONCHI, LUNGS, PLEURA, ETC. Normal

X-Ray # 192 Neg.

CARDIO-VASCULAR SYSTEM Normal

HEART (note all signs of cardiac involvement) Normal

ECG Normal

PULSE: BEFORE EXERCISE 72

AFTER EXERCISE 88

THREE MINUTES AFTER 68

BLOOD PRESSURE: SYSTOLIC 106

DIASTOLIC 62

CONDITION OF ARTERIES Normal

CONDITION OF VEINS Normal

CHARACTER OF PULSE Normal

HEMORRHOIDS No external hemorrhoids

ABDOMEN AND PELVIS (condition of wall, scars, herniae, abnormality of viscera)

Normal

GENITO-URINARY SYSTEM Normal

URINALYSIS: SP. GR. 1.018 ALB. Neg SUGAR Neg MICROSCOPICAL None

VENEREAL DISEASE None.

NERVOUS SYSTEM Normal

(organic or functional disorders)

ROMBERG Neg INCOORDINATION (gait, speech) None

REFLEXES, SUPERFICIAL Normal DEEP (knee, ankle, elbow) Normal TREMORS None

SEROLOGICAL TESTS Kahn Negative BLOOD TYPE "O" RH Positive

ABNORMAL PSYCHE (neurasthenia, psychasthenia, depression, instability, worries)

None

SMALLPOX VACCINATION: DATE OF LAST VACCINATION 1948

TYPHOID PROPHYLAXIS: NUMBER OF COURSES "3 or 4"

DATE OF LAST COURSE 1948

REMARKS ON ABNORMALITIES NOT OTHERWISE NOTED OR SUFFICIENTLY DESCRIBED ABOVE

None

SUMMARY OF DEFECTS

None

CAPABLE OF PERFORMING DUTIES INVOLVING moderate PHYSICAL EXERTION

IS THIS INDIVIDUAL PHYSICALLY FIT TO PARTICIPATE IN RAIDS AND APPREHENSION OF CRIMINALS WHICH MIGHT ENTAIL THE PRACTICAL USE OF FIREARMS Yes (yes or no)

(when no is given state cause)

FINDINGS, RECOMMENDATIONS AND REMARKS (as per boards, when necessary)

Physically qualified as special agent with FBI

b6

b7C

LCDR MC USNR

DATE OF EXAMINATION 2-4-52

U.S. DEPT. OF JUSTICE FBI FEB 28 1 43 PM '52 RECEIVED PERSONNEL UNIT

FEB 26 1952

STANDARD FORM 50
UNITED STATES
CIVIL SERVICE COMMISSION
OCTOBER 1946

U. S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON 25, D. C.

FORM APPROVED
BUDGET BUREAU NO. 50-R064

NOTIFICATION OF PERSONNEL ACTION

1. NAME (MR. - MISS - MRS. - FIRST - MIDDLE INITIAL - LAST)	2. DATE OF BIRTH	3. JOURNAL OR ACTION No.	4. DATE
MR RICHARD D. AUERBACH MR. RICHARD D. AUERBACH	8-18-11	F.B.I. 1197 1197	7-14-52

This is to notify you of the following action affecting your employment:

5. NATURE OF ACTION (USE STANDARD TERMINOLOGY)	6. EFFECTIVE DATE	7. CIVIL SERVICE OR OTHER LEGAL AUTHORITY
PROMOTION	7-20-52	Part Schedule A 6.106 (E)

FROM		TO
Special Agent	8. POSITION TITLE	same
GS 15 $10,800 per annum	9. SERVICE, GRADE, SALARY	GS 16 $12,000 per annum
	10. ORGANIZATIONAL DESIGNATIONS	
	11. HEADQUARTERS	
[F] FIELD [ ] DEPARTMENTAL	12. FIELD OR DEPT'L	[F] FIELD [ ] DEPARTMENTAL

13. VETERAN'S PREFERENCE								14. POSITION CLASSIFICATION ACTION			
NONE	5 PT.	10 POINT			WWII	WWI	OTHER	NEW	VICE	I. A.	REAL.
		DISAB.	WIFE	WIDOW							
X										X	X

15. SEX	16. RACE	17. APPROPRIATION S. & E., FBI	18. SUBJECT TO C. S. RETIREMENT ACT (YES-NO)	19. DATE OF OATH (ACCESSIONS ONLY)	20. LEGAL RESIDENCE
M		FROM: TO: same	yes		California

Effective 3-13-55 basis for this position is now Public Law #94, 84th Congress.

REMARKS

The provisions of the Universal Military Training and Service Act of 1951 have been complied with.
This promotion is temporary in accordance with Public Law #843, approved 9-27-50.
The classification grade of this position is subject to post-audit and correction pursuant to Section 1310 of the Supplemental Appropriation Act, 1952 - Public Law #253, approved 11-1-51.

4 JUL 21 1952

SIGNATURE OR OTHER AUTHENTICATION

8. FILE

U. S. GOVERNMENT PRINTING OFFICE— 1951 — 941123

STANDARD FORM 50
UNITED STATES
CIVIL SERVICE COMMISSION
OCTOBER 1946

U. S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON 25, D. C.

FORM APPROVED
BUDGET BUREAU NO. 50-R064

Prepared by:
Checked by:
Filed by:

NOTIFICATION OF PERSONNEL ACTION

1. NAME (MR. - MISS - MRS. - FIRST - MIDDLE INITIAL - LAST)	2. DATE OF BIRTH	3. JOURNAL OR ACTION NO.	4. DATE
MR. RICHARD D. AUERBACH	8-18-11	F. B. I. 21479	4-7-52

This is to notify you of the following action affecting your employment:

5. NATURE OF ACTION (USE STANDARD TERMINOLOGY)	6. EFFECTIVE DATE	7. CIVIL SERVICE OR OTHER LEGAL AUTHORITY
PROMOTION	4-13-52	Schedule A Part 6.108 (E)

FROM		TO
Special Agent	8. POSITION TITLE	same
GS 14	9. SERVICE, GRADE, SALARY	GS 15
$10,200 per annum		$10,800 per annum
	10. ORGANIZATIONAL DESIGNATIONS	
	11. HEADQUARTERS	
X FIELD ☐ DEPARTMENTAL	12. FIELD OR DEPT'L	X FIELD ☐ DEPARTMENTAL

13. VETERAN'S PREFERENCE								14. POSITION CLASSIFICATION ACTION			
NONE	5 PT.	10 POINT			WWII	WWI	OTHER	NEW	VICE	I. A.	REAL.
		DISAB.	WIFE	WIDOW							
X										X	X

15. SEX	16. RACE	17. APPROPRIATION S. & E., FBI	18. SUBJECT TO C. S. RETIREMENT ACT (YES-NO)	19. DATE OF OATH (ACCESSIONS ONLY)	20. LEGAL RESIDENCE
M		FROM: same TO:	yes		California

REMARKS

The provisions of the Universal Military Training and Service Act of 1951 have been complied with.
This promotion is temporary in accordance with Public Law #843, approved 9-27-50.
The classification grade of this position is subject to post-audit and correction pursuant to Section 1310 of the Supplemental Appropriation Act, 1952 - Public Law #253, approved 11-1-51.

16 APR 15 1952

SIGNATURE OR OTHER AUTHENTICATION

8. FILE

☆ U. S. GOVERNMENT PRINTING OFFICE— 1951 — 941123

67-101010-234

SAC, Seattle

March 3, 1952

Director, FBI (67-101010)

PERSONAL AND ~~CONFIDENTIAL~~

PHYSICAL CONDITION

Richard D. Auerbach

Reurlet 2/20/52 transmitting the report of the physical examination afforded you on February 4, 1952.

In reviewing this report it is noted that you were certified for only moderate physical exertion. The Bureau would like to be advised of the reason for this certification inasmuch as no disqualifying defects were noted.

This should be given your immediate attention and the Bureau promptly advised.

JVB:mfc

Tolson
Ladd
Clegg
Glavin
Nichols
Rosen
Tracy
Harbo
Alden
Belmont
Laughlin
Mohr
Tele. Room
Nease

74

MAILED 8
MAR 3 - 1952
COMM-FBI

11 MAR 7

September 18, 1952

Mr. Richard D. Auerbach
Federal Bureau of Investigation
United States Department of Justice
Seattle, Washington

Dear Mr. Auerbach:

I want to take this means to express to you my sincere appreciation for your excellent handling of the apprehension of the Smith Act subjects in the Seattle Division.

The close personal supervision you afforded the investigation and subsequent apprehension of these subjects was a primary factor in the successful results which were accomplished. It is with pleasure that I extend to you my sincere and personal commendation for your highly efficient and capable leadership.

Sincerely,

J. Edgar Hoover

EJI:mao

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Laughlin
Mohr
Tele. Rm.
Holloman
Candy

RECEIVED
SEP 18 12 26 PM '52
F B I

SEP 10 12 55 PM '52
U.S. DEPT. OF JUSTICE

MAILED 16
SEP 18 1952
COMM-FBI

249

Standard Form 88
(Rev. Aug. 1950)
PROMULGATED BY
BUREAU OF THE BUDGET
CIRCULAR A-24

[illegible]T OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, Richard Dexter	SpecAgentinCharge	
4. HOME ADDRESS (*Number, street or RFD, city or town, zone and State*)	5. PURPOSE OF EXAMINATION	6. DATE OF EXAMINATION
6056 35th N.E. Seattle, Wash	Annual	3 12 53

7. SEX	8. RACE	9. TOTAL YRS. GOVT. SERVICE MILITARY	CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE	11. ORGANIZATION UNIT
Male	Cauc	10y	13y	JUSTICE	Seattle, Wash

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8 18 11	Mass	

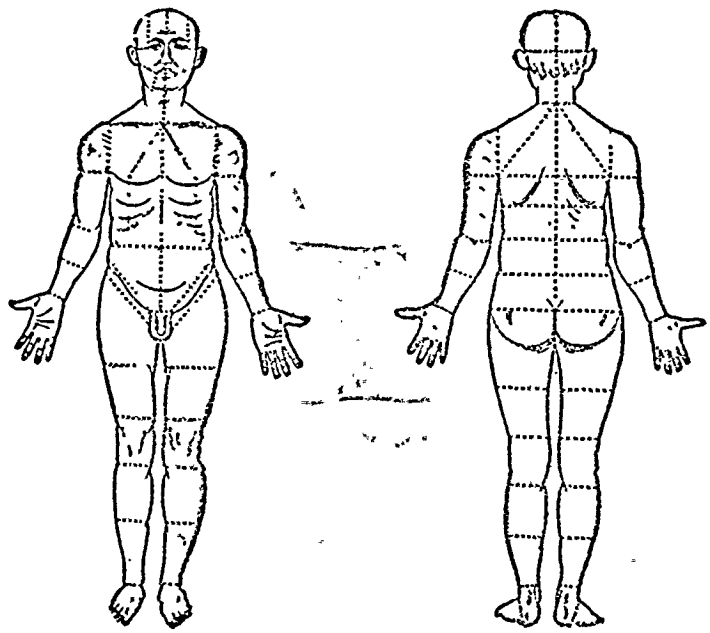
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
NAS SEATTLE, WASHINGTON	

17. RATING OR SPECIALTY — TIME IN THIS CAPACITY: TOTAL — LAST SIX MONTHS

CLINICAL EVALUATION

NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)

NORMAL	ABNORMAL	(*Check each item in appropriate column; enter "N. E." if not evaluated*)
X		18. HEAD, FACE, NECK, AND SCALP
X		19. NOSE
X		20. SINUSES
X		21. MOUTH AND THROAT
X		22. EARS—GENERAL (*Int. & ext. canals*) (*Auditory acuity under items 70 and 71*)
X		23. DRUMS (*Perforation*)
X		24. EYES—GENERAL (*Visual acuity and refraction under items 59, 60, and 61*)
X		25. OPHTHALMOSCOPIC
X		26. PUPILS (*Equality and reaction*)
X		27. OCULAR MOTILITY (*Associated parallel movements, nystagmus*)
X		28. LUNGS AND CHEST (*Include breasts*)
X		29. HEART (*Thrust, size, rhythm, sounds*)
X		30. VASCULAR SYSTEM (*Varicosities, etc.*)
X		31. ABDOMEN AND VISCERA (*Include hernia*)
X		32. ANUS AND RECTUM (*Hemorrhoids, fistulae*) (*Prostate if indicated*)
X		33. ENDOCRINE SYSTEM
X		34. G-U SYSTEM
X		35. UPPER EXTREMITIES (*Strength, range of motion*)
X		36. FEET
X		37. LOWER EXTREMITIES (*Except feet*) (*Strength range of motion*)
X		38. SPINE, OTHER MUSCULOSKELETAL
X		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS
X		40. SKIN, LYMPHATICS
X		41. NEUROLOGIC (*Equilibrium tests under item 78*)
X		42. PSYCHIATRIC (*Specify any personality deviation*)
Females only		(*Check how done*)
		43. PELVIC ☐ VAGINAL ☐ RECTAL



2 Encl, 1 det

(*Continue in item 73*)

44. DENTAL (*Place appropriate symbols above or below number of upper and lower teeth, respectively*)

O.—*Restorable teeth* X.—*Missing teeth* (6 X 8).—*Fixed bridge, brackets to include abutments*
/.—*Nonrestorable teeth* XXX.—*Replaced by dentures*

RIGHT																	LEFT
	X	2	3	4	X	6	7	8	9	10	11	12	X	14	15	X	
	32 X	31	30	29	28	27	26	25	24	23	22	21	20	19	18	X	

RECORDED - 44

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES

Dentally Qualified

Class I D-256

130

LABORATORY FINDINGS

45. URINALYSIS: SP. GR. 1.020

ALBUMIN	SUGAR	MICROSCOPIC
Neg	Neg	

46. CHEST X-RAY (*Place, date, film number, result*)

31353 #0497 Neg

47. SEROLOGY (*Specify test used and result*)

Kahn Neg 1953

48. EKG

49. BLOOD TYPE AND RH FACTOR

50. OTHER TESTS

BOARD 17 1953 NORMAL

16—62288-1

APR 0

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT	52. WEIGHT	53. COLOR HAIR	54. COLOR EYES	55. BUILD (SLENDER / MEDIUM / HEAVY / OBESE)	56. TEMP.
71 3/4	162	Brown	Brown	☐ ☒ ☐ ☐	Normal

REC'D F. B. I. U. S. DEPT. OF JUSTICE

57. BLOOD PRESSURE (*Arm at heart level*) 58. PULSE (*Arm at heart level*)

SITTING	SYS. 138 / DIAS. 88	RECUMBENT	SYS. / DIAS.	STANDING (3 min.)	SYS. 134 / DIAS. 92	SITTING	AFTER EXERCISE	2 MIN. AFTER	RECUMBENT	AFTER STANDING 3 MIN.
						88	108			96

APR 7 10 12 AM '53

59. DISTANT VISION		60. REFRACTION		61. NEAR VISION	
RIGHT 20/ 20	CORR. TO 20/	BY	S. CX	CORR. TO 36	BY
LEFT 20/ 20	CORR. TO 20/	BY	S. CX	CORR. TO 36	BY

FBI 10

U.S. DEPT. OF JUSTICE

62. HETEROPHORIA: (*Specify distance*) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD

63. ACCOMMODATION	64. COLOR VISION (*Test used and result*)	65. DEPTH PERCEPTION (*Test used and score*)	UNCORRECTED
RIGHT LEFT	NORMAL AOC 1940		CORRECTED

66. FIELD OF VISION	67. NIGHT VISION (*Test used and score*)	68. RED LENS	69. INTRAOCULAR TENSION
Normal			

70. HEARING

RIGHT WV 15 /15 SV 15 /15

LEFT WV 15 /15 SV 15 /15

71. AUDIOMETER

	250 256	500 512	1000 1024	2000 2048	3000 3096	4000 4096	8000 8192	
RIGHT								
LEFT								

72. PSYCHOLOGICAL AND PSYCHOMOTOR (*Tests used and score*)

73. NOTES (*Continued*) AND SIGNIFICANT OR INTERVAL HISTORY

NONE

(*Use additional sheets of plain paper if necessary*)

74. SUMMARY OF DEFECTS AND DIAGNOSES (*List diagnoses with item numbers*)

NCD

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (*Specify*)

76. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (*Check*)
☒ IS
☐ IS NOT QUALIFIED FOR Agent in Charge FBI

PHYSICAL CATEGORY

A	B	C	E

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

b6
b7C

79. TYPED OR PRINTED NAME OF PHYSICIAN [redacted] LT MC USNR — SIGNATURE [redacted]

80. TYPED OR PRINTED NAME OF PHYSICIAN — SIGNATURE

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (*Indicate which*) [redacted] LTJG DC USNR — SIGNATURE [redacted]

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY — SIGNATURE — NUMBER OF ATTACHED SHEETS

U. S. GOVERNMENT PRINTING OFFICE : 1952 O - 990997 16—62288-1

Richard D. AUERBACH

ATTACHMENT TO STANDARD FORM 88
(Revised July 21, 1952)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67
3	68
11	69
14	71 (unless other examination indicates desirable)
17	
62	
65	72

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X-ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee IS (is or is not) qualified for strenuous physical exertion. (Designate which)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

No

If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64 and 70 PERTAINING TO VISUAL ACUITY, COLOR VISION, AND HEARING BE [redacted]TED IN DETAIL.

b6
b7C

[redacted]
(Signature of Medical Examiner)

[redacted] LT MC USNR

(Date)

May 29, 1953

Mr. R. E. Auerbach
Federal Bureau of Investigation
1015 Second Avenue
Seattle 4, Washington

Dear Mr. Auerbach:

You will recall that your letter of November 7, 1952, referred to a survey of postage costs conducted in your office and suggested that a similar survey be conducted by the Bureau.

The survey which you suggested has been found helpful and has proven effective, and was conductive to effecting greater economies in the handling of communications.

I desire to express to you my appreciation and commendation for your thoughtfulness in making this suggestion which has been found to be beneficial.

Sincerely yours,

J. Edgar Hoover

cc: Mr. Glavin

HHC:EHW

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gearty
Mohr
Winterrowd
Tele. Room
Holloman
Sizoo
Miss Gandy

MAILED 2
MAY 29 1953
COMM-FBI

147

JUN 10 1953

8

June 5, 1953

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

I want to thank you so much for the very prompt and efficient manner in which you handled the [redacted] matter. It has enabled us to be able to make a very prompt report to the Attorney General and the White House. I have advised both that unless advised to the contrary we will conduct no further inquiry into any aspect of this situation.

b6
b7C

With best regards, I am

Sincerely,

/S/ J. E. H.

~~J. Edgar Hoover~~

JEH:mpd

101010-258
104

2 JUN 10 1953

PERS FILE

SENT FROM
TIME 3:40
DATE 6-5-53
BY GKR

SENT FROM D. C.
TIME
DATE
BY

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gearty
Mohr
Winterrowd
Tele. Room
Holloman
Sizoo
Miss Gandy

26

69 JUN 15 1953

COPY 94

PX

OFFICE MEMORANDUM----------UNITED STATES GOVERNMENT

TO : MR. NICHOLS DATE: 5-20-53

FROM : L. E. WHERRY, JR.

SUBJECT : COMMUNICATIONS COST - MAIL
SUGGESTION #712-52

R. D. Auerbach

SYNOPSIS:

By letter of 11-7-52 SAC, Seattle advised of postage cost survey being conducted in that office; that certain savings had been effected. He suggested Bureau conduct such a survey throughout field. In my memo to you 12-8-52 I concurred with the SAC's suggestion. SAC Letter #53-5 dated 1-9-53 advised Field of survey to be conducted from 1-15-53 to 2-15-53; that results of survey should be forwarded to Bureau sixty days after conclusion of survey. Results of survey now completed indicates a total savings of $839.54 per month now realized by effecting economies in mailing procedures in the field resulting from survey.

RECOMMENDATIONS:

1) That a cash award not be considered for SAC, Seattle, even though a substantial savings has been effected in the mailing procedures employed by the Field, as it is felt that it is an SAC's responsibility to make suggestions concerning ways and means of effecting economical administration of his office as well as overall Bureau economy.

2) That a letter of commendation, stressing the Bureau's sincere appreciation for a suggestion encompassing the over-all mailing procedures throughout the field, be forwarded to the SAC, Seattle and that he be considered for a meritorious promotion.

3) That the attached survey letters from the field be destroyed.

ACF:mas

67-101010-259
Searched
Numbered 14
12 JUN 23 1953
FEDERAL BUREAU OF INVESTIGATION

7 JUN 23 1953

ORIGINAL FILED IN CASE FILE NUMBER

Memorandum for Mr. Nichols

BACKGROUND:

By letter dated 11-7-52 the SAC, Seattle, advised the Bureau of a postage cost survey being conducted in that office. The findings in that office showed that:

1. Air mail service to some offices could be served equally as well with regular mail, effecting a savings in air mail costs, and

2. Special delivery service as a general rule did not materially affect the speed of mail and in some instances slowed delivery.

The SAC suggested that such a survey be conducted throughout the field as he felt the same situation may exist with respect to unnecessary use of air mail and special delivery postage.

In my memo to you of 12-8-52 I recommended that the survey suggested by the SAC, Seattle be conducted over a thirty day period and that each office, at the end of a sixty day period following the survey, advise the Bureau of the average monthly savings realized as a result of altering certain mailing procedures.

SAC Letter #53-5, dated 1-9-53, advised the field that such a survey was to be conducted from 1-15-53 to 2-15-53 and the results of the survey together with the amount of any average monthly savings should be forwarded to the Bureau at the end of sixty days from the conclusion of instant survey.

RESULTS OF SURVEY:

Of the 49 continental offices participating in the survey, 31 offices reported a total savings amounting to $839.54 per month resulting from changes in mailing procedures. Eighteen offices reported that mailing costs had increased since the survey period due to increased matters being handled by mail. It appears that mailing procedures in these offices were most economical prior to the survey and no further economies could be effected.

October 27, 1953

Mr. Richard D. Auerbach
Federal Bureau of Investigation
United States Department of Justice
Seattle, Washington

Dear Auerbach:

I am indeed happy to commend you for your contributions to the successful conclusion of the trial of the Communist functionaries in Seattle.

Your splendid over-all supervision of this lengthy and complex investigation in your division was in no small measure responsible for the satisfactory results. I am most gratified with the close and effective attention you gave the matter over a long period of time, and I wanted to take this means of expressing my appreciation to you.

Sincerely yours,

J. Edgar Hoover

LRH:mao

Salutation per Reading Room

RECEIVED - DIRECTOR
F B I
U.S. DEPT OF JUSTICE
OCT 28 12 44 PM '53

OCT 27 2 52 PM '53
RECEIVED READING ROOM
F B I

COMM — FBI
OCT 28 1953
MAILED 27

Searched
Numbered
Indexed
Filed

NOV 3 1953

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gearty
Mohr
Winterrowd
Tele. Room
Holloman

Standard Form 88
(Rev. Aug. 1950)
PROMULGATED BY
BUREAU OF THE BUDGET
CIRCULAR A-24

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, Richard Dexter	Special Agent in Charge	

4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	5. PURPOSE OF EXAMINATION	6. DATE OF EXAMINATION
6056 35th NW., Seattle, Washington	Annual	3-11-54

7. SEX	8. RACE	9. TOTAL YRS. GOVT. SERVICE MILITARY	CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE	11. ORGANIZATION UNIT
Male	Caue	10	14yrs	Justice Department	Seattle, Washington

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8-18-11	Mass.	

15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
NAS, Seattle, Washington	

17. RATING OR SPECIALTY — TIME IN THIS CAPACITY: TOTAL — LAST SIX MONTHS

CLINICAL EVALUATION

NORMAL	ABNORMAL	(Check each item in appropriate column; enter "N. E." if not evaluated)
X		18. HEAD, FACE, NECK, AND SCALP
X		19. NOSE
X		20. SINUSES
X		21. MOUTH AND THROAT
X		22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)
X		23. DRUMS (Perforation)
XX		24. EYES—GENERAL (Visual acuity and refraction under items 59, 60, and 61)
X		25. OPHTHALMOSCOPIC
X		26. PUPILS (Equality and reaction)
X		27. OCULAR MOTILITY (Associated parallel movements, nystagmus)
X		28. LUNGS AND CHEST (Include breasts)
X		29. HEART (Thrust, size, rhythm, sounds)
X		30. VASCULAR SYSTEM (Varicosities, etc.)
X		31. ABDOMEN AND VISCERA (Include hernia)
X		32. ANUS AND RECTUM (Hemorrhoids, fistulas) (Prostate if indicated)
X		33. ENDOCRINE SYSTEM
X		34. G-U SYSTEM
X		35. UPPER EXTREMITIES (Strength, range of motion)
X		36. FEET
X		37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)
X		38. SPINE, OTHER MUSCULOSKELETAL
X		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS
X		40. SKIN, LYMPHATICS
X		41. NEUROLOGIC (Equilibrium tests under item 72)
X		42. PSYCHIATRIC (Specify any personality deviation)
Females only		(Check how done)
		43. PELVIC ☐ VAGINAL ☐ RECTAL

NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)

1 det.
2 ENCL.

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)

o.—Restorable teeth
/.—Nonrestorable teeth
X.—Missing teeth
XXX.—Replaced by dentures
(6 X 8).—Fixed bridge, brackets to include abutments

RIGHT																	LEFT
	X	2	3	4	X	6	7	8	9	10	11	12	X	14	15	X	
	X	31	30	29	28	27	26	25	24	23	22	21	20	19	18	X	
	32															17	

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES
Qualified
Type 3
Class 1

RECORDED - 99
67—
101010-272
Search

LABORATORY FINDINGS

45. URINALYSIS: SP. GR. 1.015

ALBUMIN	SUGAR	MICROSCOPIC
Neg.	Neg.	

46. CHEST X-RAY (Place, date, film number, result) NAS, Seattle, Washington #0419, Negative, 3-11-54

47. SEROLOGY (Specify test used and result) Kahn: Negative

48. EKG Negative See Attached

49. BLOOD TYPE AND RH FACTOR Postive

50. OTHER TESTS

APR 14 1954
FEDERAL BUREAU OF INVESTIGATION

57

89 APR 22 1954

entered on card 4-16-54 jmd

10—62283-1

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT	52. WEIGHT	53. COLOR HAIR	54. COLOR EYES	55. BUILD: SLENDER	MEDIUM	HEAVY	OBESE	56. TEMP.
72"	164	Grey	Brown	☒	☐	☐	☐	Normal

57. BLOOD PRESSURE (*Arm at heart level*)

SITTING		RECUMBENT		STANDING (3 min.)	
SYS.	128	SYS.		SYS.	130
DIAS.	70	DIAS.		DIAS.	70

58. PULSE (*Arm at heart level*)

SITTING	AFTER EXERCISE	2 MIN. AFTER	RECUMBENT	AFTER STANDING 3 MIN.
72	96	80		76

A 39 APR 1954 RECD PERSONNEL SECTION

59. DISTANT VISION		60. REFRACTION		61. NEAR VISION	
RIGHT 20/ 20	CORR. TO 20/	BY	CX	8/36	CORR. TO BY
LEFT 20/ 20	CORR. TO 20/	BY S.	CX	8/36	CORR. TO BY

62. HETEROPHORIA: (*Specify distance*) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD

63. ACCOMMODATION	64. COLOR VISION (*Test used and result*)	65. DEPTH PERCEPTION (*Test used and score*)	
RIGHT LEFT	Normal AOC #40 (Rev.)		UNCORRECTED
			CORRECTED

66. FIELD OF VISION	67. NIGHT VISION (*Test used and score*)	68. RED LENS	69. INTRAOCULAR TENSION

70. HEARING

RIGHT WV 15 /15 SV 15 /15

LEFT WV 15 /15 SV 15 /15

71. AUDIOMETER

	250 256	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192	
RIGHT								
LEFT								

72. PSYCHOLOGICAL AND PSYCHOMOTOR (*Tests used and score*)

73. NOTES (*Continued*) AND SIGNIFICANT OR INTERVAL HISTORY

#37. ½" shorting left leg, due to old fracture distal 3rd, tibia and fibula. Healed good fracture of ankle. NCD

(*Use additional sheets of plain paper if necessary*)

74. SUMMARY OF DEFECTS AND DIAGNOSES (*List diagnoses with item numbers*)

#37. NCD

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (*Specify*)

76. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (*Check*)
☐ IS
☐ IS NOT QUALIFIED FOR Annual FBI Physical Examination.

PHYSICAL CATEGORY

A	B	C	E

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

79. TYPED OR PRINTED NAME OF PHYSICIAN [redacted] CDR, MC, USNR — SIGNATURE [redacted]

80. TYPED OR PRINTED NAME OF PHYSICIAN — SIGNATURE [redacted]

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (*Indicate which*) [redacted] LCDR, DC, USNR — SIGNATURE [redacted]

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY — SIGNATURE [redacted] — NUMBER OF ATTACHED SHEETS

b6
b7C

U. S. GOVERNMENT PRINTING OFFICE : 1952 O - 990997

16—62288-1

AUERBACH, Richard D.

ATTACHMENT TO STANDARD FORM 88
(Revised July 21, 1952)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67
3	68
11	69
14	71 (unless other examination indicates desirable)
17	
62	
65	72

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X-ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee is (is or is not) qualified for strenuous physical exertion. (Designate which)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

No

If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64 and 70 PERTAINING TO VISUAL ACUITY, COLOR VISION, AND HEARING BE COMPLETED IN DETAIL.

[redacted], CDR MC USNR
(Signature of Medical Examiner)

b6
b7C

67-101010-27211 March 1954
(Date)

ENCLOSURE

1. Agency and organizational designations	2. Pay roll	3. Block No.	Slip No.
U.S. Department of Justice Federal Bureau of Investigation			11510

5. Employee's name (and social security account number when appropriate)	6. Grade and salary
MR. RICHARD D. AUERBACH SA	GS 16 $12,000

PAY ROLL CHANGE DATA

	BASE PAY	OVERTIME		GROSS PAY	RET.	TAX.....	BOND	F. I. C. A.			NET PAY
7. Previous normal											
8. New normal											
9. Pay this period											

10. Remarks:	11. Appropriation(s)	12. Prepared by
		13. Audited by

☒ Periodic step-increase ☐ Pay adjustment ☐ Other step-increase.....

14. Effective date	15. Date last equivalent increase	16. Old salary rate	17. New salary rate	18. Performance rating is satisfactory or better.
1-17-54	7-20-52	$12,000	$12,200	168 (Signature or other authentication)

19. LWOP data (Fill in appropriate spaces covering LWOP during following periods):

Period(s):

☒ No excess LWOP. Total excess LWOP .....

(Check applicable box in case of excess LWOP)

☐ In pay status at end of waiting period.

☐ In LWOP status at end of waiting period.

JW:km ..... Initials of Clerk

STANDARD FORM NO. 1126d—Revised
Form prescribed by Comp. Gen., U. S.
Nov. 8, 1950, General Regulations No. 102

6 DEC 30 1953

PAY ROLL CHANGE SLIP—PERSONNEL COPY

☆ U. S. GOVERNMENT PRINTING OFFICE 1952—246171

May 12, 1954

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Auerbach:

Much credit has come to the Bureau as the result of your apprehension of I. O. Fugitive [redacted] subject of an Unlawful Flight to Avoid Prosecution-Assault and Robbery case, and I am taking this means to commend you for your excellent supervision of this operation.

b6
b7C

The fact that [redacted] was apprehended without incident is especially praiseworthy and reflects your very capable handling of the situation. I want you to know of my appreciation for an excellent piece of work.

Sincerely yours,
J. Edgar Hoover

LRH:rk

Salutation per Reading Room.

67-

Searched
Numbered

MAY 24

FEDERAL BUREAU OF INVESTIGATION

RECEIVED READING ROOM
F B I

MAY 12 5 30 PM '54

COMM - FBI
MAY 13 1954
MAILED 28

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Mohr
Trotter
Winterrowd
Tele. Room
Holloman
Miss Gandy

July 30, 1954

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

The very splendid fashion in which the Summary Report Program was expeditiously and efficiently completed by the personnel of the Seattle Office is especially noteworthy and warrants recognition.

I am aware that a project of this nature requires considerable skill and persevering application in view of the voluminous material involved. Please express my commendation to the personnel who participated on this project, advising them that their diligence, enthusiasm and devotion to duty are certainly appreciated.

Sincerely yours,
J. Edgar Hoover

LRH:ea

Tolson
Boardman
Nichols
Belmont
Glavin
Harbo
Rosen
Tamm
Tracy
Mohr
Winterrowd
Tele. Room
Holloman
Miss Gandy

MAILED 5
AUG 2 - 1954
COMM-FBI

January 21, 1955

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

I am highly pleased with the exemplary fashion in which the investigation of the Bank Robbery case involving [redacted] and others was handled by the personnel of the Seattle Office.

b6
b7C

It is my desire you express my sincere appreciation and personal commendation to the participating personnel for their untiring efforts and devotion to duty, which resulted in the prompt solution of the case. Their performance in this instance has been of a calibre that conforms to the highest standards of the Bureau.

Sincerely yours,
J. Edgar Hoover

67-07010-281

COMM - FBI
JAN 21 1
MAILED 30

LRH:plw
(3)

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

55 JAN 27 1955

March 14, 1955

Personal and ~~Confidential~~

0

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

I am highly pleased with the excellent memorandum prepared by your office on February 28, 1955, concerning surveillance problems, and I am taking this means to express my appreciation.

The thought, knowledge and experiences of the agents which went into the careful preparation of this memorandum will be of great value to the Bureau's over-all study of this matter. Please extend my personal commendation to those agents who contributed to this fine piece of work.

Sincerely yours,

J. Edgar Hoover

101010-283

cc: Mr. Belmont (Personal Attention) - For information only.

RECEIVED - 122

(4)

Domestic Intelligence Division has advised as follows: All field offices were requested by an SAC Letter of 1/25/55, No. 55-5, to submit the experiences, thoughts and views of its Agents relative to specified surveillance problems and their solutions. It is a very difficult subject to handle and what is being done with it is a sort of pioneering effort. The reply from this office is of sufficient quality as to warrant the above commendation.

COMM - FBI
MAR 15 1955
MAILED 28

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

1 typed copy
3-21-55 (46)

55 MAR 22 1955

RECEIVED READING ROOM
U.S. DEPT. OF JUSTICE
MAR 14 5 57 PM '55

May 10, 1955

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

I have noted that during the month of April, 1955, no letters of censure were directed to Special Agent personnel assigned to your office based on errors in correspondence emanating from the Seattle Office.

I am indeed pleased to commend you and the Special Agents of your division for the diligent and successful efforts made in attaining such accuracy in these matters. Please convey my appreciation to the Seattle Special Agents for their contributions in this regard.

Sincerely yours,
J. Edgar Hoover

cc: SOG Seattle Field Office file
EJI:rhc
(4)
Based on memos H. L. Edwards to Mr. Mohr dated 5/5/55 LDH:emj and 5/10/55 DCM:alh.

COMM — FBI
MAY 11 1955
MAILED 25

MAY 11 1 28 PM '55
RECEIVED READING ROOM
U.S. DEPT. JUS.
F B I

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

67-101010-286

8 MAY 17 1955
FEDERAL BUREAU OF INVESTIGATION

26 MAY 19 1955

May 27, 1955

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Auerbach:

You are certainly to be commended for the excellent fashion in which you supervised the complex investigation of the White Slave Traffic Act case involving Robert Roy Van Bavel and others.

The very splendid success achieved in this matter can be attributed directly to the meticulous attention you gave to its development and the good judgment you exercised in guiding the activities of the participating agents. I am most appreciative of the high standard of your services.

Sincerely yours,
J. Edgar Hoover

67-101010-289

Searched 143
Numbered .....

RECORDED - 143

COMM - FBI
JUN 1 1955
MAILED 20

LRH:ilw
67-101010
(3)

Based on Seattle memo 5/16/55 TBE:eon.

Salutation per personnel file.

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

58 JUN 7 1955

RECEIVED

1. Agency and organizational designations	2. Pay [illegible]	3. Block No.	4. Slip No.
U.S. Department of Justice Federal Bureau of Investigation			30769

5. Employee's name (and social security account number when appropriate): RICHARD D. AUBREACH 06138 [illegible] IA

6. Grade and salary: GS 16, $12,400

PAY ROLL CHANGE DATA

	BASE PAY	OVERTIME		GROSS PAY	RET.	TAX	BOND	F. I. C. A.			NET PAY
7. Previous normal											
8. New normal											
9. Pay this period											

10. Remarks:

11. Appropriation(s): 71

12. Prepared by

13. Audited by

☒ Periodic step-increase ☐ Pay adjustment ☐ Other step-increase

14. Effective date	15. Date last equivalent increase	16. Old salary rate	17. New salary rate	18. Performance rating is satisfactory or better.
7-17-55	1-17-54	$12,200	$12,400	(Signature or other authentication)

19. LWOP date (Fill in appropriate spaces covering LWOP during following periods):
Period(s):
☒ No excess LWOP. Total excess LWOP

(Check applicable box in case of excess LWOP)
☐ In pay status at end of waiting period.
☐ In LWOP status at end of waiting period.

Initials of Clerk

STANDARD FORM NO. 1126d—Revised
Form prescribed by Comp. Gen., U. S.
Nov. 8, 1950, General Regulations No. 102

41 JUL 13 1955

PAY ROLL CHANGE SLIP—PERSONNEL COPY

☆ U. S. GOVERNMENT PRINTING OFFICE 1952—246171

Standard Form 88
(Rev. Aug. 1950)
PROMULGATED BY
BUREAU OF THE BUDGET
CIRCULAR A-24

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, Richard Dexter	Special Agent-in-Charge	

4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	5. PURPOSE OF EXAMINATION	6. DATE OF EXAMINATION
6056 - 35th NE., Seattle, Washington	Annual	25 August 55

7. SEX	8. RACE	9. TOTAL YRS. GOVT. SERVICE (MILITARY / CIVILIAN)	10. DEPARTMENT, AGENCY, OR SERVICE	11. ORGANIZATION UNIT
Male	Cauc.	10 / 15	Justice Department	Seattle,

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8-18-11	Mass.	

15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
NAS, Seattle, Washington	

17. RATING OR SPECIALTY — TIME IN THIS CAPACITY: TOTAL — LAST SIX MONTHS

CLINICAL EVALUATION

NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)

NORMAL	ABNORMAL	(Check each item in appropriate column; enter "N.E." if not evaluated)
X		18. HEAD, FACE, NECK, AND SCALP
X		19. NOSE
X		20. SINUSES
	X	21. MOUTH AND THROAT
X		22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)
X		23. DRUMS (Perforation)
X		24. EYES—GENERAL (Visual acuity and refraction under items 59, 60, and 61)
X		25. OPHTHALMOSCOPIC
X		26. PUPILS (Equality and reaction)
X		27. OCULAR MOTILITY (Associated parallel movements, nystagmus)
X		28. LUNGS AND CHEST (Include breasts)
X		29. HEART (Thrust, size, rhythm, sounds)
X		30. VASCULAR SYSTEM (Varicosities, etc.)
X		31. ABDOMEN AND VISCERA (Include hernia)
X		32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate if indicated)
X		33. ENDOCRINE SYSTEM
X		34. G-U SYSTEM
X		35. UPPER EXTREMITIES (Strength, range of motion)
X		36. FEET
X		37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)
	X	38. SPINE, OTHER MUSCULOSKELETAL
X		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS
X		40. SKIN, LYMPHATICS
X		41. NEUROLOGIC (Equilibrium tests under item 72)
X		42. PSYCHIATRIC (Specify any personality deviation)
Females only		(Check how done)
		43. PELVIC ☐ VAGINAL ☐ RECTAL

Entered on Card 9-14-55 Pers

3 det abs

RECORDED - 138 67-101010-298

138 ENCL

Searched 30
(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)

O.—Restorable teeth
I.—Nonrestorable teeth
X.—Missing teeth
XXX.—Replaced by dentures
(6 X 8).—Fixed bridge, brackets to include abutments

RIGHT	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	LEFT
	X				X								X			X
	32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	
	X															X

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES

Qualified
Type 3
Class 1

SEP 2 1955
FEDERAL BUREAU OF INVESTIGATION

LABORATORY FINDINGS

45. URINALYSIS: SP. GR. 1.018			46. CHEST X-RAY (Place, date, film number, result)	47. SEROLOGY (Specify test used and result)
ALBUMIN	SUGAR	MICROSCOPIC	NAS, Seattle, Washington #0575, Negative, 8-25-55	Kahn: Negative
Neg.	Neg.			

48. EKG	49. BLOOD TYPE AND RH FACTOR	50. OTHER TESTS
Normal Attached	"O" Positive	None

74 SEP 26 1955

THREE

16-62288-1

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT	52. WEIGHT	53. COLOR HAIR	54. COLOR EYES	55. BUILD: SLENDER ☒ MEDIUM ☐ HEAVY ☐ OBESE ☐	56. TEMP.
72"	154	Grey	Brown		Normal

57. BLOOD PRESSURE (*Arm at heart level*) SEP 7 9 06 AM '55

SITTING SYS.	SITTING DIAS.	RECUMBENT SYS.	RECUMBENT DIAS.	STANDING (3 min.) SYS.	STANDING (3 min.) DIAS.
120	76				78

58. PULSE (*Arm at heart level*)

SITTING	AFTER EXERCISE	2 MIN. AFTER	RECUMBENT	AFTER STANDING 3 MIN.
80	112	90		

RECD PERSONNEL SECTION

59. DISTANT VISION		60. REFRACTION		61. NEAR VISION	
RIGHT 20/ 20	CORR. TO 20/	BY	CX	12-36	CORR. TO BY
LEFT 20/ 20	CORR. TO 20/	BY	CX	12-36	CORR. TO BY

62. HETEROPHORIA: (*Specify distance*) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD

63. ACCOMMODATION	64. COLOR VISION (*Test used and result*)	65. DEPTH PERCEPTION (*Test used and score*)	UNCORRECTED
RIGHT LEFT	Normal Farnsworth		CORRECTED

66. FIELD OF VISION	67. NIGHT VISION (*Test used and score*)	68. RED LENS	69. INTRAOCULAR TENSION

70. HEARING

RIGHT WV 15 /15 SV 15 /15

LEFT WV 15 /15 SV 15 /15

71. AUDIOMETER

	250 256	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192	
RIGHT								
LEFT								

72. PSYCHOLOGICAL AND PSYCHOMOTOR (*Tests used and score*)

73. NOTES (*Continued*) AND SIGNIFICANT OR INTERVAL HISTORY

#21. Tonsils, Surgically Absent. NCD

#38. Scoliosis, very slight. NCD

(*Use additional sheets of plain paper if necessary*)

74. SUMMARY OF DEFECTS AND DIAGNOSES (*List diagnoses with item numbers*)

#21. & 38. NCD

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (*Specify*)

None

76. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (*Check*)
☒ IS
☐ IS NOT QUALIFIED FOR Annual Physical Examination.

PHYSICAL CATEGORY

A	B	C	E

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

79. TYPED OR PRINTED NAME OF PHYSICIAN [redacted] CDR, MC, USN — SIGNATURE [redacted] b6 b7C

80. TYPED OR PRINTED NAME OF PHYSICIAN — SIGNATURE

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (*Indicate which*) [redacted] LTJG, DC, USNR-R

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY — NUMBER OF ATTACHED SHEETS

U[illegible]ERNMENT PRINTING OFFICE: 1953—O-243413 16—62288-1

AUERBACH, Richard Dexter SAC FBI

ATTACHMENT TO STANDARD FORM 88
(Revised July 21, 1952)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67	
3	68	
11	69	
14	71	(unless other examination indicates desirable)
17		
62		
65	72	

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X-ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee is (is or is not) qualified for strenuous physical exertion. (Designate which)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

No No

If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64 and 70 PERTAINING TO VISUAL ACUITY, COLOR VISION, AND HEARING BE COMPLETED IN DETAIL.

[redacted] b6 b7C
(Signature of Medical Examiner)

25 August 1955
(Date)

ENCLOSURE 67-101010-298

March 6, 1956

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

I certainly want to express to you and to all the participating agent personnel of your office my sincere, personal commendation for the handling of the Theft from Interstate Shipment case involving Artie Franklin Adams and others.

It is evident from the highly favorable results achieved that you afforded this matter unusually effective supervision and that everyone assigned to the investigation, particularly the well-organized operation of February 22 and 23, 1956, performed with exemplary teamwork and attention to duty. Please convey my appreciation to the employees of your office for the credit they have brought to the Bureau and for their devotion to duty in willingly sacrificing their own time during a holiday period.

Sincerely yours,

J. Edgar Hoover

MOL:mol
(3)
Based on Seattle memo 2/24/56 RDA:eon

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

MAILED 8
MAR 6 1956
COMM-FBI

RECORDED-135

RECEIVED READING ROOM
MAR 6 4 58 PM '56
FBI

INDEXED-135

MAR 9 1956
FEDERAL BUREAU OF INVESTIGATION

STANDARD FORM 50
REV. APRIL 1951
PROMULGATED BY
U. S. CIVIL SERVICE COMMISSION
CHAPTER R1, FEDERAL PERSONNEL MANUAL

U. S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON 25, D. C.

FORM APPROVED
BUDGET BUREAU NO. 50-R064

Prepared by:
Checked by:
Filed by:

NOTIFICATION OF PERSONNEL ACTION

1. NAME (MR.-MISS-MRS.-FIRST-MIDDLE INITIAL-LAST)	2. DATE OF BIRTH	3. JOURNAL OR ACTION NO.	4. DATE
MR. RICHARD D. AUERBACH 04138	8-18-11	F.B.I. 2721	11-25-55

This is to notify you of the following action affecting your employment:

5. NATURE OF ACTION (USE STANDARD TERMINOLOGY)	6. EFFECTIVE DATE	7. CIVIL SERVICE OR OTHER LEGAL AUTHORITY
PROMOTION	12-4-55	EXCEPTED BY LAW

FROM		TO
Special Agent	8. POSITION TITLE	SA (Special Agent in Charge)
GS 16 $13,330 per annum	9. SERVICE, SERIES, SALARY, GRADE	GS 17 $13,975 per annum
	10. ORGANIZATIONAL DESIGNATIONS	
	11. HEADQUARTERS	
[F] FIELD [ ] DEPARTMENTAL	12. FIELD OR DEPT'L	[F] FIELD [ ] DEPARTMENTAL

13. VETERAN'S PREFERENCE						14. POSITION CLASSIFICATION ACTION			
NONE	WW II	OTHER	5-PT.	10-POINT DISAB.	10-POINT OTHER	NEW	VICE	I. A.	REAL.
X								X	X

15. SEX	16. APPROPRIATION S. & E., F B I	17. SUBJECT TO C. S. RETIREMENT ACT (YES-NO)	18. DATE OF APPOINTMENT AFFIDAVITS (ACCESSIONS ONLY)	19. LEGAL RESIDENCE
M	18. FROM: 18. TO: SAME	YES		[ ] CLAIMED [ ] PROVED STATE:

APPROVED
J. Edgar Hoover
DIRECTOR, F. B. I.

55-

REMARKS:
Grade and classification of position subject to post-audit and correction by Agency Personnel Office or by the Civil Service Commission.

Effective 3-13-55 basis for this position is Public Law #94, 84th Congress.

NOV 29 1955

SIGNATURE OR OTHER AUTHENTICATION

☆ U. S. GOVERNMENT PRINTING OFFICE: 1955 — 344333

1. PERSONNEL FILE

April 10, 1956

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

I have reviewed your letter of March 26, 1956, and have noted the splendid efforts being made by the Seattle Division to identify certain individuals of interest to the Bureau in the investigation of internal security matters.

I do not want the opportunity to pass without expressing to you and through you to the personnel involved my personal appreciation for the fine accomplishments of your division in this field of endeavor which, I am fully aware, have been brought about through the initiative, foresight and alertness which they demonstrated. Please extend to them my personal thanks for a job well done.

Sincerely yours,

J. Edgar Hoover

COMM — FBI
APR 10 1956
MAILED 26

APR 10 11 10 AM '56
RECEIVED READING ROOM
F B I

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

LRH:rhr
67-101010
(3)

Based on memo Belmont to Boardman 4/4/56 CCL:dde.
Seattle letter sets forth efforts to identify the membership of the Communist Party in that division.

1 copy auto 4-17-56

APR 17 1956

67-101010-308

MAR 15 1956
FEDERAL BUREAU OF INVESTIGATION

May 7, 1956

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

It is with pleasure that I advise you in this way of my appreciation for the fine work done by Seattle personnel in the Interstate Transportation of Obscene Matter case involving [redacted] and others.

b6
b7C

I realize that this was an important and sometimes difficult investigation and feel that the agents assigned to it performed with exemplary alertness, skill and devotion to duty. Please express my personal commendation to everyone who contributed to the success achieved.

Sincerely yours,
J. Edgar Hoover

MOL:mal
67-101010
(9)
Based on memo Price to Rosen 5/2/56 RFS:mdriesen

CC: Personnel Files of SAs

[redacted]

Willard Ralston

[redacted]

[redacted]

Tolson
Nichols
Boardman
Belmont
Mason
Mohr
Parsons
Rosen
Tamm
Nease
Winterrowd
Tele. Room
Holloman
Gandy

COMM — FBI
MAY - 8 1956
MAILED 31

MAY 7 1956
RECEIVED READING ROOM
U.S. DEPT. OF JUSTICE

101010-3909

Standard Form 88
(Rev. Aug. 1950)
PROMULGATED BY
BUREAU OF THE BUDGET
CIRCULAR A-24

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, RICHARD D.	SAC	

4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	5. PURPOSE OF EXAMINATION	6. DATE OF EXAMINATION
1015 Second Ave.,Seattle, Washington	Annual	8-15-56

7. SEX	8. RACE	9. TOTAL YRS. GOVT. SERVICE MILITARY	CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE	11. ORGANIZATION UNIT
M	Cauc	10	16	Justice Department	Seattle, Washington

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8-18-11	Mass.	

15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
USNAS, Seattle, Washington	Rel:

17. RATING OR SPECIALTY — TIME IN THIS CAPACITY: TOTAL — LAST SIX MONTHS

CLINICAL EVALUATION

NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)

NORMAL	ABNORMAL	(Check each item in appropriate column; enter "N. E." if not evaluated)
X		18. HEAD, FACE, NECK, AND SCALP
X		19. NOSE
X		20. SINUSES
X		21. MOUTH AND THROAT
X		22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)
X		23. DRUMS (Perforation)
X		24. EYES—GENERAL (Visual acuity and refraction under items 59, 60, and 61)
X		25. OPHTHALMOSCOPIC
X		26. PUPILS (Equality and reaction)
X		27. OCULAR MOTILITY (Associated parallel movements, nystagmus)
X		28. LUNGS AND CHEST (Include breasts)
X		29. HEART (Thrust, size, rhythm, sounds)
X		30. VASCULAR SYSTEM (Varicosities, etc.)
X		31. ABDOMEN AND VISCERA (Include hernia)
X		32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate if indicated)
X		33. ENDOCRINE SYSTEM
X		34. G-U SYSTEM
X		35. UPPER EXTREMITIES (Strength, range of motion)
X		36. FEET
X		37. LOWER EXTREMITIES (Except feet) (Strength range of motion)
	X	38. SPINE, OTHER MUSCULOSKELETAL
X		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS
X		40. SKIN, LYMPHATICS
X		41. NEUROLOGIC (Equilibrium tests under item 72)
X		42. PSYCHIATRIC (Specify any personality deviation)
Females only		(Check how done)
		43. PELVIC ☐ VAGINAL ☐ RECTAL

4 ENCLOSURE

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)

O.—Restorable teeth
I.—Nonrestorable teeth
X.—Missing teeth
XXX.—Replaced by dentures
(6 X 8).—Fixed bridge, brackets to include abutments

RIGHT																	LEFT
	X	2	3	X	5	6	7	8	9	10	11	12	X	14	15	X	
	32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	
	X															X	

RECORDED 148

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES

Type III
07—101 010—310
Class I
Qualified

Entered on Card

LABORATORY FINDINGS

45. URINALYSIS: SP. GR. 1.020

ALBUMIN	SUGAR	MICROSCOPIC
Neg.	Neg.	

46. CHEST X-RAY (Place, date, film number, result)
J S JELLI
#01202 Negative 8-15-56

47. SEROLOGY (Specify test used and result)
1 SEP 7 1956
Kahn: Negative

48. EKG
8-15-56
Normal

49. BLOOD TYPE AND RH FACTOR
O RH Pos

50. OTHER TESTS
None

82 SEP 20 1956 145

THREE

16—62288-1

9-14-56 — RWB jrm

SEP 19 1956

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT	52. WEIGHT	53. COLOR HAIR	54. COLOR EYES	55. BUILD: SLENDER ☐ MEDIUM ☒ HEAVY ☐ OBESE ☐	56. TEMP.
72	165	Brown	Brown		Normal

57. BLOOD PRESSURE (*Arm at heart level*)

SITTING SYS.	SITTING DIAS.	RECUMBENT SYS.	RECUMBENT DIAS.	STANDING (3 min.) SYS.	STANDING (3 min.) DIAS.
110	70			118	70

58. PULSE (*Arm at heart level*)

SITTING	AFTER EXERCISE	2 MIN. AFTER	RECUMBENT	AFTER STANDING 3 MIN.
72	88	80		

59. DISTANT VISION	60. REFRACTION	61. NEAR VISION
RIGHT 20/ 25 CORR. TO 20/ 20	BY Pinhole CX	12/36 CORR. TO BY
LEFT 20/ 20 CORR. TO 20/	BY S. CX	12/36 CORR. TO BY

62. HETEROPHORIA: (*Specify distance*) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD

63. ACCOMMODATION	64. COLOR VISION (*Test used and result*)	65. DEPTH PERCEPTION (*Test used and score*)	UNCORRECTED / CORRECTED
RIGHT LEFT	Passed FaLant		

66. FIELD OF VISION	67. NIGHT VISION (*Test used and score*)	68. RED LENS	69. INTRAOCULAR TENSION

70. HEARING

RIGHT WV	15/15 SV	15/5
LEFT WV	15/15 SV	15/5

71. AUDIOMETER

	250 256	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192
RIGHT	15	15	10	10		10	10
LEFT	10	5	5	0		15	10

72. PSYCHOLOGICAL AND PSYCHOMOTOR (*Tests used and score*)

73. NOTES (*Continued*) AND SIGNIFICANT OR INTERVAL HISTORY

Interval History Negative

#38. Slight scolisois of spine persists, asymptomatic. NCD

(*Use additional sheets of plain paper if necessary*)

74. SUMMARY OF DEFECTS AND DIAGNOSES (*List diagnoses with item numbers*)

#38. NCD

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (*Specify*)

None

76. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (*Check*) ☒ IS ☐ IS NOT QUALIFIED FOR Annual FBI Strenuous Physical Exertion and use of Firearms.

PHYSICAL CATEGORY

A	B	C	E

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

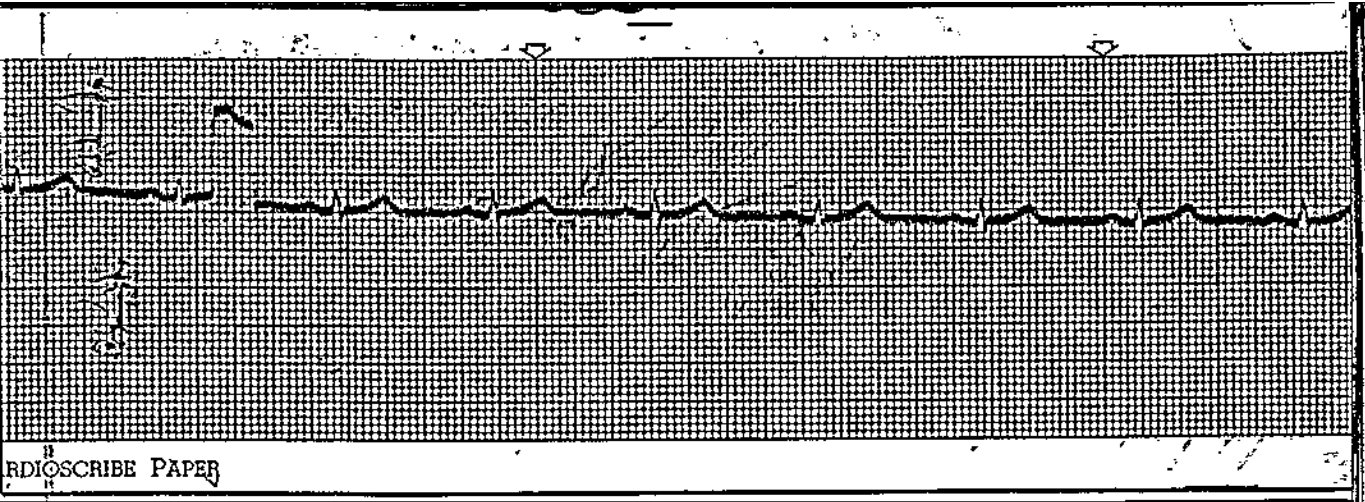
79. TYPED OR PRINTED NAME OF PHYSICIAN [redacted] LT MC USNR R — SIGNATURE [redacted] b6 b7C

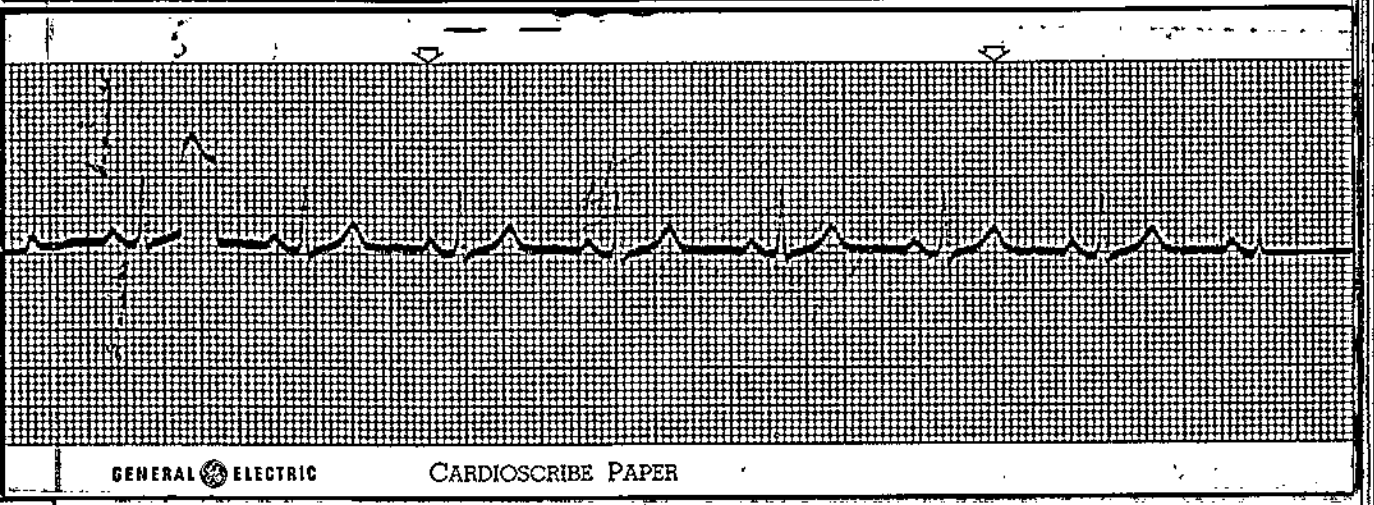
80. TYPED OR PRINTED NAME OF PHYSICIAN — SIGNATURE

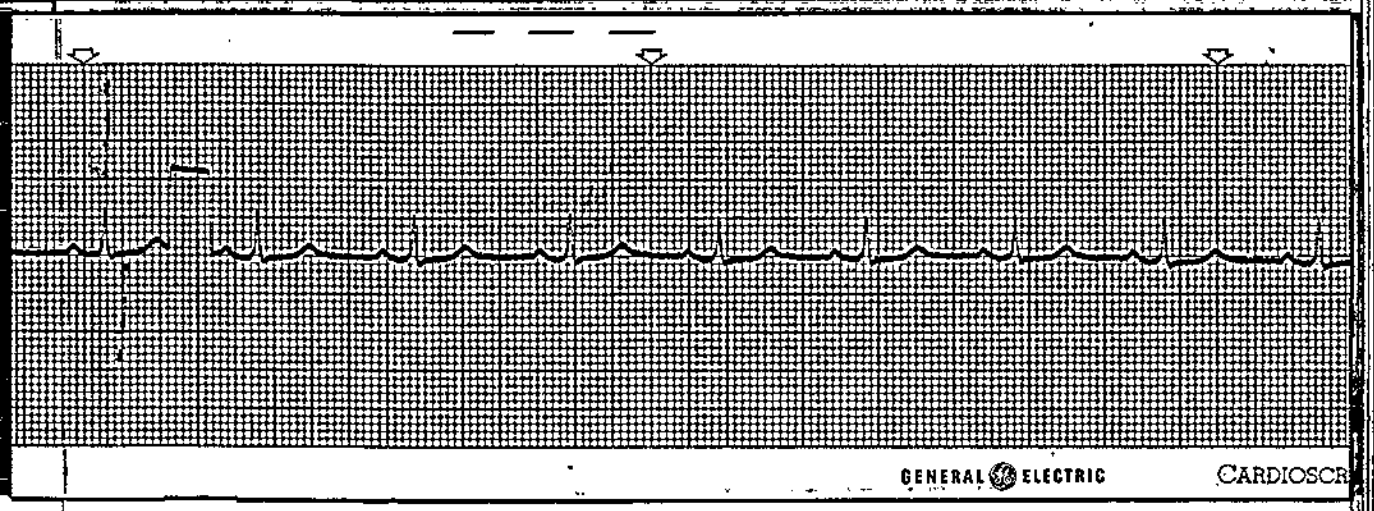
81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (*Indicate which*) [redacted] LT DC USNR R

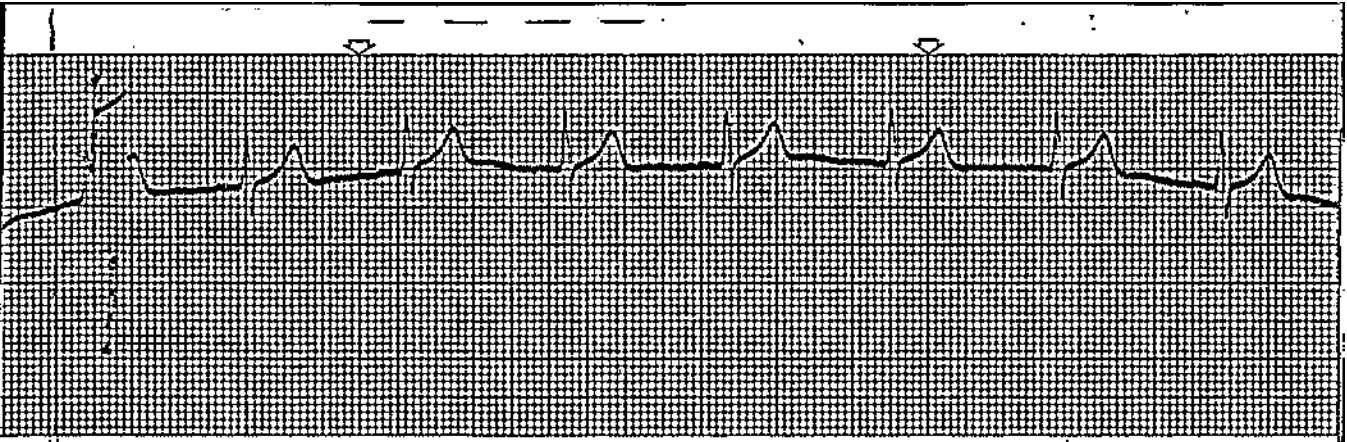
82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY — NUMBER OF ATTACHED SHEETS

U[redacted]RNMENT PRINTING OFFICE : 1953—O-243413 16—62288-1


RDIOSCRIBE PAPER


GENERAL ELECTRIC
CARDIOSCRIBE PAPER


GENERAL ELECTRIC
CARDIOSCR


GENERAL ELEC

Standard Form 520
Promulgated August 1948
By Bureau of the Budget
Circular A—32

CLINICAL RECORD	ELECTROCARDIOGRAPHIC REPORT	PREVIOUS ECG ☐ YES ☐ NO

CLINICAL IMPRESSION

MEDICATION

☐ EMERGENCY ☐ BEDSIDE
☐ ROUTINE ☐ AMBULANT

AGE	SEX	RACE	HEIGHT	WEIGHT	B. P.	SIGNATURE OF WARD PHYSICIAN	DATE
45	M	C	72	154	128/76		8/15/56

RHYTHM: NSR

AXIS DEVIATION (QRS): —

RATES: AURIC. 68 VENT. 68

INTERVALS: PR .16 QRS .06 QT .32

P WAVES: ↑

QRS COMPLEXES: N

RS—T SEGMENT: Isoelectric

T WAVES: ↑

PRECORDIAL LEADS (Specify): CF4 — N

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

Normal ECG

NO. ECG	SIG		TITLE	DATE
			JT MC USNR	8-16-56

b6
b7C

MOUNT TRACINGS HERE

(Continue on reverse)

PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME	REGISTER NO.	WARD NO.
Ausebach, Richard D FBI.		

ELECTROCARDIOGRAPHIC REPORT
Standard Form 520

(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)

U. S. GOVERNMENT PRINTING OFFICE 16—56209-2

ENCLOSURE

AUERBACH, Ri[redacted]rd D. FBI [redacted]JAL

ATTACHMENT TO STANDARD FORM 88
(Revised July 21, 1952)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67
3	68
11	69
14	72
17	
62	
65	

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X-ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee _____ qualified for strenuous
(is or is not)
physical exertion. (Designate which)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

_____

If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64, and 60 PERTAINING TO VISUAL ACUITY, COLOR VISION, AND HEARING BE COMPLETED IN DETAIL.

[redacted] b6
(Designation of Medical Examiner) b7C

8-15-56
(Date)

67-101010-310

ENCLOSURE

November 29, 1956

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Auerbach:

I want to express to you my sincere appreciation for the exceptionally fine work done by personnel of the Seattle Office in the development of a highly confidential and valuable source of information of considerable interest to the Bureau in the security field.

It is apparent from the successful results achieved in this delicate operation that you afforded it your close, personal supervision and guidance. Please accept my personal thanks for an especially fine piece of work.

Sincerely yours,

J. Edgar Hoover

LRH:hwc
67-101010
(3)

101010-31

re ANAGRAM-J

Based on memo Belmont to Boardman 11/15/56 HWS:aeb and Addendum of Administrative Division CRD:jas 11/21/56
Salutation per Reading Room.

Tolson
Nichols
Boardman
Belmont
Mason
Mohr
Parsons
Rosen
Tamm
Nease
Winterrowd
Tele. Room
Holloman
Gandy

MAILED 2
NOV 29 1956
COMM-FBI

18 DEC 11 1956

☆ U. S. GOVERNMENT PRINTING OFFICE: 1952 - 897374

1. Agency and organizational designations	2. Pay roll p	3. Block No.	4. Slip No.
F.B.I., U. S. Dept. of Justice			5032

5. Employee's name (and social security account number when appropriate)	6. Grade and salary
MR. RICHARD D. AUERBACH SA(SAC) 04138	GS 17 $14,190

PAY ROLL CHANGE DATA

	BASE PAY	OVERTIME		GROSS PAY	RET.	TAX	BOND	F. I. C. A.			NET PAY
7. Previous normal											
8. New normal											
9. Pay this period											

10. Remarks:

MAY 17 1957

11. Appropriation(s): 4/

12. Prepared by

13. Audited by

☒ Periodic step-increase ☐ Pay adjustment ☐ Other step-increase

14. Effective date	15. Date last equivalent increase	16. Old salary rate	17. New salary rate	18. Performance rating is satisfactory or better.
6-2-57	12-4-55	$13,975	$14,190	(Signature or other authentication)

19. LWOP data (Fill in appropriate spaces covering LWOP during following period(s):
Period(s):

☒ No excess LWOP. Total excess LWOP

(Check applicable box in case of excess LWOP)
☐ In pay status at end of waiting period.
☐ In LWOP status at end of waiting period.

IA/mjb Initials of Clerk

NOT RECORDED

STANDARD FORM NO. 1126d—Revised
Form prescribed by Comp. Gen., U. S.
Nov. 8, 1950, General Regulations No. 102

PAY ROLL CHANGE SLIP—PERSONNEL COPY

3/1/(C)

May 10, 1957

Personal and ~~Confidential~~

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

I want to commend you for your excellent over-all supervision and the agents who participated in the exemplary investigation conducted of the Bank Robbery case involving [redacted] and [redacted]

b6
b7C

This was a most complicated and involved case and I am proud of the splendid results realized through the untiring and concentrated efforts of those who participated. Please express my appreciation to the agents who assisted in this case.

MAILED 5
MAY 10 1957
COMM-FBI

Sincerely yours,
J. Edgar Hoover

RECORDED

REC'D-HEADING ROOM
MAY 10 3 15 PM '57
F B I

CC: SAC, Seattle (Personal Attention)
Place copy of this letter in personnel files of all participating employees, except those individually commended.

Tolson
Nichols
Boardman
Belmont
Mohr
Parsons
Rosen
Tamm
Trotter
Nease
Tele. Room
Holloman
Gandy

HWC:hwc
(4)
Based on Seattle memo 5/1/57 RDA:eon and Addendum of Administrative Div., LRH:hwc 5/10/57.

August 23, 1957

Personal Attention

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Seattle, Washington

Dear Mr. Auerbach:

I want to convey my commendation to the agents who assisted in the preparation of certain material of a confidential nature of importance to the Bureau in the security field.

The information furnished by your office reflects excellent organization and a detailed presentation of facts and ideas. The very fine work done is an indication of the diligent and thorough fashion in which this material was compiled and prepared and I wish you would express my appreciation to those who assisted.

Sincerely yours,

101 010-319
27

8-28-57

CC: SAC, Seattle (Personal Attention)
Re Survey of Selected Offices for Techniques of Establishing Membership in Basic Revolutionary Organizations
Central Research Matter. Place copy of this letter in personnel files of participating agents.
HWC:hwc
(4)

Tolson
Nichols
Boardman
Belmont
Mohr
Parsons
Rosen
Tamm
Trotter
Nease
Tele. Room
Holloman
Gandy

9-13-57

Rmk

Standard Form 88
(Rev. Aug. 1950)
PROMULGATED BY
BUREAU OF THE BUDGET
CIRCULAR A-24

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, Richard Dexter	Spec. in Charge	
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	**5. PURPOSE OF EXAMINATION**	**6. DATE OF EXAMINATION**
1015 2nd Ave Seattle, Washington	Annual	8-21-57

7. SEX	8. RACE	9. TOTAL YRS. GOVT. SERVICE MILITARY	CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE	11. ORGANIZATION UNIT
Male	Cauc.	10yrs	18yrs	Justice Dept	Seattle, Washington

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8-19-11	Mass	

15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
NAS Seattle, Washington	

17. RATING OR SPECIALTY — TIME IN THIS CAPACITY: TOTAL — LAST SIX MONTHS

CLINICAL EVALUATION

NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)

NORMAL	ABNORMAL	(Check each item in appropriate column; enter "N. E" if not evaluated)
X		18. HEAD, FACE, NECK, AND SCALP
X		19. NOSE
X		20. SINUSES
X		21. MOUTH AND THROAT
X		22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)
X		23. DRUMS (Perforation)
X		24. EYES—GENERAL (Visual acuity and refraction under items 59, 60, and 61)
X		25. OPHTHALMOSCOPIC
X		26. PUPILS (Equality and reaction)
X		27. OCULAR MOTILITY (Associated parallel movements, nystagmus)
X		28. LUNGS AND CHEST (Include breasts)
X		29. HEART (Thrust, size, rhythm, sounds)
X		30. VASCULAR SYSTEM (Varicosities, etc.)
X		31. ABDOMEN AND VISCERA (Include hernia)
X		32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate if indicated)
X		33. ENDOCRINE SYSTEM
X		34. G-U SYSTEM
X		35. UPPER EXTREMITIES (Strength, range of motion)
X		36. FEET
X		37. LOWER EXTREMITIES (Except feet) (Strength range of motion)
X		38. SPINE, OTHER MUSCULOSKELETAL
X		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS
X		40. SKIN, LYMPHATICS
X		41. NEUROLOGIC (Equilibrium tests under item 72)
X		42. PSYCHIATRIC (Specify any personality deviation)
Females only		(Check how done)
		43. PELVIC ☐ VAGINAL ☐ RECTAL

Det 3 physexam EKG wp. SFPP now

ENCLOSURE

67-101 010-322

Searched

(Continue in item 73)

Numbered

RECORDED

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)

O.—Restorable teeth
I.—Nonrestorable teeth
X.—Missing teeth
XXX.—Replaced by dentures
(6 X 8).—Fixed bridge, brackets to include abutments

RIGHT	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	LEFT
	X				X							X				X	
	32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	
	X															X	

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES

Class 1
Type 952
Qualified

6 SEP 1957

LABORATORY FINDINGS

45. URINALYSIS: SP. GR. 1.012			46. CHEST X-RAY (Place, date, film number, result)	47. SEROLOGY (Specify test used and result)
ALBUMIN	SUGAR	MICROSCOPIC		
Neg	Neg		# C 2250 Neg 8-21-57	Kahn Neg
48. EKG	49. BLOOD TYPE AND RH FACTOR		50. OTHER TESTS	
Normal	"O" Pos			

7 OCT 3 1957

16—62288-1

OCT 2 1951

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT	52. WEIGHT	53. COLOR HAIR	54. COLOR EYES	55. BUILD: SLENDER / MEDIUM / HEAVY / OBESE	56. TEMP.
72" I	161	Gray Brown	Brown	☐ ☒ ☐ ☐	98.6°

57. BLOOD PRESSURE (*Arm at heart level*)

SITTING SYS.	SITTING DIAS.	RECUMBENT SYS.	RECUMBENT DIAS.	STANDING (3 min.) SYS.	STANDING (3 min.) DIAS.
106	80			110	86

58. PULSE (*Arm at heart level*)

SITTING	AFTER EXERCISE	2 MIN. AFTER	RECUMBENT	AFTER STANDING 3 MIN.
80	100	80		

59. DISTANT VISION	60. REFRACTION	61. NEAR VISION
RIGHT 20/ 30 CORR. TO 20/ 20 Pinhole	BY S. CX	8 36 CORR. TO BY
LEFT 20/ 20 CORR. TO 20/	BY S. CX	8 36 CORR. TO BY

62. HETEROPHORIA: (*Specify distance*) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD

63. ACCOMMODATION	64. COLOR VISION (*Test used and result*)	65. DEPTH PERCEPTION (*Test used and score*)	UNCORRECTED / CORRECTED
RIGHT LEFT	Passed Falant		

66. FIELD OF VISION	67. NIGHT VISION (*Test used and score*)	68. RED LENS	69. INTRAOCULAR TENSION

70. HEARING

RIGHT WV 15 /15 SV 15 /15

LEFT WV 15 /15 SV 15 /15

71. AUDIOMETER

	250 256	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192	
RIGHT	5	5	-10	-10		5	5	
LEFT	-5	-5	-10	-10		0	10	

72. PSYCHOLOGICAL AND PSYCHOMOTOR (*Tests used and score*)

73. NOTES (*Continued*) AND SIGNIFICANT OR INTERVAL HISTORY

Interval History Neg.

REC'D - ADMIN. DIV. F B I 8 45 AM '57

REC'D - ADMIN. DIV. F B I 3 01 PM '57 SEP 18

(*Use additional sheets of plain paper if necessary*)

74. SUMMARY OF DEFECTS AND DIAGNOSES (*List diagnoses with item numbers*)

None

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (*Specify*)

None

76. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (*Check*) ☒ IS ☐ IS NOT QUALIFIED FOR Annual FBI

PHYSICAL CATEGORY

A	B	C	E

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

79. TYPED OR PRINTED NAME OF PHYSICIAN [redacted] LT MC USNR-R — SIGNATURE [redacted]

80. TYPED OR PRINTED NAME OF PHYSICIAN — SIGNATURE

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (*Indicate which*) [redacted] LT DC USNR-R — SIGNATURE [redacted]

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY — SIGNATURE — NUMBER OF ATTACHED SHEETS

b6
b7C

U. S. GOVERNMENT PRINTING OFFICE: 1953—O-243413 16—62285-1

ERBACH, Richard D.

ATTACHMENT TO STANDARD FORM 88
(Revised July 21, 1952)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67
3	68
11	69
14	72
17	
62	
65	

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X-ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee is (is or is not) qualified for strenuous physical exertion. (Designate which)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms? no

If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64, and 60 PERTAINING TO VISUAL ACUITY, COLOR VISION, AND HEARING BE COMPLETED IN DETAIL.

[redacted] b6 b7C

(Signature of Medical Examiner)

8-2157

(Date)

ENCLOSURE

67-101010-322

October 3, 1957

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I want to take this means to extend to the agents of the Chicago Division my sincere appreciation for the excellent manner in which they carried out their responsibilities in connection with the Interstate Transportation of Stolen Property case involving [redacted] [redacted] and others.

I am aware of the many difficulties encountered and overcome by the participating agents, and realize it was only through the efficient and resourceful manner in which each agent carried out his duties that the excellent results were achieved. I am most appreciative of a job well done and would you would extend my appreciation and commendation to each agent who participated.

Sincerely yours,

HW. TOLSON

1 - SAC, Chicago (Personal Attention)
Reurmemo 9-25-57 captioned "[redacted] was. - FUGITIVE; [redacted] ITSP."
Place copy of this letter in personnel files of participating agents other than SA McCormick who was individually commended.
1 - Personnel files of SAs [redacted] Steve D. Evans, [redacted] John B.

JAD:let
(29)

b6
b7C

OCT 4 1957
REC'D READING ROOM
FBI

PRESIDENT TO THE DIRECTOR FOR SIGNATURE AND MAIL ROOM

Tolson
Nichols
Boardman
Belmont
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Parsons
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Trotter
Nease
Tele. Room
Holloman
Gandy

MAIL ROOM

b6
b7C

Harrington, [redacted] Walter M. Higgs, [redacted] Edward Kinzer, Robert L. Malone, [redacted] John J. Matthews, [redacted] Frank L. Mellott, Marlin H. Moore, John C. Noonan, Jr., Bradner C. Riggs, John W. Roberts, Jr., Dennis W. Shanahan, Joseph G. Shea, Francis J. Stefanak, [redacted] Joseph E. Ziel. William A. Meincke.

October 11, 1957

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 6-7-79 BY SP2 TAP/AHR

Dear Mr. Auerbach:

I want to take this means to commend you, and through you, the Assistant Special Agent in Charge, the supervisors, and the agents in the Chicago Office who participated so capably in the over-all investigation of an organization of utmost importance to the Bureau in the security field.

I am aware that this matter required superior direction, supervision, and investigative skills and the results attained attest to the display of such talents by personnel of your division. Please extend my thanks to those who participated in this highly important phase of our operations.

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)
Reurmemo 9-23-57 captioned "COMMUNIST PARTY, USA DISTRICT #8 - MEMBERSHIP, INTERNAL SECURITY - C."

CRD:let
(4)

MAILED 11
OCT 14 1957
COMM-FBI

Tolson
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MAIL ROOM

REC'D-READING ROOM
FBI
OCT 11 9 04 AM '57
OCT 12 1 23 PM

November 25, 1957

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I was impressed with the very capable handling by agents of your office of the Extortion case involving [redacted] and want you to let them know how sincerely appreciative I am of their fine work.

b6
b7C

101010-339

This case required the exercise of excellent judgment and long hours of painstaking work under adverse conditions on the part of the agents assigned to it. Each agent handled his individual assignment well and is certainly deserving of commendation.

MAILED 8
NOV 27 1957
COMM-FBI

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)

Reurlet 10-31-57. Although the agents recommended by you for individual letters of commendation did a very fine job, the Bureau does not feel that separate letters of commendation to them are warranted. Place copy of this letter in personnel files of following agents: Roswell T. Spencer, [redacted] George F. Gillem, Lloyd H. Nelson, Vincent L. Inserra, [redacted] Carl W. Hale, Paul B. Frankfurt, [redacted] William B. Hunter, Lester K. Esarey, [redacted] Francis W. Matthys, August C. Kempff.

CRD:let
(4)

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Tease
Tele. Room
Holloman
Gandy

MAIL ROOM

December 12, 1957

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

Recognition is certainly justified for the excellent work done by agents of the Chicago Office in the investigation of I. O. Fugitive [redacted] Bail Jumper, which contributed to his location and apprehension.

b6
b7C

101 010-341

Each agent carried out his individual assignments in an eager and enthusiastic fashion, notwithstanding the considerable personal inconveniences encountered. The interest and efforts demonstrated by all on this case contributed largely to the successful results attained and I wish you would extend my sincere gratitude to each who participated.

COMM - FBI
1957
MAILED 31

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in personnel files of participating employees other than those individually commended.

CRD:let
(4)

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Tele. Room
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Gandy

MAIL ROOM

February 28, 1958

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am writing to commend, through you, those agents of the Chicago Office who participated in the investigation of the National Bankruptcy Act case involving Tommy Meder's Wisconsin Packing Company and others. 101 010-343

I know that this case was a difficult one and the successful results achieved were realized because each agent carried out his individual assignment with care and diligence. Please extend my sincere appreciation to each participating agent.

MAILED 5
FEB 28 1958
COMM-FBI

Sincerely yours,
J. Edgar Hoover

FEB 20 3 15 PM '58
REC'D-READING ROOM
F B I

1 - SAC, San Francisco (Personal Attention)
Place copy of this letter in personnel file of SA [redacted]

b6
b7C

1 - SAC, Norfolk (Personal Attention)
Place copy of this letter in personnel file of SA John J. Landers.

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in personnel files of participating employees other than those individually commended.

LRH:cmt

Tolson
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Tele. Room
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8 MAR 10 1958
MAIL ROOM

March 14, 1958

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

MAR 14 3 04 PM '58
REC'D-READING ROOM
F B I

Dear Mr. Auerbach:

I am writing at this time to express my sincere appreciation to the agents who so ably participated in the investigations of the Bank Robbery cases involving [redacted] and others.

b6
b7C

It was most gratifying to note the splendid success achieved through the painstaking investigations conducted by these agents. Please convey my commendation to them for the high caliber of their services.

MAR 14 2 11 PM '58
REC'D-READING ROOM
F B I

COMM — FBI
MAR 14
MAILED

101010 - 34

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)

Please place copy of this letter in files of participating agents not individually commended, including Supervisor Roswell T. Spencer.

AFH:ksr
(10)

Place copy in personnel files of:

[redacted] Dennis W. Shanahan

George F. Gillem
[redacted] Roswell T. Spencer

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Nease
Tele. Room
Holloman
Gandy

MAIL ROOM

April 4, 1958

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I was certainly impressed with the effective work performed by agents of your division in making it possible for the Internal Revenue Service to serve a summons and complaint on Ralph James Capone which they had been endeavoring to do for the last six years without success.

The teamwork demonstrated by agents of the Milwaukee Division and your division in this instance and the alert, decisive action taken by them attest to their ability to carry out a difficult assignment of this nature. Each of them handled his assignment with distinction and I wish you would extend my sincerest congratulations and commendation to them for a job well done.

MAILED 5
APR 4 - 1958
COMM-FBI

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in files of participating agents.

CRDmfh
(10)
Place copies in personnel files of:
Roswell T. Spencer
[redacted]
Lester K. Esarey

[redacted]
August C. Kempff
Carl W. Hale

Tolson
Boardman
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Parsons
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Trotter
Clayton
Tele. Room
Holloman
Gandy

MAIL ROOM

b6
b7C

April 3, 1958

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am writing to express my appreciation to those agents in the Chicago Division who so ably participated in the apprehension of [redacted] and [redacted] while they were in the act of committing a burglary.

b6
b7C

62-101010-350

These agents exhibited noteworthy alertness, sound judgment and aggressive and decisive action in apprehending these men at the scene of the crime. I am aware of the difficulties which were encountered and want to commend the participating agents for the high caliber of their services. Please extend my expressions of gratitude to them.

COMM — FBI
APR - 3 1958
MAILED 25

APR 3 3 37 PM '58
REC'D-READING ROOM
FBI

Sincerely yours,

J. Edgar Hoover

John Edgar Hoover
Director

1 - SAC, Chicago (Personal Attention)

Bureau does not feel individual letters of commendation are warranted in this case. Place copy of this letter in files of participating agents.

AFH:ksr
(13)

Based on Addendum Administrative Division, 4-1-58, CRD:cmt, to Memo from SAC, Chicago to Director, FBI 3-24-58.

Place copies of this letter in personnel files of following agents:

(OVER)

Tolson
Nichols
Boardman
Belmont
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Parsons
Rosen
Tamm
Trotter
Nease
Tele. Room
Holloman
Gandy

MAIL ROOM

Richard D. Auerbach

James T. Moreland
John J. Oitzinger
Otto T. Handwerk
[redacted]

William B. Watts, Jr.
[redacted]
George H. Parfet
Robert J. Deneen
[redacted]

b6
b7C

April 28, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Auerbach:

I wanted to let you know how much I appreciate the excellent manner in which you and your personnel handled the departure from Chicago of [redacted] and [redacted] for New York and subsequently Rome, Italy.

b6
b7C

Your cooperation in this matter exemplifies capable and able administration on your part. Please accept my heartfelt thanks.

Sincerely,

J. Edgar Hoover

1 - Liaison Section

RECORDED - 138

Searched
Indexed
Serialized
Filed
FBI
APR 28 2 56 PM '58
RECORD-READING ROOM
353

NOTE: See Mr. Belmont to the Director memo dated 4/26/58, captioned "Cardinal Samuel A. Stritch, Information Concerning."
AHB:lmk

Tolson
Boardman
Belmont
Mohr
Nease
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Tamm
Trotter
Clayton
Tele. Room
Holloman
Gandy

CBF:blh
(4)

COMM — FBI
APR 28 1958
MAIL ROOM

MAIL ROOM

April 24, 1958

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am taking this means to commend you and the agents of the Chicago Division for the excellent manner in which the apprehension of Frank Aubrey Leftwich, a Top Ten fugitive and subject of an Unlawful Flight to Avoid Confinement-Assault with a Dangerous Weapon case, was handled.

The successful apprehension without incident of this badly wanted fugitive clearly demonstrates that each agent under your effective leadership carried out his assignment aggressively and resourcefully. Please extend to all agents who participated in this operation my sincere appreciation for a job very well done.

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)
Based upon information submitted by you Bureau does not feel individual letters of commendation warranted. Place copy of this letter in files of participating agents.

LRH:ksr
(13)

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Tele. Room
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MAIL ROOM

MAILED 9
APR 24 1958
COMM-FBI

354
67
RECD-RECORDS ROOM
APR 24 11 19 AM '58
FBI

Mr. Richard D. Auerbach

Place copies of this letter in personnel files of the following agents:

Roswell T. Spencer

[redacted]

Richard F. Allen

Joseph Sylvester

[redacted]

b6
b7C

May 8, 1958

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am writing to express my sincere appreciation, through you, to those agents of the Chicago Division who participated in the successful investigation of the Federal Reserve Act case involving Auranis Pappas and others.

This complex investigation was carried out in a smooth and competent manner under trying circumstances because each agent discharged his individual assignment with considerable intelligence and cooperativeness. Please extend my commendation to those agents who participated.

COMM — FBI
MAILED

67-355

RECORDED - 140

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)

Place copy of this letter in personnel files of all participating agents other than those individually commended.

LRH:cmt
(8)

b6
b7C

Place copy of this letter in files of following agents: [redacted] and [redacted]

Tolson
Boardman
Belmont
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Rosen
Tamm
Trotter
Clayton
Tele. Room
Holloman
Gandy

MAIL ROOM

July 23, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am writing to commend those agents of the Chicago Division who participated so capably in the investigation of the Bank Robbery case involving [redacted] and [redacted]

b6
b7C

Because each man discharged his individual assignments in a noteworthy manner, Hawley was located and apprehended, subsequently admitting his participation in this robbery. Please convey my sincere appreciation to all the participating agents for the effective and skillful fashion in which they discharged their duties.

MAILED
JUL 23 1958
COMM-FBI

JUL 23 10 47 AM '58
REC'D-READING ROOM
F B I

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)

Place copy of this letter in personnel files of all participating agents including Supervisor Roswell T. Spencer and with the exception of SA [redacted] who has been individually commended.

CMT:cmt
(13)

(OVER)

Tolson
Boardman
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Clayton
Tele. Room
Holloman
Gandy
W. C. Sullivan

MAIL ROOM

Place copy of this letter in personnel files of following agents:

[redacted]
Bryan J. Mogen
Lester K. Esarey
Dennis W. Shanahan
[redacted]
Thomas J. Green
[redacted]
Roswell T. Spencer
[redacted]

b6
b7C

August 8, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

It is a pleasure to commend, through you, the agents of the Chicago Office who participated in the investigation of the Extortion case involving [redacted]

b6
b7C

Each agent discharged his individual assignments competently and smoothly, thus effecting the subject's prompt apprehension. Please convey to all the participating agents my thanks for a job very well done.

Sincerely yours,

J. Edgar Hoover

AUG 8 3 07 PM '58
REC'D-READING ROOM
F B I

MAILED 25
AUG 8 1958
COMM-FBI

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in personnel files of all participating agents other than those individually commended.

CMT:cmt
(5)

Tolson
Boardman
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Trotter
Clayton
Tele. Room
Holloman
Gandy
W. C. Sullivan

MAIL ROOM

Place copy of this letter in personnel file of SA Roswell T. Spencer.

August 21, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am taking this means to commend the agents of the Chicago Division for the exceptionally fine work they have done in the development and handling of several confidential sources of information of great importance to the Bureau in the security field.

Despite the intricate nature of this phase of our operations, these agents have achieved highly successful results through the splendid utilization of ingenuity, discretion and thoroughness. They should entertain a feeling of pride for their excellent efforts. Please accept my thanks and convey my sincere appreciation to these agents for the high caliber of their services.

AUG 21 10 30 AM '58
REC'D-READING ROOM
F B I

MAILED 11
AUG 21 1958
COMM-FBI

Sincerely yours,

101 010-362
93

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)
Reurmemo 8-15-58 re CSCG 6098-S, CSCG 6041-S and CSCG 6026-S. Although Bureau realizes fine work done by agents, it is not felt that individual letters of commendation are warranted. Place copy of this letter in their personnel files.

AFH:afh
(20)

Place copy of this letter in personnel files of following agents:

Tolson
Boardman
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Parsons
Rosen
Tamm
Trotter
Clayton
Tele. Room
Holloman
Gandy

MAIL ROOM

W. C. Sullivan

(OVER)

Mr. Richard D. Auerbach

[redacted]

Garrett P. Fleming

[redacted]

Elmer B. Litchfield

Howard A. McKamey

[redacted]

Joseph R. Shea

[redacted]

Kenneth K. Smythe

John B. Tarpey

[redacted]

Joseph M. Culkin

b6
b7C

September 12, 1958

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Auerbach:

I am writing to commend you for your excellent supervision of an operation which was of the utmost importance to the Bureau in the security field.

Under your intelligent guidance and direction, the many obstacles inherent in an operation of this nature were met and overcome and outstanding results were realized. The success of this operation has greatly enhanced the prestige of the Bureau and I want you to know of my sincere appreciation for your fine services.

Sincerely,
J. Edgar Hoover

MAILED 9
SEP 12 1958
COMM-FBI

~~1 - SAC, Chicago (Personal Attention)~~
~~Re: Solo, Internal Security - C.~~

REC-140

67-101010-364
Searched ____ Indexed ____ Serialized ____ Filed ____
1 SEP 15 1958

SEP 12 11 30 AM '58
REC'D-READING ROOM
FBI

AFH:afh
(4)
67-101010

Salutation per file

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Clayton
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Gandy
W. C. Sullivan

SEP 16 1957

MAIL ROOM

September 18, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am taking this opportunity to commend, through you, the agents of the Chicago Division for their splendid performance in connection with the investigations of the Bank Robbery cases involving [redacted] and others.

b6
b7C

These were indeed involved investigations and required the exercise of a high degree of persistence and enthusiasm on the part of each man. The participating agents discharged their assignments with a great deal of ability and thoroughness and I want you to convey to them my appreciation for their excellent services.

Sincerely yours,

J. Edgar Hoover

MAILED 19
SEP 18 1958
COMM-FBI

67-107010-365

1 - SAC, Chicago (Personal Attention)

Although Bureau recognizes fine work done by SAs George F. Gillem, Harry A. Walker and [redacted] it is not felt their services were such as to warrant recognition in the form of individual letters of commendation. Place copy of this letter in their files and in personnel files of all other participating agents except SAs Mogen and [redacted] who are being commended separately.

CMT:cmt
(23)

SEP 30 1958

(OVER)

Tolson
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Parsons
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Clayton
Tele. Room
Holloman
Gandy
W. C. Sullivan

MAIL ROOM

Place copy of this letter in personnel files of following agents:

George F. Gillem
Harry A. Walker
John M. Newbold
[redacted]
James R. Rumph
Frank L. Mellott
[redacted]
William F. Roemer
John W. Roberts
Paul B. Frankfurt
Francis W. Matthys
Lenard A. Wolf
Vincent L. Inserra
[redacted]

b6
b7C

October 10, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am taking this opportunity to commend, through you, the agents of the Chicago Division who participated so capably in the investigation of the Extortion case involving [redacted]

b6
b7C

The success attained in this case can be ascribed in no small degree to the competent, thorough and diligent manner in which each man discharged his individual assignments. Please convey my sincere appreciation to each agent for the high caliber of his services.

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in personnel files of participating agents other than those individually commended.

CMT:cmt
(4)

Tolson
Boardman
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Clayton
Tele. Room
Holloman
Gandy
W. C. Sullivan

MAIL ROOM

OCT 10 1958
COMM-FBI

OCT 10 1958 3 54 PM '58
REC'D-READING ROOM
F B I

October 7, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I want to take this opportunity to commend the agents who so ably participated in the investigation of the Election Laws case involving [redacted] and others.

These men gave unselfishly of their time and efforts in preparing this case for trial. I am aware of the successful results which have been realized and feel they can be attributed to the splendid fashion in which each man carried out his respective assignments. I am most appreciative.

OCT 7 10 44 AM '58
REC'D-READING ROOM
FBI

b6
b7C

Sincerely yours,

67-101820-368

COMM - FBI
MAILED 20

1 - SAC, Chicago (Personal Attention)
Reurmemo 9-24-58. Bureau does not feel two general letters of commendation are warranted. Place copy of this letter in personnel files of all participating agents assigned your office, not individually commended.
1 - SAC, San Francisco (Personal Attention)
Place copy of this letter in personnel file of SA [redacted]
1 - SAC, Pittsburgh (Personal Attention)
Place copy of this letter in personnel file of SA [redacted]
1 - SAC, Phoenix (Personal Attention)
Place copy of this letter in personnel file of SA [redacted]

AFH:afh
(43)
Based on memo W. B. Welte to Mr. Rosen dated 9-24-58 and addendum Administrative Division 10-6-58, AFH:afh.

Tolson
Boardman
Belmont
Mohr
Nease
Parsons
Rosen
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...Room
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MAIL ROOM

PERS. FILES

(OVER)

Mr. Richard D. Auerbach

1 - SAC, New York (Personal Attention)
Place copy of this letter in personnel files of SAs John F. Craig, [redacted] George H. Metzger and Peter J. Sofia. b6 b7C
1 - SAC, San Juan (Personal Attention)
Place copy of this letter in personnel file of SA William V. Curran.
1 - SAC, Detroit (Personal Attention)
Place copy of this letter in personnel file of SA [redacted]
1 - SAC, Cincinnati (Personal Attention)
Place copy of this letter in personnel file of SA [redacted]
1 - SAC, Charlotte (Personal Attention)
Place copy of this letter in personnel file of SA [redacted]
1 - SAC, Memphis (Personal Attention)
Place copy of this letter in personnel file of SA [redacted]

Place copies in personnel files of the following agents:

Edward W. Halleran
[redacted]
Russell P. Baldwin
William J. Berwanger
[redacted]
John F. Craig
William V. Curran
[redacted]

[redacted]
Frank B. Foley
[redacted]
Coy M. Ivy
[redacted]
George H. Metzger
[redacted]
Kenneth D. Scheiwe
Peter J. Sofia
[redacted]
John F. Wiltgen

1. Agency and organizational designations
U.S. Department of Justice
Federal Bureau of Investigation

2. Pa

3. Block No.

4. Slip No.

5. Employee's name (and social security accoun... en appropriate)

#04138 MR. RICHARD D. UERBACH SA(SAC)

6. Gr

GS 17 $15,855

PAY ROLL CHANGE DATA

	BASE PAY	OVERTIME		GROSS PAY	RET.	TAX.....	BOND	F. I. C. A.			NET PAY
7. Previous normal											
8. New normal											
9. Pay this period											

10. Remarks:

11. Appropriation(s)

12. Prepared by

13. Audited by

☒ Periodic step-increase ☐ Pay adjustment ☐ Other step-increase 29

14. Effective date	15. Date last equivalent increase	16. Old salary rate	17. New salary rate	18. Performance rating is satisfactory or better.
11-30-58	6-2-57	$15,615	$15,855	J. E. Hoover (Signature or other authentication)

19. LWOP data (Fill in appropriate spaces covering LWOP during following periods):

Period(s):

☒ No excess LWOP. Total excess LWOP .....

(Check applicable box in case of excess LWOP)

☐ In pay status at end of waiting period.

☐ In LWOP status at end of waiting period.

Initials of Clerk

STANDARD FORM NO. 1126d—Revised
Form prescribed by Comp. Gen., U. S.
Nov. 8, 1950, General Regulations No. 102

29 NOV 18 1958

PAY ROLL CHANGE SLIP—PERSONNEL COPY

☆ U. S. GOVERNMENT PRINTING OFFICE 1952—246171

November 17, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I want to take this opportunity to commend you and, through you, the agents of the Chicago Office who so ably participated in the investigation of the Interstate Transportation of Stolen Property case involving [redacted] and others.

b6
b7C

As a result of your aggressive and enthusiastic leadership and the discreet and imaginative fashion in which each agent carried out his respective assignments, this dangerous fugitive was taken into custody and a large amount of the loot was recovered. Please accept my thanks and convey my sincere appreciation to each man for the high caliber of his services.

MAILED 8
NOV 17 1958
COMM-FBI

Sincerely yours,

J. Edgar Hoover

101010-370

1 - SAC, Chicago (Personal Attention)

AFH:afh
(4)

REC'D 59 NOV 17 10 32 AM '58
FBI
MAIL ROOM

Tolson
Belmont
Mohr
Nease
Parsons
Rosen
Tamm
Trotter
W.C. Sullivan
Tele. Room
Holloman
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

WST-W3K

Mr. Richard D. Auerbach

[redacted]

b6
b7C

Steve D. Evans
John B. Harrington
[redacted]
Edward Kinzer
[redacted]
John J. Matthews
William J. McCormick
[redacted]
William A. Meinecke
Marlin H. Moore
Joseph G. Shea
Joseph E. Ziel

Standard Form 88
(Rev. Aug. 1950)
PROMULGATED BY
BUREAU OF THE BUDGET
CIRCULAR A-24

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, RICHARD DEXTER	Special Agent in Charge	
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	**5. PURPOSE OF EXAMINATION**	**6. DATE OF EXAMINATION**
240 Lahon, Park Ridge, Illinois	Annual	11-13-58

7. SEX	8. RACE	9. TOTAL YRS. GOVT. SERVICE MILITARY	CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE	11. ORGANIZATION UNIT
M	W		22	FBI	Field

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8-18-11	Massachusetts	Mrs. Muriel A. Auerbach (wife), 240 Lahon Park Ridge, Illinois

15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
West Side VA Hospital, Chicago 12, Ill.	

17. RATING OR SPECIALTY — TIME IN THIS CAPACITY: TOTAL — LAST SIX MONTHS

CLINICAL EVALUATION

(Check each item in appropriate column; enter "N. E." if not evaluated)

NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)

NORMAL	ABNORMAL		
✓		18. HEAD, FACE, NECK, AND SCALP	
✓		19. NOSE	
✓		20. SINUSES	
✓		21. MOUTH AND THROAT	
✓		22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)	
✓		23. DRUMS (Perforation)	
✓		24. EYES—GENERAL (Visual acuity and refraction under items 59, 60, and 61)	
✓		25. OPHTHALMOSCOPIC	
✓		26. PUPILS (Equality and reaction)	
✓		27. OCULAR MOTILITY (Associated parallel movements, nystagmus)	
✓		28. LUNGS AND CHEST (Include breasts)	
✓		29. HEART (Thrust, size, rhythm, sounds)	
✓		30. VASCULAR SYSTEM (Varicosities, etc.)	
✓		31. ABDOMEN AND VISCERA (Include hernia)	
✓		32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate if indicated)	
✓		33. ENDOCRINE SYSTEM	
✓		34. G-U SYSTEM	
✓		35. UPPER EXTREMITIES (Strength, range of motion)	
✓		36. FEET	
✓		37. LOWER EXTREMITIES (Except feet) (Strength range of motion)	
✓		38. SPINE, OTHER MUSCULOSKELETAL	
✓		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS	
✓		40. SKIN, LYMPHATICS	
✓		41. NEUROLOGIC (Equilibrium tests under item 72)	
		42. PSYCHIATRIC (Specify any personality deviation)	
Females only		(Check how done)	
		43. PELVIC ☐ VAGINAL ☐ RECTAL	

Scar, old, healed, appendix

Det. 9
Pt. 300
& lab work
pt

#44
Teeth no. 2 and 15 missing and space closed.
tooth 30: 4 missing and space 2/3's closed.
RECORDED
Betty W. Strebing
R.N.

(Continue in item 73)

67-101010-371

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)
O.—Restorable teeth
I.—Nonrestorable teeth
X.—Missing teeth
XXX.—Replaced by dentures
(6 X 8)—Fixed bridge, brackets to include abutments

131

RIGHT	1	3	5	6	7	8	9	10	11	12	13	14	16	LEFT
	SC X	2/3C X										SC. X	X	
X	31	30	29	28	27	26	25	24	23	22	21	20	19	18	X

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES

LABORATORY FINDINGS

45. URINALYSIS: SP. GR. 1.010			46. CHEST X-RAY (Place, date, film number, result)	47. SEROLOGY (Specify test used and results)
ALBUMIN	SUGAR	MICROSCOPIC	# 198829 - Negative 11/19/58	Cardiolipin - Negative
Neg.	Neg.			
48. EKG	**49. BLOOD TYPE AND RH FACTOR**		**50. OTHER TESTS**	
normal curve	"O" positive			

10—62288-1

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT	52. WEIGHT	53. COLOR HAIR	54. COLOR EYES	55. BUILD: SLENDER / MEDIUM / HEAVY / OBESE	56. TEMP.
6	17	Br	Br	ADMIN. DIV ☑ / ☐ / ☑ / ☐	

57. BLOOD PRESSURE (*Arm at heart level*)

SITTING SYS.	SITTING DIAS.	RECUMBENT SYS.	RECUMBENT DIAS.	STANDING (3 min.) SYS.	STANDING (3 min.) DIAS.
130	80	134	80	130	80

58. PULSE (*Arm at heart level*)

SITTING	AFTER EXERCISE	2 MIN. AFTER	RECUMBENT	AFTER STANDING 3 MIN.
NOV 25	12 17 PM 250	72	70	68

59. DISTANT VISION		60. REFRACTION			61. NEAR VISION		
RIGHT 20/ 20	CORR. TO 20/	BY	S.	CX	J1	CORR. TO	BY
LEFT 20/ 20	CORR. TO 20/	BY	S.	CX	J1	CORR. TO	BY

62. HETEROPHORIA: (*Specify distance*) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD

63. ACCOMMODATION	64. COLOR VISION (*Test used and result*)	65. DEPTH PERCEPTION (*Test used and score*)	
RIGHT Normal LEFT Normal	Ishihara - Normal	UNCORRECTED	
		CORRECTED	

66. FIELD OF VISION	67. NIGHT VISION (*Test used and score*)	68. RED LENS	69. INTRAOCULAR TENSION
Normal			Normal

70. HEARING

RIGHT WV 15 /15 SV 20 /15

LEFT WV 15 /15 SV 20 /15

71. AUDIOMETER

	250 256	500 512	1000 1024	2000 2048	3000 2896	4000 4096	8000 8192	
RIGHT								
LEFT								

72. PSYCHOLOGICAL AND PSYCHOMOTOR (*Tests used and score*)

73. NOTES (*Continued*) AND SIGNIFICANT OR INTERVAL HISTORY

(*Use additional sheets of plain paper if necessary*)

74. SUMMARY OF DEFECTS AND DIAGNOSES (*List diagnoses with item numbers*)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (*Specify*)

76. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (*Check*) ☑ IS ☐ IS NOT QUALIFIED FOR

PHYSICAL CATEGORY

A	B	C	E

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

79. TYPED OR PRINTED NAME OF PHYSICIAN [redacted] SIGNATURE [redacted]

Eye, Ear, Nose, & Throat

80. TYPED OR PRINTED NAME OF PHYSICIAN SIGNATURE [redacted]

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (*Indicate which*) SIGNATURE [redacted]

[redacted] DDS.

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY SIGNATURE [redacted] NUMBER OF ATTACHED SHEETS

b6
b7C

GOVERNMENT PRINTING OFFICE: 1953—O-243413 16—62258-1

FD-300 (Rev. 5-21-58)

ATTACHMENT TO STANDARD FORM 88, REPORT OF MEDICAL EXAMINATION

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER

Name of Examinee: AUERBACH RICHARD DEXTER
(Type or print) *Last* *First* *Middle*

The following portions of the attached examination report form need not be completed:

2	62
3	65
11	67
14	68
17	69
46	71
48	72
49	

46. Is necessary unless facilities for affording same are not readily available.

48. Not required unless examinee is over 35 years of age or examination indicates such is desirable.

49. Is necessary unless facilities for affording same are not readily available.

71. Audiometer examinations should be afforded whenever possible.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee [Y] is [ ] is not qualified for strenous physical exertion.

TO BE ANSWERED IN THE CASE OF ALL MALE EMPLOYEES AND MALE APPLICANTS:

1. Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?
[Y] No [ ] Yes. If "yes" please specify defects. _____

2. Does examinee have any defects prohibiting safe operation of motor vehicles?
[X] No [ ] Yes. If "yes" please specify defects. _____

67-101010-371

Weights for Males

Height Feet-Inches	SMALL FRAME Desirable	SMALL FRAME Maximum	MEDIUM FRAME Desirable	MEDIUM FRAME Maximum	LARGE FRAME Desirable	LARGE FRAME Maximum
5 4	121-131	143	129-139	152	136-148	162
5 5	124-134	146	132-142	155	140-152	166
5 6	128-138	151	136-146	160	144-157	172
5 7	131-142	155	140-151	165	148-161	176
5 8	135-146	160	144-155	170	152-165	181
5 9	139-150	164	148-159	174	156-170	186
5 10	143-154	168	152-163	178	160-175	192
5 11	147-159	174	156-168	184	164-180	197
6 0	152-164	179	161-173	189	169-185	203
6 1	158-170	186	166-179	196	174-191	209
6 2	163-175	192	171-184	201	179-197	216
6 3	168-180	197	176-189	207	184-202	221
6 4	174-186	204	182-195	214	190-208	228
6 5	180-191	209	188-201	220	196-214	234

3. Examinee's frame is ☐ small ☐ medium ☒ large

4. Considering above weight table, the examinee's frame, and other individual physical characteristics, I consider his present weight ☒ Satisfactory ☐ Excessive ☐ Deficient

5. Under proper medical supervision, examinee should ☐ lose _____ pounds

☐ gain _____ pounds

Remarks: _____

[redacted] b6 b7C

(Signature of Medical Examiner)

11-13-58

(Date)

Standard Form 89
(Rev. Aug. 1956)
PROMULGATED BY
BUREAU OF THE BUDGET
CIRCULAR A-24

REPORT OF MEDICAL HISTORY

THIS INFORMATION IS FOR OFFICIAL USE ONLY AND WILL NOT BE RELEASED TO UNAUTHORIZED PERSONS

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, RICHARD DEXTER	Special Agent in Charge	

4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	5. PURPOSE OF EXAMINATION	6. DATE OF EXAMINATION
240 Lahon, Park Ridge, Ill.	Annual	11-13-58

7. SEX	8. RACE	9. TOTAL YRS. GOVT. SERVICE MILITARY	CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE	11. ORGANIZATION UNIT
M	W		22	FBI	Field

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8-18-11	Massachusetts	Mrs. Muriel A. Auerbach (wife), 240 Lahon, Park Ridge, Ill.

15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
West Side VA Hospital, Chicago 12, Ill.	

17. STATEMENT OF EXAMINEE'S PRESENT HEALTH IN OWN WORDS. (Follow by description of past history, if complaint exists)

I am in good health.

18. FAMILY HISTORY

RELATION	AGE	STATE OF HEALTH	IF DEAD, CAUSE OF DEATH	AGE AT DEATH
FATHER			Operation	42
MOTHER	86			
SPOUSE	42			
BROTHERS	53			
XXX				
XXXX				
CHILDREN				

19. HAS ANY BLOOD RELATION (Parent, brother, sister, other) OR HUSBAND OR WIFE:

YES	NO	(Check each item)	RELATION(S)
		HAD TUBERCULOSIS	
		HAD SYPHILIS	
		HAD DIABETES	
		HAD CANCER	
		HAD KIDNEY TROUBLE	
		HAD HEART TROUBLE	
		HAD STOMACH TROUBLE	
		HAD RHEUMATISM (Arthritis)	
		HAD ASTHMA, HAY FEVER, HIVES	
		HAD EPILEPSY (Fits)	
		COMMITTED SUICIDE	
		BEEN INSANE	

20. HAVE YOU EVER HAD OR HAVE YOU NOW (Place check at left of each item)

YES	NO	(Check each item)	YES	NO	(Check each item)	YES	NO	(Check each item)	YES	NO	(Check each item)
		SCARLET FEVER, ERYSIPELAS			GOITER			TUMOR, GROWTH, CYST, CANCER			"TRICK" OR LOCKED KNEE
		DIPHTHERIA			TUBERCULOSIS			RUPTURE			FOOT TROUBLE
		RHEUMATIC FEVER			SOAKING SWEATS (Night sweats)	X		APPENDICITIS			NEURITIS
		SWOLLEN OR PAINFUL JOINTS			ASTHMA			PILES OR RECTAL DISEASE			PARALYSIS (Inc. infantile)
X		MUMPS			SHORTNESS OF BREATH			FREQUENT OR PAINFUL URINATION			EPILEPSY OR FITS
X		WHOOPING COUGH			PAIN OR PRESSURE IN CHEST			KIDNEY STONE OR BLOOD IN URINE			CAR, TRAIN, SEA, OR AIR SICKNESS
		FREQUENT OR SEVERE HEADACHE			CHRONIC COUGH			SUGAR OR ALBUMIN IN URINE			FREQUENT TROUBLE SLEEPING
		DIZZINESS OR FAINTING SPELLS			PALPITATION OR POUNDING HEART			BOILS			FREQUENT OR TERRIFYING NIGHTMARES
		EYE TROUBLE			HIGH OR LOW BLOOD PRESSURE			VENEREAL DISEASE			DEPRESSION OR EXCESSIVE WORRY
		EAR, NOSE OR THROAT TROUBLE			CRAMPS IN YOUR LEGS			RECENT GAIN OR LOSS OF WEIGHT			LOSS OF MEMORY OR AMNESIA
		RUNNING EARS			FREQUENT INDIGESTION			ARTHRITIS OR RHEUMATISM			BED WETTING
		CHRONIC OR FREQUENT COLDS			STOMACH, LIVER OR INTESTINAL TROUBLE			BONE, JOINT, OR OTHER DEFORMITY			NERVOUS TROUBLE OF ANY SORT
		SEVERE TOOTH OR GUM TROUBLE			GALL BLADDER TROUBLE OR GALL STONES			LAMENESS			ANY DRUG OR NARCOTIC HABIT
X		SINUSITIS			JAUNDICE			LOSS OF ARM, LEG, FINGER, OR TOE			EXCESSIVE DRINKING HABIT
X		HAY FEVER			ANY REACTION TO SERUM, DRUG OR MEDICINE			PAINFUL OR "TRICK" SHOULDER OR ELBOW			HOMOSEXUAL TENDENCIES

21. HAVE YOU EVER (Check each item)

YES	NO	(Check each item)	YES	NO	(Check each item)
X		WORN GLASSES			ATTEMPTED SUICIDE
		WORN AN ARTIFICIAL EYE			BEEN A SLEEP WALKER
		WORN HEARING AIDS			LIVED WITH ANYONE WHO HAD TUBERCULOSIS
		STUTTERED OR STAMMERED			COUGHED UP BLOOD
		WORN A BRACE OR BACK SUPPORT			BLED EXCESSIVELY AFTER INJURY OR TOOTH EXTRACTION

22. FEMALES ONLY: A. HAVE YOU EVER—

YES	NO	(Check each item)
		BEEN PREGNANT
		HAD A VAGINAL DISCHARGE
		BEEN TREATED FOR A FEMALE DISORDER
		HAD PAINFUL MENSTRUATION
		HAD IRREGULAR MENSTRUATION

B. COMPLETE THE FOLLOWING:

AGE AT ONSET OF MENSTRUATION

INTERVAL BETWEEN PERIODS

DURATION OF PERIODS

DATE OF LAST PERIOD

QUANTITY: ☐ NORMAL ☐ EXCESSIVE ☐ SCANTY

23. HOW MANY JOBS HAVE YOU HAD IN THE PAST THREE YEARS? One

24. WHAT IS THE LONGEST PERIOD YOU HELD ANY OF THESE JOBS? MONTHS 20

25. WHAT IS YOUR USUAL OCCUPATION? FBI

26. ARE YOU (Check one) ☒ RIGHT HANDED ☐ LEFT HANDED

67-101010-371

ENCL 2

16—62289-1

YES	NO	CHECK EACH ITEM YES OR NO. EVERY ITEM CHECKED "YES" MUST BE FULLY EXPLAINED IN BLANK SPACE ON RIGHT
		27. HAVE YOU BEEN UNABLE TO HOLD A JOB BECAUSE OF:
		A. SENSITIVITY TO CHEMICALS, DUST, SUNLIGHT, ETC.
		B. INABILITY TO PERFORM CERTAIN MOTIONS
		C. INABILITY TO ASSUME CERTAIN POSITIONS
		D. OTHER MEDICAL REASONS (*If yes, give reasons*)
		28. HAVE YOU EVER WORKED WITH RADIOACTIVE SUBSTANCE?
		29. DID YOU HAVE DIFFICULTY WITH SCHOOL STUDIES OR TEACHERS? (*If yes, give details*)
		30. HAVE YOU EVER BEEN REFUSED EMPLOYMENT BECAUSE OF YOUR HEALTH? (*If yes, state reason and give details*)
		31. HAVE YOU EVER BEEN DENIED LIFE INSURANCE? (*If yes, state reason and give details*)
		32. HAVE YOU HAD, OR HAVE YOU BEEN ADVISED TO HAVE, ANY OPERATIONS? (*If yes, describe and give age at which occurred*)
		33. HAVE YOU EVER BEEN A PATIENT (*committed or voluntary*) IN A MENTAL HOSPITAL OR SANATORIUM? (*If yes, specify when, where, why, and name of doctor, and complete address of hospital or clinic*)
		34. HAVE YOU EVER HAD ANY ILLNESS OR INJURY OTHER THAN THOSE ALREADY NOTED? (*If yes, specify when, where, and give details*)
		35. HAVE YOU CONSULTED OR BEEN TREATED BY CLINICS, PHYSICIANS, HEALERS, OR OTHER PRACTITIONERS WITHIN THE PAST 5 YEARS? (*If yes, give complete address of doctor, hospital, clinic, and details*)
		36. HAVE YOU TREATED YOURSELF FOR ILLNESSES OTHER THAN MINOR COLDS? (*If yes, which illnesses*)
		37. HAVE YOU EVER BEEN REJECTED FOR MILITARY SERVICE BECAUSE OF PHYSICAL, MENTAL, OR OTHER REASONS? (*If yes, give date and reason for rejection*)
		38. HAVE YOU EVER BEEN DISCHARGED FROM MILITARY SERVICE BECAUSE OF PHYSICAL, MENTAL, OR OTHER REASONS? (*If yes, give date, reason, and type of discharge: whether honorable, other than honorable, for unfitness or unsuitability*)
		39. HAVE YOU EVER RECEIVED, IS THERE PENDING, HAVE YOU APPLIED FOR, OR DO YOU INTEND TO APPLY FOR PENSION OR COMPENSATION FOR EXISTING DISABILITY? (*If yes, specify what kind, granted by whom, and what amount, when, why*)

I CERTIFY THAT I HAVE REVIEWED THE FOREGOING INFORMATION SUPPLIED BY ME AND THAT IT IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.
I AUTHORIZE ANY OF THE DOCTORS, HOSPITALS, OR CLINICS MENTIONED ABOVE TO FURNISH THE GOVERNMENT A COMPLETE TRANSCRIPT OF MY MEDICAL RECORD FOR PURPOSES OF PROCESSING MY APPLICATION FOR THIS EMPLOYMENT OR SERVICE.

TYPED OR PRINTED NAME OF EXAMINEE	SIGNATURE

40. PHYSICIAN'S SUMMARY AND ELABORATION OF ALL PERTINENT DATA (*Physician shall comment on all positive answers in items 20 thru 39*)

TYPED OR PRINTED NAME OF PHYSICIAN OR EXAMINER	DATE		NUMBER OF ATTACHED SHEETS

b6
b7C

December 5, 1958

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I have been advised of your request for authority for the Chicago office to donate approximately $1,000 from the office fund to several families who lost children in the recent disastrous school fire in Chicago. You are authorized to make the proposed contributions, and I am sure the families receiving this financial assistance will be deeply appreciative.

I want you to know that the willingness of the employees of the Chicago Division to forego their annual Christmas dinner in order to assist these families is certainly a noble gesture and deserving of special recognition.

REC-READING ROOM FBI DEC 5 4 38 PM '58

MAILED 30
DEC 5 - 1958
COMM-FBI

Sincerely,

J. Edgar Hoover

RECORDED

1 - SAC, Chicago - You should insure that no adverse information is contained in the files of your office regarding any individuals to whom contributions are to be made. There is no objection to advising the press of the proposed donations.

1 - Mr. Nease
1 - Mr. C. R. Davidson

EJI:akc
(6)

(Cover memo from E. J. Ingram to Mr. Mohr dated 12/4/58 re "CHARITY ACTIVITIES, Chicago Office," EJI:dpm)

Tolson
Belmont
Mohr
Nease
Parsons
Rosen
Tamm
Trotter
W.C. Sullivan
Tele. Room
Holloman
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

December 10, 1958

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I have noted with considerable pleasure the excellent work done by agents of the Chicago Office who participated in an operation on November 29-30, 1958, in connection with the investigation of the Theft from Interstate Shipment case involving [redacted] and [redacted] and I want you to extend my commendation to them.

b6
b7C

The successful results achieved can be ascribed to the direct, aggressive and selfless manner in which each man discharged his assignment. Their services will serve as an inspiring example for their fellow agents. Please convey my thanks to them for their splendid work.

MAILED 30
DEC 10 1958
COMM-FBI

DEC 10 3 45 PM '58
RECD-READING ROOM
F B I

101.010-394

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in personnel file of each participating agent.

LRH:ksr
(10)

Place copy of this letter in personnel file of each of the following agents: [redacted] William H. Dunning, John J. Oitzinger, [redacted] Michael G. Simon and [redacted]

Tolson
Belmont
Mohr
Nease
Parsons
Rosen
Tamm
Trotter
W.C. Sullivan
Tele. Room
Holloman
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

January 27, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I want to take this opportunity to commend you and, through you, the agents of the Chicago Division who participated so capably in the investigation of the four Bank Robbery cases involving [redacted] and others.

b6
b7C

The success realized in solving these four cases and apprehending all of the subjects, one of whom was an I. O. Fugitive, can be attributed to your careful planning and to the excellent manner in which each man discharged his respective assignment. Please accept my sincere thanks and convey my appreciation to all for a job especially well done.

MAILED 25
JAN 27 1959
COMM-FBI

Sincerely yours,

J. Edgar Hoover

67-101010-376

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in files of participating agents, other than those who are being individually recognized.

REC'D-READING ROOM
FBI
JAN 27 11 49 AM '59

AFH:afh
(4)

Tolson
Boardman
Belmont
Mohr
Nease
Parsons
Rosen
Tamm
Trotter
Clayton
Tele. Room
Holloman
Gandy

MAIL ROOM

W. C. Sullivan

Mr. Richard D. Auerbach

Place copies of this letter in personnel files of the following agents:

Richard F. Allen
Joseph G. Butler
[redacted]
Lester K. Esarey
[redacted]
Thomas J. Green
[redacted]
Vincent L. Inserra
August C. Kempff
[redacted]

[redacted]
John W. Roberts
William F. Roemer
[redacted]
William W. Stickle
[redacted]
Lenard A. Wolf
Robert L. Malone
Edward Kinzer, Jr.

b6
b7C

February 19, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

It is indeed a pleasure to commend the agents of the Chicago Office who participated so competently in the investigation of the Kidnaping case involving [redacted]

b6
b7C

Despite the adverse conditions, these men carried out their assignments in an intelligent and resourceful manner, thereby contributing to the solution of this case. I want you to specifically express my appreciation to Special Agent [redacted] for his aggressive and judicious handling of interviews which resulted in the identification and location of the subject, as well as the recovery of the victim, and to Special Agent Supervisor Sol E. Dennis for his excellent coordination of this matter. Please convey my sincere thanks to all for a job well done.

MAILED 8
FEB 19 1959
COMM-FBI

Sincerely yours,
J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)

Bureau does not feel individual letters of commendation are warranted for SAs [redacted] and Sol E. Dennis. Place copies of this letter in their personnel files, as well as in files of other participating agents.

Tolson
Belmont
DeLoach
McGuire
Mohr
Parsons
Rosen
Tamm
Trotter
W.C. Sullivan
Tele. Room
Holloman
Gandy

AFH:afh
(6)
Place copies in personnel files of:

[redacted]

Sol E. Dennis

MAIL ROOM ☐ TELETYPE UNIT ☐

March 17, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am taking this opportunity to commend you and, through you, the agents of the Chicago Division who participated so competently in the investigation of the Extortion case involving [redacted] [redacted]

b6
b7C

The very thorough, resourceful and able manner in which the men who took part in this investigation discharged their individual assignments and the high degree of competence, aggressiveness and effectiveness which you demonstrated in handling your responsibilities were major factors in the realization of the success achieved. Please accept my many thanks for your splendid services and I want you to convey to the participating personnel my sincere appreciation for a job very well done.

Sincerely yours,

MAILED 20
MAR 18 1959
COMM-FBI

MAR 17 11 08 AM 59
REC'D-READING ROOM
F B I

380

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in personnel files of all participating agents other than those individually commended.
CMT:cmt
(4)

Tolson
Belmont
DeLoach
McGuire
Mohr
Parsons
Rosen
Tamm
Trotter
W.C. Sullivan
Tele. Room
Holloman
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

March 27, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

The competent services of the agents of the Chicago Division who participated in the investigation of the Interstate Transportation of Stolen Property case involving [redacted] and others were most praiseworthy and I want you to express my commendation to them.

These men played an integral part in this investigation and made major contributions to the successful results thus far achieved. They handled their assignments with much effectiveness, ability and thoroughness. Please convey my sincere appreciation to them for their splendid work.

b6
b7C

MAR 27 12 20 PM '59
REC'D-READING ROOM
F B I

MAILED 25
MAR 27 1959
COMM-FBI

Sincerely yours,

J. Edgar Hoover

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in files of SAs Joseph E. Ziel and [redacted]

CMT:cmt
(6)

101 010-381/132

Place copies in personnel files of SAs Joseph E. Ziel and [redacted]

Tolson
Belmont
DeLoach
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Mohr
Parsons
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Tamm
Trotter
W.C. Sullivan
Tele. Room
Holloman
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

March 26, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am taking this means to commend, through you, the agents of the Chicago Office, who participated so ably in the investigation of the Bribery case involving [redacted]

b6
b7C

This entire investigation was supervised with a high degree of competence and intelligence and the agents who participated in the coverage of the payoff carried out their assignments under difficult conditions with much thoroughness, discretion and skill. Please express my sincere thanks to the men in your office who took part in this investigation for a job very well done.

Sincerely yours,

J. Edgar Hoover

MAILED 3
MAR 26 1959
COMM-FBI

MAR 26 2 08 PM 59
REC'D-READING ROOM
FBI

101 012 382

132

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in files of all participating personnel except those individually commended.

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Trotter
W.C. Sullivan
Tele. Room
Holloman
Gandy

CMT:lmv
(12)

MAIL ROOM ☐ TELETYPE UNIT ☐

(over)

Mr. Richard D. Auerbach

Place copy of this letter in files of following agents:

[redacted]

Carl W. Hale

[redacted]

Leland A. Lynn

Charles J. Melone

[redacted]

b6
b7C

April 3, 1959

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Auerbach:

I am taking this opportunity to thank you for the excellent services you rendered in connection with the handling of a confidential source of information of much interest to the Bureau in the security field.

Your keen interest in this case and the care you have taken to insure that the source is used to his fullest capacity are most praiseworthy and clearly indicate your awareness of the value and importance of this phase of our operations. I am happy to commend you.

Sincerely,

J. Edgar Hoover

MAILED 30
APR 3 - 1959
COMM-FBI

REC'D-READING ROOM
FBI
APR 3 1 56 PM '59

1 - SAC, Chicago (Personal Attention)
Re: Solo, Internal Security-C. Commendation pertains to most recent development in this case.

101 01 - 383

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W.C. Sullivan
Tele. Room
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CMT:lmv
(4)
67-101010

Salutation per file

MAIL ROOM ☐ TELETYPE UNIT ☐

April 2, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

It is certainly a pleasure to take this means to commend, through you, the agents of the Chicago Division who participated so competently in the apprehension of [redacted] one of the subjects of a Fraud by Wire case.

These agents demonstrated an unusual ability to handle an assignment of this nature and their excellent discretion, perseverance and determination were of the highest caliber. Their sterling efforts resulted in the apprehension of [redacted] without incident. I want you to convey my appreciation to the participating personnel.

b6
b7C

APR 2 3 17 PM '59
REC'D-READING ROOM
F B I

MAILED 30
APR 3 - 1959
COMM-FBI

Sincerely yours,

J. Edgar Hoover

101 010-384
Number

1 - SAC, Chicago (Personal Attention)
Reurlet 3-25-59. Although agents mentioned in your letter did good work it is not believed their services were such as to warrant individual letters of commendation. Place copy of this letter in their personnel files.

CMT:lmv
(10)
Place copies in files of following agents:
Thomas W. Parrish
Richard E. Logan
Bertie L. Sapp

[redacted]

Tolson
Belmont
DeLoach
McGuire
Mohr
Parsons
Rosen
Tamm
Trotter
W.C. Sullivan
Tele. Room
Holloman
Gandy

MAIL ROOM [redacted]

Be Hewell

April 15, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am writing at this time to commend, through you, the agents of the Chicago Division who performed so capably in connection with the Bank Robbery case involving [redacted] and others.

b6
b7C

Despite the lack of physical evidence, these men were able to successfully solve this case. They carried out their respective assignments with a great deal of resourcefulness and aggressiveness. Please convey my sincere appreciation to all for the high caliber of their services.

APR 15 11 51 AM '59
REC'D-READING ROOM
F B I

Sincerely yours,
J. Edgar Hoover

MAILED 30
APR 15 1959
COMM-FBI

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in files of participating agents other than those who were individually commended.

389

AFHafh
(14)

Tolson
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W.C. Sullivan
Tele. Room
Holloman
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

(OVER)

Mr. Richard D. Auerbach
Chicago, Illinois

Place copy of letter in files of following agents:

Thomas J. Green
Richard F. Allen
Dennis W. Shanahan
[redacted]
Roswell T. Spencer
Bryan J. Mogen
Joseph G. Shea

b6
b7C

April 27, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Chicago F.O.

Dear Mr. Auerbach:

I am taking this means to commend, through you, the agents of the Chicago Office who participated in such a fine manner in the investigation of the Federal Reserve Act case involving [redacted], and others.

b6
b7C

The successful results realized can be ascribed in a large measure to the high degree of skill, thoroughness and diligence with which these agents handled their individual assignments. Please convey to these men my sincere appreciation for a job very well done.

Sincerely yours,
J. Edgar Hoover

MAILED 19
APR 27 1959
COMM-FBI

REC'D-READING ROOM
FBI
APR 27 11 43 AM '59

1 - SAC, Chicago (Personal Attention)
Place copies of this letter in files of all participating agents other than those individually commended.

CMT:ksr
(9)

Place copies of this letter in personnel files of the following agents: [redacted] Frank B. Foley, [redacted] and Leland A. Lynn.

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MAIL ROOM ☐ TELETYPE UNIT ☐

May 13, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

It is indeed a pleasure to commend the agents who performed so competently in connection with the investigation of the Antitrust case involving the Chicago Boiler Manufacturers Association and others.

This was an unusual and complicated case which was brought to a successful conclusion through the skillful, aggressive and resourceful manner in which these men discharged their individual assignments. They performed in a manner in keeping with the highest traditions of the Bureau and I want to express my thanks to all for a job especially well done.

Sincerely yours,
J. Edgar Hoover

MAILED 30
MAY 13 1959
COMM-FBI

MAY 13 3 01 PM
REC'D-READING ROOM
FBI

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in files of participating agents assigned your office other than SA C. Leonard Treviranus, who is being individually commended.

1 - SAC, Norfolk (Personal Attention)
Place copy in personnel file of SA John J. Landers after he has read it.

1 - SAC, Phoenix (Personal Attention)
Place copy in personnel file of SA [redacted] after he has read it.

1 - SAC, Charlotte (Personal Attention)
Place copy in personnel file of SA [redacted] after he has read it.

AFH:afh (12)
Place copies in personnel files of: Oscar M. Hughes, Joseph H. Servel, John J. Landers, [redacted]

b6
b7C

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MAIL ROOM ☐ TELETYPE UNIT ☐

May 14, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

It is a pleasure to commend, through you, the agents of the Chicago Division who participated so competently in the investigation of the Fraud Against the Government case involving [redacted] and others.

b6
b7C

Each man handled his individual assignment with praiseworthy thoroughness and diligence, thus contributing to the successful results realized. I want you to convey to the men who took part in this case my appreciation for a job very well done.

Sincerely yours,

J. Edgar Hoover

MAILED 25
MAY 14 1959
COMM-FBI

REC'D-READING ROOM
FBI
MAY 14 10 38 AM '59

1 - SAC, Chicago (Personal Attention)
Place copy of this letter in files of all participating personnel with the exception of SA John F. Wiltgen, who has been commended individually.

CMT:cmt
(13)

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W.C. Sullivan
Tele. Room
Holloman
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MAIL ROOM ☐ TELETYPE UNIT ☐

(OVER)

Mr. Richard D. Auerbach

Place copies in files of following agents:

Oscar M. Hughes

[redacted]

b6
b7C

Arthur Gran
Charles J. Melone

[redacted]

June 18, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Auerbach:

I am writing to express my appreciation for the excellent manner in which agents of your office carried out a most difficult and sensitive assignment in the security field.

The objective in this undertaking was realized only after the employment of unusual investigative techniques and the utilization of every resource at the command of the agents assigned. Please advise them of my gratitude for their most commendable work.

Sincerely yours,

MAILED 5
JUN 18 1959
COMM-FBI

394

RECORDED

1 - SAC, Chicago (Personal Attention)
Bureau does not feel individual letters of commendation are warranted for participating agents other than SAs Freyman and Mason. Place copy of this letter in files of participating personnel with the exception of these two agents. Reurlet 6-10-59.

CRD:cmt
(4)

Based on memo Mr. Baumgardner to Mr. Belmont, 6-16-59, HOB:ebc;rmv and addendum Administrative Division, 6-18-59, CRD:ksa.

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Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. DeLoach

DATE: August 4, 1959

FROM : M. A. Jones

Tolson
Belmont
DeLoach
McGuire
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Rosen
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W.C. Sullivan
Tele. Room
Holloman
Gandy

SUBJECT: NATIONAL INDOCTRINATION TOUR
SUGGESTION OF SAC AUERBACH
SUGGESTION # 63-60

BACKGROUND:

In a memorandum to the Director dated July 23, 1959, SAC Auerbach states that in preparing material to come in for a two-day conference and in pondering the problems of increasing the Bureau's prestige and influence, he thought we might take the skeleton of an idea from the Navy. He advised the Navy invites little groups of 15 or 20 prominent individuals in various fields as the Navy's guests on various ships and as a result of this treatment these individuals are strong backers of the Navy and their policies. Auerbach has in mind doing the same thing on a smaller scale, bringing prominent individuals to Washington at their own expense, having them stay at Quantico and giving them very special treatment such as special tours, special shoots, possible luncheon with the Attorney General, etc.

OBSERVATIONS:

406

While this is a very excellent suggestion and undoubtedly has considerable merit, it is believed that it is also fraught with some danger in that it might engender the wrong kind of publicity. As you are aware, Congress and the various Armed Forces have been getting considerable bad publicity for Congressional junkets and waste in an economy-minded era. Such action on our part might be considered in the same category.

Furthermore, we are already doing what Auerbach suggests on a smaller scale. As you will recall, last fall you arranged for a special all-day tour of the Bureau and Quantico for the National Security Commission of The American Legion. This group comprised of approximately 50 individuals, many of them outstanding men and leaders in their respective fields. We also give Congressmen very special attention and additionally have a Congressional tour annually for their assistants and secretaries. We also conduct very special tours for leaders of industry who are coming to Washington and whom the respective SAC's usually ask be given special attention. As you know also, we frequently have various groups of high-ranking military people in for very special tours. All in all, it is believed we are doing all we should do in this respect, at least for the present time.

Enclosure mailed 8/13/59

(See Recommendation next page)

DGH:mlw
(3)

SENT DIRECTOR FOR APPROVAL 8/10/59

Jones to DeLoach memorandum

RECOMMENDATION:

That no further action be taken on SAC Auerbach's suggestion, but that he be commended for submitting this idea. A suitable letter is attached.

2/10

8/6 I concur

November 13, 1959

PERSONAL ATTENTION

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

Review of the inspection report of the San Francisco division has resulted in assignment of the following ratings: physical condition and maintenance - excellent; administrative operations - fair; investigative operations, personnel matters, and contacts - very good. For your information, the principal factor affecting the rating given administrative operations was the incident involving the alteration of Number Three Cards by two agents assigned to your office. Although appropriate administrative action has already been taken regarding this matter, I want to caution you and the supervisors of your office to be absolutely certain there is no repetition of these derelictions.

I want to commend you and, through you, the headquarters personnel and resident agents for the excellent manner in which the FBI space is being maintained. The inspector has informed me that the condition of the various resident agencies was outstanding. This situation shows what can be accomplished by the establishment of proper standards and a spirit of cooperation on the part of all personnel. You should, of course, do everything possible to nurture and promote the high esprit de corps which exists at this time.

It is reassuring to note that the inspector found that San Francisco continues to handle security investigations in a

1 - SAC William W. Burke, Jr., Los Angeles (Personal Attention)
1 - Mr. Mohr (Attention W. S. Tavel) (with enclosure)
1 - Personnel file of Mr. Richard D. Auerbach

Based on memo Q. Tamm to Mr. Tolson, 11/10/59, re Insp - SF Div. Insp. J. E. Nugent 10/12-10/30/59. JEN:mcc

JEN:mcc (6)

commendable manner. Your security informant program was rated as very good. I feel certain that with greater diligence and a little more imaginative approach it will be possible for you to develop live informants in Humboldt and Marin counties so that the activities of the 34 Communist Party members who reside in these counties will be appropriately covered.

I have noted that Communist Party membership in the area covered by your office has declined within the past year from 534 to 411. I want to caution you that in this period of decreasing Communist Party membership and development of factionalism within the party, special effort will be required on your part to be certain that a feeling of complacency does not develop on the part of San Francisco personnel responsible for security matters. See to it that there is no letdown in the enthusiastic and intelligent handling of security problems. Increase your efforts to obtain information regarding the 25 Communist Party members whose identity has not been definitely established. The delinquency in security cases which was 13.3% at the time of the inspection is too high and must be reduced.

The information regarding your criminal informant program has been reviewed and was evaluated as very good. The increase of 66% in approved criminal informants is commendable and reflects favorably upon the supervision afforded this program by the Assistant Special Agent in Charge. However, greater effort is needed in connection with the program for developing approved criminal informants in the Chico, Redding, and Susanville resident agencies. The number of potential criminal informants presently under development in these residencies indicates that by giving these potential criminal informants specific assignments and seeing to it that they are contacted frequently it should be possible to obtain the desired coverage without delay.

The inspector cautioned you regarding the decrease in automobiles recovered by the San Francisco office. Do not adopt a defensive attitude and search for data to be used in an attempt to justify this unfavorable trend. Increase your efforts and be certain that you are advised of all such cases and that prompt and vigorous attention is given to each of them.

Mr. Richard D. Auerbach

I was glad to see that deadlines missed in applicant and fugitive cases were below the field average and that substantive errors were low. These errors, however, could have been avoided and I expect you to see to it that greater care is exercised by investigative and supervisory personnel so that errors of this type will be eliminated. Although the over-all time spent in the office was not excessive, I have observed that several resident agents greatly exceeded the desired maximum during the three months checked. Although the inspector discussed this matter with each of the agents involved, it is incumbent upon you and the other supervisors to be sure that all agents devote the maximum amount of time possible to investigative matters.

The contents of this letter and the findings of the inspector, as set forth in the inspection report and in the memorandum left with you on October 30, 1959, should be carefully reviewed, both by you and the supervisory staff, so that corrective action can be taken immediately. The Bureau should be advised in detail as soon as all deficiencies have been corrected.

A copy of this letter has been designated for Special Agent in Charge William W. Burke, Jr., who was Special Agent in Charge at San Francisco until August 8, 1959, and therefore, has responsibility for most of the matters mentioned herein.

Sincerely yours,

John Edgar Hoover
Director

August 10, 1959

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
422 Federal Office Building
Civic Center
San Francisco 2, California

Dear Auerbach:

Thank you for your memorandum of July 23, 1959, in which you recommended that the Bureau consider a national indoctrination tour of our facilities for leaders in various fields.

While your suggestion has great merit and I appreciate your submitting it, I believe that we are doing all that is possible along these lines at the present time.

Sincerely,

J. Edgar Hoover

MAILED 27
AUG 13 1959
COMM-FBI

REC'D-READING ROOM
AUG 10 12 31 PM '59

NOTE: See Jones to DeLoach memo dated 8-4-59 and captioned "National Indoctrination Tour, Suggestion of SAC Auerbach, Suggestion #63-60"
DGH:mlw

96

DGH:mlw
(4)

SENT DIRECTOR FOR APPROVAL
8-10-59

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Tele. Room
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MAIL ROOM ☐ TELETYPE UNIT ☐

August 7, 1959

Mr. Julius M. Lopez, Jr.
Federal Bureau of Investigation
Chicago, Illinois

Dear Mr. Lopez:

I am aware of the splendid statistical accomplishments attained by the Chicago Office for the fiscal year 1959, and I want to express my appreciation.

The success attained reflects a concerted effort and extreme devotion to duty on the part of all and a determination to realize maximum results. Please convey my commendation to all of those who helped make this possible through their material contributions.

Sincerely yours,

1 - SAC Auerbach, San Francisco (Personal Attention)
Bureau is aware that you were SAC of this office during the fiscal year and deserve a share in the credit for the statistical accomplishments.

1 - Personnel file of SAC Richard D. Auerbach.

87 AUG 3 1959 DUPLICATE YELLOW 24

September 22, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I am writing at this time to commend, through you, participating agents of the San Francisco Division for the splendid work they did in the investigation of the Unlawful Flight to Avoid Prosecution-Burglary case involving [redacted] and [redacted]

b6
b7C

These men demonstrated considerable determination and resourcefulness in handling their assignments and, as a result of their combined efforts, Charles was located and taken into custody. I want you to express to each agent who took part in this investigation my sincere appreciation for a job well done.

409
REC'D-READING ROOM
F B I
SEP 27 2 41 PM '59

Sincerely yours,

J. Edgar Hoover

MAILED 31
SEP 22 1959
COMM-FBI

1 - SAC, San Francisco (Personal Attention)

Although the Bureau recognizes the fine work done by SAs J. Freeman Tanner, C. James Fleming and [redacted] in this instance, it is not felt their services were such as to warrant individual letters of commendation. Place a copy of this letter in their personnel files, as well as in the files of those agents not individually recommended for commendation.

CMT:afh
(13)

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MAIL ROOM ☐ TELETYPE UNIT ☐

(OVER)

Mr. Richard D. Auerbach

Place copy in files of following agents:

J. Freeman Tanner

[redacted]

b6
b7C

C. James Fleming
Edwin P. Park
Tandy Jack Fleming

[redacted]

October 26, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I have noted with extreme satisfaction the splendid accomplishments of agents in the San Francisco Office since July 1, 1959, relative to the solutions of a substantial number of bank robberies and bank larcenies.

These agents have demonstrated the alertness, determination and skill necessary to the successful solutions of these important cases and I was most impressed by the excellent achievements for which they are responsible. I want you to convey my thanks to each one who assisted for the very fine work done.

Sincerely yours,

J. Edgar Hoover

MAILED 30
OCT 27 1959
COMM-FBI

OCT 26 4 36 PM '59
REC'D-READING ROOM
FBI

1 - SAC, San Francisco (Personal Attention)
Place a copy of this letter in the files of the agents who assisted.

HWC
(4)

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MAIL ROOM ☐ TELETYPE UNIT ☐

11-6-59

00JK

November [redacted]

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I want to take this occasion to commend, through you, the agents who worked so competently in the investigation which led to the identification of Bobby Ray Clenney and [redacted] as subjects of an Unlawful Flight to Avoid Prosecution-Burglary case.

Custody 00JK

As a result of the skillful investigation and adroit interviews conducted by these agents with reference to a bank robbery in Oakland, California, these suspects were implicated in unrelated crimes for which they were responsible. The thorough work done by these men in this instance is indicative of unusual acumen and I want you to convey my thanks to each one who assisted for a job well done.

MAILED 8
NOV - 0 1959
COMM-FBI

Sincerely yours,

J. Edgar Hoover

FBI
REC'D-READING ROOM
NOV 6 10 - AM '59
b6 -
b7C

1 - SAC, San Francisco (Personal Attention)

Although the Bureau recognizes the fine work done in this instance it is not felt that individual letters of commendation are warranted. Place a copy of this letter in the file of each of the agents who assisted.

HWC
(11)

Copies prepared and attached for the following files: SAs Frank R. Warner, Herbert K. Mudd, Jr., William P. Poole, Philip G. Bowser, Jr., Keith G. Teeter, John J. Wachter, Richard G. Allen.

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TELETYPE UNIT
A. JONES

December 9, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I want to take this opportunity to commend the San Francisco Office for the exceptional work done in connection with the Crimdel Program.

I have been especially pleased with the unusual interest, initiative and resourcefulness displayed by your division which have resulted in the submission of excellent material. This is an extremely important program since it is a means of increasing the general knowledge of nationwide criminal activities and of making available new investigative techniques and procedures to combat these activities. I want to thank you and your associates for your outstanding efforts and to encourage you to keep up the good work.

Sincerely yours,

J. Edgar Hoover

DEC 9 11 20 AM '59
REC'D-READING ROOM
F B I
422

AFH
(3)

MAILED 8
DEC - 9 1959
COMM-FBI

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MAIL ROOM ☐ TELETYPE UNIT ☐

December 9, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
~~San~~ Francisco, California
F.O.

Dear Mr. Auerbach:

I am writing at this time to commend, through you, the personnel of the San Francisco Division for the unusually fine work they did in connection with a matter of considerable importance to the Bureau in the security field.

This operation was handled with a high degree of thoroughness, adroitness and resourcefulness and its effective and efficient discharge can be ascribed in a large measure to the capable performance of the men who took part. Their services were most exemplary and a credit to their ability to handle assignments of this delicate nature. I want you to express my appreciation to them for a job very well handled.

DEC 9 11 22 AM '59
REC'D-READING ROOM
F B I

MAILED 8
DEC - 9 1959
COMM-FBI

Sincerely yours,

J. Edgar Hoover

-423
53

1 - SAC, San Francisco (Personal Attention)
Reurlet 12-1-59 re CP, USA NORTHERN DISTRICT OF CALIFORNIA, IS-C. Place a copy of this letter in the files of all participating personnel.

CMT
(4)

MAIL ROOM ☐ TELETYPE UNIT ☐

December 10, 1959

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I am taking this means to commend, through you, the agents in the San Francisco Office who participated in a delicate undertaking in connection with a highly confidential matter of great interest to the Bureau in the security field.

These agents were undaunted by the problems inherent in an assignment of this nature and overcame the many obstacles encountered with skillful and diligent application to duty. I was impressed by the efficient execution of this operation which resulted in valuable information being obtained. Please convey my thanks to each of the men who assisted for a job well done.

Sincerely yours,
J. Edgar Hoover

REC'D-READING ROOM
DEC 10 11 44 AM '59
F B I

MAILED
DEC 10 1959
COMM-FBI

1 - SAC, San Francisco (Personal Attention)
Re: CP, USA, NORTHERN CALIFORNIA DISTRICT, IS-C; CINAL. Place a copy of this letter in the file of each participating agent other than those who were individually commended.
HWC
(4)

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W.C. Sullivan
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Holloman
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

M. A. Jones

Standard Form 88
(Rev. June 1956)

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, Richard D.	- - - - - - - -	FBI

4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	5. PURPOSE OF EXAMINATION	6. DATE OF EXAMINATION
381 Marcella Way, Millbrae, California	Annual	24 Nov. 1959

7. SEX	8. RACE	9. TOTAL YEARS GOVERNMENT SERVICE		10. AGENCY	11. ORGANIZATION UNIT
		MILITARY	CIVILIAN		
M	Cauc		23	FBI	FBI San Francisco

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8-18-11	Massachusetts	Muriel A. Auerbach (wife) Same as #4

15. EXAMINING FACILITY OR EXAMINER AND ADDRESS	16. OTHER INFORMATION
U. S. Naval Hospital, Oakland, California.	- - - - - - - -

17. RATING OR SPECIALTY	TIME IN THIS CAPACITY (Total)	LAST SIX MONTHS

CLINICAL EVALUATION

NORMAL	(Check each item in appropriate column; enter "NE" if not evaluated)	ABNORMAL
X	18. HEAD, FACE, NECK, AND SCALP	
	19. NOSE	X
X	20. SINUSES	
X	21. MOUTH AND THROAT	
X	22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)	
X	23. DRUMS (Perforation)	
X	24. EYES—GENERAL (Visual acuity and refraction under items 59, 60 and 67)	
X	25. OPHTHALMOSCOPIC	
X	26. PUPILS (Equality and reaction)	
X	27. OCULAR MOTILITY (Associated parallel movements, nystagmus)	
X	28. LUNGS AND CHEST (Include breasts)	
X	29. HEART (Thrust, size, rhythm, sounds)	
X	30. VASCULAR SYSTEM (Varicosities, etc.)	
X	31. ABDOMEN AND VISCERA (Include hernia)	
X	32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate, if indicated)	
X	33. ENDOCRINE SYSTEM	
X	34. G-U SYSTEM	
X	35. UPPER EXTREMITIES (Strength, range of motion)	
X	36. FEET	
X	37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)	
X	38. SPINE, OTHER MUSCULOSKELETAL	
	39. IDENTIFYING BODY MARKS, SCARS, TATTOOS	X
X	40. SKIN, LYMPHATICS	
X	41. NEUROLOGIC (Equilibrium tests under item 72)	
X	42. PSYCHIATRIC (Specify any personality deviation)	
	43. PELVIC (Females only) (Check how done) ☐ VAGINAL ☐ RECTAL	

NOTES. (Describe every abnormality in detail. Enter pertinent item number before each comment. Continue in item 73 and use additional sheets if necessary.)

#19 Septal deviation to left. NCD

REC-140 ENCLOSURE

67- 431
Searched ..... Numbered 33
REC-140 6 DEC 28 1959

THREE

#39 3" Scar inside left ankle
4" Appendectomy scar.
5" Scar left palm

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively.)

O—Restorable teeth
I—Nonrestorable teeth
X—Missing teeth
XXX—Replaced by dentures
(6 X 8)—Fixed bridge, brackets to include abutments

RIGHT																	LEFT
	X	2	3	4	X	6	7	8	9	10	11	12	X	14	15	X	
	32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	X	

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES: N C D

61

LABORATORY FINDINGS

45. URINALYSIS: A. SPECIFIC GRAVITY 1.011
B. ALBUMIN Negative
C. SUGAR Negative
D. MICROSCOPIC Some Mucus Occ. WBC/hdf

46. CHEST X-RAY (Place, date, film number and result) #23887/11-24-59/Negative

47. SEROLOGY (Specify test used and result) VDRL: Negative

48. EKG W N L Z

49. BLOOD TYPE AND RH FACTOR N E

50. OTHER TESTS WBC:11,300 Neut:62 Eos:3 Lym:29 ~~Bands:1~~ Monos:5
Hemoglobin: 15 Gms.
Hematocrit: 45VPC
~~Tot. Cholesterol:~~ 253 mgm%

330

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT	52. WEIGHT	53. COLOR HAIR	54. COLOR EYES	55. BUILD:	56. TEMPERATURE
72"	168	Grey	Brown	☐ SLENDER ☒ MEDIUM ☐ HEAVY ☐ OBESE	97

57. BLOOD PRESSURE (*Arm at heart level*)

A. SITTING	SYS. 122	B. RECUMBENT	SYS.	C. STANDING (*3 min.*)	SYS.
	DIAS. 88		DIAS.		DIAS.

58. PULSE (*Arm at heart level*)

A. SITTING	B. AFTER EXERCISE	C. 2 MIN. AFTER	D. RECUMBENT	E. AFTER STANDING 3 MIN.
80				

59. DISTANT VISION	60. REFRACTION	61. NEAR VISION
RIGHT 20/20 CORR. TO 20/	BY ~~-~~ .75 sph OX	J-2 CORR. TO BY
LEFT 20/20 CORR. TO 20/	BY ~~-~~ .1.00 sph OX	J-2 CORR. TO BY

62. HETEROPHORIA (*Specify distance*)

ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. CT PC PD

None

63. ACCOMMODATION	64. COLOR VISION (*Test used and result*)	65. DEPTH PERCEPTION (*Test used and score*)	UNCORRECTED
RIGHT N E LEFT	FaLant (Passed)	N A	CORRECTED

66. FIELD OF VISION	67. NIGHT VISION (*Test used and score*)	68. RED LENS TEST	69. INTRAOCULAR TENSION
Full	N A	N A	Soft

70. HEARING

RIGHT WV 15 /15 SV 15 /15

LEFT WV 15 /15 SV 15 /15

71. AUDIOMETER

	250 256	500 512	1000 1024	2000 2048	3000 2896	4000 4096	6000 8144	8000 8192
RIGHT								
LEFT	N	E						

72. PSYCHOLOGICAL AND PSYCHOMOTOR (*Tests used and score*)

N A

73. NOTES (*Continued*) AND SIGNIFICANT OR INTERVAL HISTORY

EKG is within normal limits
Total Cholesterol is within normal limits.

(*Use additional sheets if necessary*)

74 SUMMARY OF DEFECTS AND DIAGNOSES (*List diagnoses with item numbers*)

#19 Septal deviation to left. NCD

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (*Specify*)

None noted

76. A. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (*Check*)

A. ☒ IS QUALIFIED FOR Strenuous physical exercise
B. ☐ IS NOT QUALIFIED FOR

B. PHYSICAL CATEGORY

A	B	C	E

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

79. TYPED OR PRINTED NAME OF PHYSICIAN | SIGNATURE [redacted]

80. TYPED OR PRINTED NAME OF PHYSICIAN [redacted] LT MC USNR | SIGNATURE [redacted] LT MC USN (for)

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (*Indicate which*) [redacted] LT DC USNR | SIGN [redacted]

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY | SIGNATURE | NUMBER OF ATTACHED SHEETS

b6
b7C

U. S. GOVERNMENT PRINTING OFFICE : 1957 O—432298

FD-300 (Rev. 5-21-58)

ATTACHMENT TO STANDARD FORM 88, REPORT OF MEDICAL EXAMINATION

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER

Name of Examinee: AUERBACH RICHARD D.
(Type or print) *Last* *First* *Middle*

The following portions of the attached examination report form need not be completed:

2	62
3	65
11	67
14	68
17	69
46	71
48	72
49	

46. Is necessary unless facilities for affording same are not readily available.

48. Not required unless examinee is over 35 years of age or examination indicates such is desirable..

49. Is necessary unless facilities for affording same are not readily available.

71. Audiometer examinations should be afforded whenever possible.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee [XXX] is [ ] is not qualified for strenous physical exertion.

TO BE ANSWERED IN THE CASE OF ALL MALE EMPLOYEES AND MALE APPLICANTS:

1. Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?
[XXX] No [ ] Yes. If "yes" please specify defects. _____

2. Does examinee have any defects prohibiting safe operation of motor vehicles?
[XXX] No [ ] Yes. If "yes" please specify defects. _____

Initial _____

ENCLOSURE 67-431

Weights for Males

Height Feet-Inches	SMALL FRAME Desirable	SMALL FRAME Maximum	MEDIUM FRAME Desirable	MEDIUM FRAME Maximum	LARGE FRAME Desirable	LARGE FRAME Maximum
5 4	121-131	143	129-139	152	136-148	162
5 5	124-134	146	132-142	155	140-152	166
5 6	128-138	151	136-146	160	144-157	172
5 7	131-142	155	140-151	165	148-161	176
5 8	135-146	160	144-155	170	152-165	181
5 9	139-150	164	148-159	174	156-170	186
5 10	143-154	168	152-163	178	160-175	192
5 11	147-159	174	156-168	184	164-180	197
6 0	152-164	179	161-173	189	169-185	203
6 1	158-170	186	166-179	196	174-191	209
6 2	163-175	192	171-184	201	179-197	216
6 3	168-180	197	176-189	207	184-202	221
6 4	174-186	204	182-195	214	190-208	228
6 5	180-191	209	188-201	220	196-214	234

3. Examinee's frame is [ ] small [ ] medium [XX] large

4. Considering above weight table the examinee's frame and other individual physical characteristics, I consider his present weight [XXX] Satisfactory [ ] Excessive [ ] Deficient

5. Under proper medical supervision, examinee should [ ] lose ____ pounds

[ ] gain ____ pounds

Remarks: _____

[redacted] b6 b7C

(Signature of Medical Examiner)

[redacted] (for) [redacted] LT MC USNR

LT MC USN 11-24-59

(Date)

March 1, 1960

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I want to take this means to commend, through you, the personnel in your office who handled the stenographic duties in connection with the preparation of reports concerning the Labor-Management Reporting and Disclosure Act.

This work was handled with efficiency and dispatch and I am aware of the fact that those who assisted subordinated their personal interests in giving freely of their time and efforts to be of service. Please convey my thanks to each one who took part.

MAR 1 3 19 PM '60
RECD-READING ROOM
FBI

MAILED 19
MAR 1 - 1960
COMM-FBI

Sincerely yours,

J. Edgar Hoover

454

1 - SAC, San Francisco (Personal Attention)

Based on information submitted by you it is not felt that letters of commendation are warranted for SAs Robert E. Thau, [redacted] and [redacted] You may desire to comment thereon in their next performance ratings. Place a copy of this letter in the files of the stenographers who assisted.

b6
b7C

Tolson
Mohr
Parsons
Belmont
Callahan
DeLoach
Malone
McGuire
Rosen
Tamm
Trotter
W.C. Sullivan
Tele. Room
Ingram
Gandy

HWC
(4)

MAIL ROOM ☐ TELETYPE UNIT ☐

March 11, 1960

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

It is a pleasure for me to commend, through you, the agents of the San Francisco Division who so skillfully participated in the investigation of the Fraud Against the Government case involving [redacted] and others.

b6
b7C

Each man who worked on this long and involved case carried out his individual responsibilities with a great deal of thoroughness, competence and resourcefulness, thus contributing in no small degree to the highly successful results which were realized. Please convey to all who assisted on this assignment my thanks for their able services and for a job very well handled.

MAILED 19
MAR 11 1960
COMM-FBI

Sincerely yours,

J. Edgar Hoover

MAR 11 1 23 PM '60
REC'D-READING ROOM

1 - SAC, San Francisco (Personal Attention)

Based upon information submitted, it is not felt individual letters of commendation for SAs A. V. Trichak and Eugene P. Greer are warranted. Place a copy of this letter in their personnel files and in the files of other participating agents with the exception of SA J. Freeman Tanner, who was commended individually.

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McGuire
Rosen
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Trotter
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Tele. Room
Ingram
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CMT
(15)

MAIL ROOM ☐ TELETYPE UNIT ☐

(OVER)

Richard D. Auerbach
San Francisco, California

Copies prepared and attached for placing in following files:

A. V. Trichak
Angus P. Greer
[redacted]
Joseph T. Klair
[redacted]
S. Ray Miller
[redacted]
Irving J. Frost
[redacted]

b6
b7C

April 20, 1960

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I am writing to commend, through you, Special Agents [redacted] and William N. Kidwell, Jr., for the splendid work they have done in the contacts of a highly sensitive confidential source of information of considerable value to the Bureau in the security field. b6 b7C

This matter is an extremely delicate one and in order that the operations can be carried out in a successful fashion these agents must exercise the utmost in thoroughness, discretion and ingenuity. I want you to convey my appreciation to Messrs. [redacted] and Kidwell for the most effective and devoted manner in which they discharged their responsibilities.

MAILED 19
APR 20 1960
COMM-FBI

Sincerely yours,

J. Edgar Hoover

1 - SAC, San Francisco (Personal Attention)

Re CS SF 2279-S*. Based on information submitted by you the Bureau has concluded that the services of these agents were not such as to warrant recognition in the form of incentive awards. Place a copy of this letter in their personnel files. Bureau records reflect that SA [redacted] is 9 1/2 pounds over desirable weight limits and below the office average overtime for 10 of 12 months. You should call his attention to SAC Letters 60-10 (B) and 60-16 (C) relating to weight standards and voluntary overtime-premium pay benefits. In event SA [redacted] is still over desirable weight limit, you should ascertain what he intends to do about it and advise Bureau. Remind him that any weight reduction should be under medical supervision.

CMT (7)

(OVER)

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DeLoach
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MAIL ROOM TELETYPE UNIT

APR 20 2 58 PM '60

Richard D. Auerbach
San Francisco, California

Copies prepared and attached for placing in following personnel files:

[redacted] b6 b7C

William N. Kidwell, Jr.

April 20, 1960

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I am writing to commend, through you, the agents of the San Francisco Office who participated in the investigation of the Federal Reserve Act-Conspiracy case involving [redacted] and others.

The excellent success achieved in this case can be ascribed to the painstaking, intelligent and tireless efforts of these men in overcoming unusual difficulties brought about by extremely involved financial transactions in connection with numerous far-flung business ventures. I was certainly impressed with the expert manner in which this matter was handled and I want you to convey my sincere thanks to all for a job especially well done.

Sincerely yours,

J. Edgar Hoover

MAILED 19
APR 20 1960
COMM-FBI

1 - SAC, San Francisco (Personal Attention)

Based on information submitted by you, Bureau has concluded that individual letters are not warranted for SAs [redacted] Ralph M. Lindsey, A. V. Trichak, Eugene P. Greer and Harold V. Cates. Place copies of this letter in their files as well as in files of other agents who participated in this matter but were not individually commended.

AFH
(15)

Tolson
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DeLoach
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McGuire
Rosen
Tamm
Trotter
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Ingram
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MAIL ROOM ☐ TELETYPE UNIT ☐

(OVER)

b6
b7C
APR 20 1960
READING ROOM

Mr. Richard D. Auerbach

Copies prepared and attached for files of:

[redacted]
Ralph M. Lindsey
A. V. Trichak
Eugene P. Greer
Harold V. Cates
John A. Deardorff
[redacted]
C. James Fleming
[redacted]
Ronald O. Stamp

b6
b7C

April 29, 1960

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I want to convey my appreciation, through you, to the agents in your office who participated in the investigation of the Theft of Government Property case involving [redacted] and others.

b6
b7C

These men were able to achieve excellent results through their diligent and skillful application to duty. I am aware of the difficulties inherent in this assignment and am most appreciative of the fine work done. Please extend my thanks to those who assisted.

Sincerely yours,

J. Edgar Hoover

MAILED 19
APR 29 1960
COMM-FBI

1 - SAC, San Francisco (Personal Attention)
Place a copy of this letter in the files of the agents who assisted.

HWC
(13)

Tolson
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Malone
McGuire
Rosen
Tamm
Trotter
W.C. Sullivan
Tele. Room
Ingram
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

(continued)

Copies prepared and attached for the following files:

[redacted]
C. J. Fleming
Paul C. Fuller
[redacted]
E. Ray Miller
[redacted]
Edwin P. Park
[redacted]
J. Freeman Tanner

b6
b7C

☆ U.S. GOVERNMENT PRINTING OFFICE: 1958 — 461985

1. Agency and organizational designations	2. Payroll period	3. Block No.	4. Slip No.
FBI, U. S. DEPT OF JUSTICE			

5. Employee's name (and social security account number when appropriate)	6. Grade and salary
704138 MR. RICHARD D. AUERBACH SA(SAC)	GS 17 $16,095

PAYROLL CHANGE DATA

	BASE PAY	OVERTIME		GROSS PAY	RET.	FEDERAL TAX	BOND	F.I.C.A.	STATE TAX	GROUP LIFE INS.			NET PAY
7. Previous normal													
8. New normal													
9. Pay this period													

10. Remarks:	11. Appropriation(s)	12. Prepared by
		13. Audited by

☒ Periodic step-increase ☐ Pay adjustment ☐ Other step-increase

14. Effective date	15. Date last equivalent increase	16. Old salary rate	17. New salary rate	18. Performance rating is satisfactory or better.
5-29-60	11-30-58	$15,855	$16,095	(Signature or other authentication)

19. LWOP data (Fill in appropriate spaces covering LWOP during following periods):
Period(s):
☐ No excess LWOP. Total excess LWOP

(Check applicable box in case of excess LWOP)
☐ In pay status at end of waiting period.
☐ In LWOP status at end of waiting period.

rlc
Initials of Clerk

67-NOT RECORDED
16 JUN 1 1960

STANDARD FORM NO. 1126d—Revised
Form prescribed by Comp. Gen., U. S.
March 5, 1957 6 GAO 8000

PAYROLL CHANGE SLIP—PERSONNEL COPY

June 27, 1960

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Mr. Auerbach:

I am writing to commend, through you, the personnel of your division who participated so capably in the investigation, as well as in the analysis and preparation of a document, in connection with the recent House Committee on Un-American Activities hearings held in San Francisco.

They did a superb job in this instance and their services reflected a high degree of skill, thoroughness and enthusiasm. I was very impressed with the exemplary devotion to duty displayed by all in handling this important assignment and I want you to convey my sincere thanks to them for their exceptionally fine efforts.

Sincerely yours,

J. Edgar Hoover

1 - SAC, San Francisco (Personal Attention)

Based on information submitted by you, the Bureau has concluded that individual letters are not warranted in this instance. Place copies of this letter in files of all who participated in this assignment.

Copies prepared and attached for files of: Wilbert H. Kehe, Albert P. Clark, [redacted] Ervin Thibault, Jr., Leo A. Schon, [redacted]

b6
b7C

AFH
(13)

MAILED 8
JUN 27 1960
COMM-FBI

Tolson
Mohr
Parsons
Belmont
Callahan
DeLoach
Malone
McGuire
Sullivan
Room

MAIL ROOM ☐ TELETYPE UNIT ☐

August 5, 1960

PERSONAL

Mr. Richard D. Auerbach
Federal Bureau of Investigation
San Francisco, California

Dear Auerbach:

Your Twentieth Anniversary of service with the FBI is indeed an occasion both for the Bureau and for you. It affords me great pleasure to extend my sincerest congratulations. As a memento of this event, there is enclosed your Twenty-Year Service Award Key.

The progress the Bureau has made in its position of prominence in the field of law enforcement and the achievements realized over the years in the execution of tremendous obligations have been the result of the ability with which our seasoned veterans have handled the responsibilities for which we are charged. You have accepted the demands placed upon you, as you have progressed through the ranks to the position of leadership you now hold, as a challenge and have confidently and diligently handled the various assignments that have been yours. The decisions that you have made have been significant in the discharge of our operations and you have shouldered heavy responsibilities with the good judgment and insight necessary to attain the desired results. Your dedicated efforts in the best interests of the Bureau have been of great assistance and I want you to know of my appreciation.

I trust that good fortune will permit you to be the recipient of other Service Awards on the occasions of future anniversaries.

With best wishes and kind regards,

Sincerely,

J. Edgar Hoover

Enclosure

NEM:rd

Tolson
Mohr
Parsons
Belmont
Callahan
DeLoach
Malone
McGuire
Rosen
Tamm
Trotter
W.C. Sullivan
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MAILED 19
JUL 29 1960
COMM-FBI

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67-101010

FBI MAIL ROOM 18 AUG 1960

Standard Form 88
(Rev. June 1956)

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, RICHARD D.	F. B. I.	

4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	5. PURPOSE OF EXAMINATION	6. DATE OF EXAMINATION
	ANNUAL	11-22-60

7. SEX	8. RACE	9. TOTAL YEARS GOVERNMENT SERVICE		10. AGENCY	11. ORGANIZATION UNIT
		MILITARY	CIVILIAN		
MALE	CAU			F.B.I.	F. B. I.

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
8-18-11	Mass.	

15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
U. S. NAVAL HOSPITAL, OAKLAND, CALIFORNIA	

17. RATING OR SPECIALTY	TIME IN THIS CAPACITY (Total)	LAST SIX MONTHS

CLINICAL EVALUATION

NORMAL	(Check each item in appropriate column; enter "NE" if not evaluated.)	ABNORMAL
X	18. HEAD, FACE, NECK, AND SCALP	
X	19. NOSE	
X	20. SINUSES	
X	21. MOUTH AND THROAT	
X	22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)	
X	23. DRUMS (Perforation)	
X	24. EYES—GENERAL (Visual acuity and refraction under items 59, 60 and 67)	
X	25. OPHTHALMOSCOPIC	
X	26. PUPILS (Equality and reaction)	
X	27. OCULAR MOTILITY (Associated parallel movements, nystagmus)	
X	28. LUNGS AND CHEST (Include breasts)	
X	29. HEART (Thrust, size, rhythm, sounds)	
X	30. VASCULAR SYSTEM (Varicosities, etc.)	
X	31. ABDOMEN AND VISCERA (Include hernia)	
X	32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate, if indicated)	
X	33. ENDOCRINE SYSTEM	
X	34. G-U SYSTEM	
X	35. UPPER EXTREMITIES (Strength, range of motion)	
X	36. FEET	
X	37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)	
X	38. SPINE, OTHER MUSCULOSKELETAL	
	39. IDENTIFYING BODY MARKS, SCARS, TATTOOS	X
X	40. SKIN, LYMPHATICS	
X	41. NEUROLOGIC (Equilibrium tests under item 72)	
X	42. PSYCHIATRIC (Specify any personality deviation)	
	43. PELVIC (Females only) (Check how done) ☐ VAGINAL ☐ RECTAL	

NOTES. (Describe every abnormality in detail. Enter pertinent item number before each comment. Continue in item 73 and use additional sheets if necessary.)

39. 1rS1" palm left hand
LsS3" left inside ankle
LRS4" left lower quad. appendectomy.

REC-143

67-[illegible]-476
Recorded ..... Numbered .....
2 DEC 19 1960

ENCLOSURE (6) — THREE

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively.)

o—Restorable teeth
/—Nonrestorable teeth
X—Missing teeth
XXX—Replaced by dentures
(6 X 8)—Fixed bridge, brackets to include abutments

RIGHT	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	LEFT
	X				X								X			X	
	32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	
	X															X	

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES

N.C.D.

LABORATORY FINDINGS

45. URINALYSIS: A. SPECIFIC GRAVITY	1.015	46. CHEST X-RAY (Place, date, film number and result)
B. ALBUMIN Neg.	D. MICROSCOPIC	
C. SUGAR Neg.	Ess Negative	11-22-60 # 20664 Negative

47. SEROLOGY (Specify test used and result)	48. EKG	49. BLOOD TYPE AND RH FACTOR	50. OTHER TESTS
Negative 26	WNL	NE.	WBC: 10,000 Neu: 58 Lym: 36 Mono: 4 Eos: 2 Hema: 43 vpc Hemo: 14.2 gms

10 DEC 22 1960

Tot. cholesterol 261 mg%

DEC 1960

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT	52. WEIGHT	53. COLOR HAIR	54. COLOR EYES	55. BUILD:	56. TEMPERATURE
72"	170#	Gray	Brown	☐ SLENDER ☒ MEDIUM ☐ HEAVY ☐ OBESE	98.4

57. BLOOD PRESSURE (*Arm at heart level*)

A. SITTING	SYS. 116	B. RECUMBENT	SYS.	C. STANDING (3 min.)	SYS.
	DIAS. 74		DIAS.		DIAS.

58. PULSE (*Arm at heart level*)

A. SITTING	B. AFTER EXERCISE	C. 2 MIN. AFTER	D. RECUMBENT	E. AFTER STANDING 3 MIN.
78				

59. DISTANT VISION	60. REFRACTION	61. NEAR VISION
RIGHT 20/ 20 CORR. TO 20/	BY S. OX	J-6 CORR. TO J-1 BY
LEFT 20/ 20 CORR. TO 20/	BY S. OX	J-6 CORR. TO J-1 BY

62. HETEROPHORIA (*Specify distance*)

ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. CT PC PD

63. ACCOMMODATION	64. COLOR VISION (*Test used and result*)	65. DEPTH PERCEPTION (*Test used and score*)	UNCORRECTED
RIGHT LEFT	FA LANTERN TEST (PASSED)		CORRECTED
66. FIELD OF VISION	67. NIGHT VISION (*Test used and score*)	68. RED LENS TEST	69. INTRAOCULAR TENSION TOD 5.0 17.3 TOS 6.0 14.6

70. HEARING

RIGHT WV 15 /15 SV 15 /15

LEFT WV 15 /15 SV 15 /15

71. AUDIOMETER

	250 256	500 512	1000 1024	2000 2048	3000 2896	4000 4096	6000 6144	8000 8192
RIGHT								
LEFT								

72. PSYCHOLOGICAL AND PSYCHOMOTOR (*Tests used and score*)

73. NOTES (*Continued*) **AND SIGNIFICANT OR INTERVAL HISTORY**

REC'D - ADMIN. DIV
F B I
DEC 20 11 38 AM '60

(*Use additional sheets if necessary*)

74 SUMMARY OF DEFECTS AND DIAGNOSES (*List diagnoses with item numbers*)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (*Specify*)

76. A. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (*Check*)

A. ☒ IS QUALIFIED FOR F. B. I.
B. ☐ IS NOT QUALIFIED FOR

B. PHYSICAL CATEGORY

A	B	C	E

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

79. TYPED OR PRINTED NAME OF PHYSICIAN — SIGNATURE

80. TYPED OR PRINTED NAME OF PHYSICIAN — SIGNATURE

[redacted] LT MC USNR

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (*Indicate which*) — SIGNATURE

[redacted] LT MC USNR

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY — SIGNATURE

NUMBER OF ATTACHED SHEETS

b6
b7C

U. S. GOVERNMENT PRINTING OFFICE : 1957 O—432298

Standard Form 89
(Rev. Aug. 1950)
Promulgated by
Bureau of the Budget
Circular A-21

REPORT OF MEDICAL HISTORY

THIS INFORMATION IS FOR OFFICIAL USE ONLY AND WILL NOT BE RELEASED TO UNAUTHORIZED PERSONS

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
AUERBACH, RICHARD DEXTER	Special Agent in Charge	

4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)	5. PURPOSE OF EXAMINATION	6. DATE OF EXAMINATION
1737 Sequoia Ave., Burlingame, Calif.	Annual Physical	11/22/60

7. SEX	8. RACE	9. TOTAL YRS. GOVT. SERVICE MILITARY	CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE	11. ORGANIZATION UNIT
M	W			Dept. of Justice	FBI

12. DATE OF BIRTH	13. PLACE OF BIRTH	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN
18 Aug 11	Mass.	Mrs. R. D. Auerbach (wife), same as #4

15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS	16. OTHER INFORMATION
USNH, Oakland, Calif.	

17. STATEMENT OF EXAMINEE'S PRESENT HEALTH IN OWN WORDS. (Follow by description of past history, if complaint exists)

Good

18. FAMILY HISTORY

RELATION	AGE	STATE OF HEALTH	IF DEAD, CAUSE OF DEATH	AGE AT DEATH
FATHER	--	-- Appendectomy, '16		46
MOTHER	89	Has had 1 stroke - avg. health		
SPOUSE	44	Good		
BROTHERS AND SISTERS	54	Good		
CHILDREN				

19. HAS ANY BLOOD RELATION (Parent, brother, sister, other) OR HUSBAND OR WIFE

YES	NO	(Check each item)	RELATION(S)
	X	HAD TUBERCULOSIS	
	X	HAD SYPHILIS	
	X	HAD DIABETES	
	X	HAD CANCER	
	X	HAD KIDNEY TROUBLE	
X		HAD HEART TROUBLE	Mother
	X	HAD STOMACH TROUBLE	
	X	HAD RHEUMATISM (Arthritis)	
	X	HAD ASTHMA, HAY FEVER, HIVES	
	X	HAD EPILEPSY (Fits)	
	X	COMMITTED SUICIDE	
	X	BEEN INSANE	

[redacted] b6 b7C

20. HAVE YOU EVER HAD OR HAVE YOU NOW. (Place check at left of each item)

YES	NO	(Check each item)	YES	NO	(Check each item)	YES	NO	(Check each item)	YES	NO	(Check each item)
	X	SCARLET FEVER, ERYSIPELAS		X	GOITER		X	TUMOR, GROWTH, CYST, CANCER		X	"TRICK" OR LOCKED KNEE
	X	DIPHTHERIA		X	TUBERCULOSIS		X	RUPTURE		X	FOOT TROUBLE
	X	RHEUMATIC FEVER		X	SOAKING SWEATS (Night sweats)	X		APPENDICITIS		X	NEURITIS
	X	SWOLLEN OR PAINFUL JOINTS		X	ASTHMA		X	PILES OR RECTAL DISEASE		X	PARALYSIS (Inc. Infantile)
X		MUMPS		X	SHORTNESS OF BREATH		X	FREQUENT OR PAINFUL URINATION		X	EPILEPSY OR FITS
X		WHOOPING COUGH		X	PAIN OR PRESSURE IN CHEST		X	KIDNEY STONE OR BLOOD IN URINE	X		CAR, TRAIN, SEA, OR AIR SICKNESS
	X	FREQUENT OR SEVERE HEADACHE		X	CHRONIC COUGH		X	SUGAR OR ALBUMIN IN URINE		X	FREQUENT TROUBLE SLEEPING
	X	DIZZINESS OR FAINTING SPELLS		X	PALPITATION OR POUNDING HEART		X	BOILS		X	FREQUENT OR TERRIFYING NIGHTMARES
	X	EYE TROUBLE		X	HIGH OR LOW BLOOD PRESSURE		X	VENEREAL DISEASE		X	DEPRESSION OR EXCESSIVE WORRY
	X	EAR, NOSE OR THROAT TROUBLE		X	CRAMPS IN YOUR LEGS		X	RECENT GAIN OR LOSS OF WEIGHT		X	LOSS OF MEMORY OR AMNESIA
	X	RUNNING EARS		X	FREQUENT INDIGESTION		X	ARTHRITIS OR RHEUMATISM		X	BED WETTING
	X	CHRONIC OR FREQUENT COLDS		X	STOMACH, LIVER OR INTESTINAL TROUBLE		X	BONE, JOINT, OR OTHER DEFORMITY		X	NERVOUS TROUBLE OF ANY SORT
	X	SEVERE TOOTH OR GUM TROUBLE		X	GALL BLADDER TROUBLE OR GALL STONES		X	LAMENESS		X	ANY DRUG OR NARCOTIC HABIT
	X	SINUSITIS		X	JAUNDICE		X	LOSS OF ARM, LEG, FINGER, OR TOE		X	EXCESSIVE DRINKING HABIT
X		HAY FEVER		X	ANY REACTION TO SERUM, DRUG OR MEDICINE		X	PAINFUL OR "TRICK" SHOULDER OR ELBOW		X	HOMOSEXUAL TENDENCIES

21. HAVE YOU EVER (Check each item)

YES	NO		YES	NO	
X		WORN GLASSES		X	ATTEMPTED SUICIDE
X	X	WORN AN ARTIFICIAL EYE		X	BEEN A SLEEP WALKER
	X	WORN HEARING AIDS		X	LIVED WITH ANYONE WHO HAD TUBERCULOSIS
	X	STUTTERED OR STAMMERED		X	COUGHED UP BLOOD
	X	WORN A BRACE OR BACK SUPPORT		X	BLED EXCESSIVELY AFTER INJURY OR TOOTH EXTRACTION

22. FEMALES ONLY: A. HAVE YOU EVER—

YES	NO		B. COMPLETE THE FOLLOWING:	
		BEEN PREGNANT		AGE AT ONSET OF MENSTRUATION
		HAD A VAGINAL DISCHARGE		INTERVAL BETWEEN PERIODS
		BEEN TREATED FOR A FEMALE DISORDER		DURATION OF PERIODS
		HAD PAINFUL MENSTRUATION		DATE OF LAST PERIOD
		HAD IRREGULAR MENSTRUATION	QUANTITY: ☐ NORMAL ☐ EXCESSIVE ☐ SCANTY	

23. HOW MANY JOBS HAVE YOU HAD IN THE PAST THREE YEARS?	24. WHAT IS THE LONGEST PERIOD YOU HELD ANY OF THESE JOBS? MONTHS	25. WHAT IS YOUR USUAL OCCUPATION?	26. ARE YOU (Check one)
One	20 years	FBI	☒ RIGHT HANDED ☐ LEFT HANDED

16—62269-1

YES	NO	CHECK EACH ITEM YES OR NO. EVERY ITEM CHECKED "YES" MUST BE FULLY EXPLAINED IN BLANK SPACE ON RIGHT	
		27. HAVE YOU BEEN UNABLE TO HOLD A JOB BECAUSE OF:	
	X	A. SENSITIVITY TO CHEMICALS, DUST, SUNLIGHT, ETC.	
	X	B. INABILITY TO PERFORM CERTAIN MOTIONS	
	X	C. INABILITY TO ASSUME CERTAIN POSITIONS	
	X	D. OTHER MEDICAL REASONS (*If yes, give reasons*)	
	X	28. HAVE YOU EVER WORKED WITH RADIOACTIVE SUBSTANCE?	
	X	29. DID YOU HAVE DIFFICULTY WITH SCHOOL STUDIES OR TEACHERS? (*If yes, give details*)	
	X	30. HAVE YOU EVER BEEN REFUSED EMPLOYMENT BECAUSE OF YOUR HEALTH? (*If yes, state reason and give details*)	
	X	31. HAVE YOU EVER BEEN DENIED LIFE INSURANCE? (*If yes, state reason and give details*)	
X		32. HAVE YOU HAD, OR HAVE YOU BEEN ADVISED TO HAVE, ANY OPERATIONS? (*If yes, describe and give age at which occurred*)	Appendectomy - 19 years of age.
	X	33. HAVE YOU EVER BEEN A PATIENT (*committed or voluntary*) IN A MENTAL HOSPITAL OR SANATORIUM? (*If yes, specify when, where, why, and name of doctor, and complete address of hospital or clinic*)	
	X	34. HAVE YOU EVER HAD ANY ILLNESS OR INJURY OTHER THAN THOSE ALREADY NOTED? (*If yes, specify when, where, and give details*)	
	X	35. HAVE YOU CONSULTED OR BEEN TREATED BY CLINICS, PHYSICIANS, HEALERS, OR OTHER PRACTITIONERS WITHIN THE PAST 5 YEARS? (*If yes, give complete address of doctor, hospital, clinic, and details*)	
	X	36. HAVE YOU TREATED YOURSELF FOR ILLNESSES OTHER THAN MINOR COLDS? (*If yes, which illnesses*)	
	X	37. HAVE YOU EVER BEEN REJECTED FOR MILITARY SERVICE BECAUSE OF PHYSICAL, MENTAL, OR OTHER REASONS? (*If yes, give date and reason for rejection*)	
	X	38. HAVE YOU EVER BEEN DISCHARGED FROM MILITARY SERVICE BECAUSE OF PHYSICAL, MENTAL, OR OTHER REASONS? (*If yes, give date, reason, and type of discharge: whether honorable, other than honorable, for unfitness or unsuitability*)	
	X	39. HAVE YOU EVER RECEIVED, IS THERE PENDING, HAVE YOU APPLIED FOR, OR DO YOU INTEND TO APPLY FOR PENSION OR COMPENSATION FOR EXISTING DISABILITY? (*If yes, specify what kind, granted by whom, and what amount, when, why*)	

I CERTIFY THAT I HAVE REVIEWED THE FOREGOING INFORMATION SUPPLIED BY ME AND THAT IT IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE. I AUTHORIZE ANY OF THE DOCTORS, HOSPITALS, OR CLINICS MENTIONED ABOVE TO FURNISH THE GOVERNMENT A COMPLETE TRANSCRIPT OF MY MEDICAL RECORD FOR PURPOSES OF PROCESSING MY APPLICATION FOR THIS EMPLOYMENT OR SERVICE.

TYPED OR PRINTED NAME OF EXAMINEE: AUERBACH, RICHARD DEXTER

SIGNATURE: Richard D Auerbach

40. PHYSICIAN'S SUMMARY AND ELABORATION OF ALL PERTINENT DATA (*Physician shall comment on all positive answers in items 27 thru 39*)

b6
b7C

TYPED OR PRINTED NAME OF PHYSICIAN OR EXAMINER	DATE	SIGNATURE	NUMBER OF ATTACHED SHEETS
[redacted] LT MC USNR	11-22-60	[redacted]	

FD-300 (Rev. 2-9-60)

HT: 72"
WT: 170#

Attachment to Standard Form 88, Report of Medical Examination
For Information and Guidance of Medical Examiner

Name of Examiner (Type or print) AUERBACH, RICHARD D.
Last First Middle

The following portions of the attached examination report form need not be completed:

2	62
3	65
4	67
9	68
11	69
14	72
17	76

46. Is necessary unless facilities for affording same are not readily available.

48. Not required unless examinee is over 35 years of age or examination indicates such is desirable.

49. Is necessary unless facilities for affording same are not readily available.

71. Audiometer examinations should be afforded whenever possible.

For All Examinees, Whether Clerical or Special Agent Applicants or Employees:

The medical examiner should answer the following question:

Examinee [X] is [ ] is not qualified for strenuous physical exertion.

To be Answered in the Case of All Male Employees and Male Applicants:

1. Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

   [X] No [ ] Yes If "yes" please specify defects. _____

2. Does examinee have any defects prohibiting safe operation of motor vehicles?

   [X] No [ ] Yes If "yes" please specify defects. _____

   If examinee has defective vision, should he wear corrective glasses while operating a motor vehicle? [ ] Yes [X] No

Initials

67-[illegible]-476

Desirable Weight Ranges for Males

Height	Small Frame	Medium Frame	Large Frame
5' 4"	117 - 125	123 - 135	131 - 148
5' 5"	120 - 129	126 - 139	134 - 152
5' 6"	124 - 133	130 - 143	138 - 157
5' 7"	128 - 137	134 - 148	143 - 162
5' 8"	132 - 141	138 - 152	147 - 166
5' 9"	136 - 146	142 - 156	151 - 170
5' 10"	140 - 150	146 - 161	155 - 175
5' 11"	144 - 154	150 - 166	160 - 180
6'	148 - 158	154 - 171	164 - 185
6' 1"	152 - 163	158 - 176	169 - 190
6' 2"	156 - 167	163 - 181	174 - 195
6' 3"	160 - 171	168 - 186	178 - 200
6' 4"	169 - 180	178 - 196	188 - 210
6' 5"	174 - 185	182 - 202	192 - 216

3. Examinee's frame is ☐ small ☒ medium ☐ large

4. Considering above weight table, the examinee's frame, and other individual physical characteristics, I consider his present weight ☒ Satisfactory ☐ Excessive ☐ Deficient

5. Under proper medical supervision, examinee should ☐ lose _____ pounds
☐ gain _____ pounds

Remarks: _____

b6
b7C

[redacted] LT MC USNR
(Signature of Medical Examiner)

11-22-60
(Date)

February 17, 1961

MEMORANDUM FOR MR. TOLSON

On February 13, 1961, I saw Special Agent in Charge Richard D. Auerbach of the San Francisco Field Division.

I told Mr. Auerbach at the beginning of our conference that I certainly was not impressed with the manner in which he handled the interview with Allan Witwer recently, who had made some vicious, untrue statements concerning myself as obviously Witwer had completely hoodwinked Auerbach in view of the letter which Auerbach had written indicating he thought Witwer was a sincere supporter of the Bureau when, in fact, immediately following the interview, Witwer phoned Drew Pearson in Washington, with whom he had been in touch, and made false allegations against the manner in which the San Francisco Office had handled him and additional inflammatory allegations against myself.

I called Mr. Auerbach's attention to the fact I noted he had three classes of cases in excess of 8% in delinquency and this was undesirable and should be immediately corrected.

I discussed with Mr. Auerbach the fact that I noted the Agents in his office were closing only 9.4 cases per Agent per month, which is even below the field average and steps should be taken to bring about an improvement.

I commended Mr. Auerbach for the fact that I noted there was an increase in all accomplishments in his office for the first seven months of the current fiscal year when compared with a similar period of the previous fiscal year, but I pointed out that while there was an increase of 29% in fines, savings, and recoveries, his office had dropped 48% in that accomplishment during the previous fiscal year.

I discussed with Mr. Auerbach the fact that I noted the Agents were spending too much of their time in the office, it being noted that for January it was 21.96%.

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I stressed to Mr. Auerbach the necessity for developing quality informants, both in the security and criminal fields and in connection with the latter, the necessity for intensifying intelligence coverage of the activities of racketeers and hoodlums.

JEH:EDM (5)

Tolson
Parsons
Mohr
Belmont
Callahan
Conrad
DeLoach
Evans
Malone
Rosen
Tavel
Trotter
W.C. Sullivan
Tele. Room
Ingram
Gandy

MAIL ROOM ☐ TELETYPE UNIT ☐

Memorandum for Mr. Tolson February 17, 1961

I also commented to Mr. Auerbach upon the fact I noted there had been fourteen letters of censure written to the employees of his office during the last six months and these covered some matters which were more or less elemental and could have been avoided if the individual employees measured up to their individual responsibilities.

I also called Mr. Auerbach's attention to the fact I noted for the last three months his overtime has been less than the average overtime of the San Francisco Division, and I thought this was a very bad example for a Special Agent in Charge to set.

I called Mr. Auerbach's attention to the fact that I noted there were 30 violations of the Federal Bank Robbery Statute which were unsolved and this is most undesirable and he should bear down on these to bring about an improvement.

I advised Mr. Auerbach that as regards the Law Enforcement Intelligence Unit, which has been the subject of controversy among some of the local authorities in the West, Chief Cahill of San Francisco taking one position and Chief Parker of Los Angeles taking another, I intended to have Special Agent in Charge Williams at Las Vegas attend the meeting at Reno in March, but solely as an observer.

Very truly yours,

S/J.E.Hoover

John Edgar Hoover
Director

SENT FROM D. O.
TIME [illegible]
DATE [illegible]
BY [illegible]